# Securing Wireless Infusion Pumps In Healthcare Delivery Organizations

Includes Executive Summary (A); Approach, Architecture, and Security Characteristics (B), and How-To Guides (C)

DRAFT

Gavin O'Brien Sallie Edwards Kevin Littlefield Neil McNab Sue Wang Kangmin Zheng





## Securing Wireless Infusion Pumps In Healthcare Delivery Organizations

Includes Executive Summary (A); Approach, Architecture, and Security Characteristics (B), and How-To Guides (C)

> Gavin O'Brien National Cybersecurity Center of Excellence Information Technology Laboratory

> > Sallie Edwards Kevin Littlefield Neil McNab Sue Wang Kangmin Zheng The MITRE Corporation McLean, VA

> > > DRAFT

May 2017



U.S. Department of Commerce Wilbur Ross, Secretary

National Institute of Standards and Technology Kent Rochford, Acting Undersecretary of Commerce for Standards and Technology and Director

## **NIST SPECIAL PUBLICATION 1800-8A**

## Securing Wireless Infusion Pumps In Healthcare Delivery Organizations

Volume A: Executive Summary

DRAFT

Gavin O'Brien National Cybersecurity Center of Excellence Information Technology Laboratory

Sallie Edwards Kevin Littlefield Neil McNab Sue Wang Kangmin Zheng The MITRE Corporation McLean, VA

May 2017





## **Executive Summary**

- Broad technological advancements have contributed to the Internet of Things (IoT)
   phenomenon, where physical devices now have technology that allow them to connect to the
   internet and communicate with other devices or systems.<sup>1</sup> With billions of devices being
   connected to the internet,<sup>ii</sup> many industries, including healthcare, have or are beginning to
   leverage IoT devices to improve operational efficiency and enhance innovation.
- Medical devices, such as infusion pumps<sup>iii</sup>, were once standalone instruments that interacted only with the patient or medical provider. With technological improvements designed to
   enhance patient care, these devices now connect wirelessly to a variety of systems, networks, and other tools within a healthcare delivery organization (HDO) ultimately contributing to the Internet of Medical Things (IoMT).
- As IoMT grows, cybersecurity risks have risen. According to the Association for the
   Advancement of Medical Instrumentation (AAMI) Technical Information Report 57 (TIR57), "this
   has created a new source of risk for [the] safe operation [of medical devices]."<sup>iv</sup> In particular, the
   wireless infusion pump ecosystem (the pump, the network, and the data stored in and on a
   pump) face a range of threats, including unauthorized access to protected health information
   (PHI), changes to prescribed drug doses, and interference with a pump's function.
- In addition to managing interconnected medical devices, HDOs oversee complex, highly
   technical environments, from back-office applications for billing and insurance services, supply
   chain and inventory management, and staff scheduling to clinical systems such as radiological
   and pharmaceutical support. In this intricate healthcare environment, HDOs and medical device
   manufacturers that share responsibility and take a collaborative, holistic approach to reducing
   cybersecurity risks of the infusion pump ecosystem can better protect healthcare systems,
   patients, PHI, and enterprise information.
- The National Cybersecurity Center of Excellence (NCCoE) at the National Institute of Standards and Technology (NIST) analyzed risk factors in and around the infusion pump ecosystem using a questionnaire-based risk assessment. With the results of that assessment, the NCCoE then developed an example implementation that demonstrates how HDOs can use standards-based, commercially available cybersecurity technologies to better protect the infusion pump ecosystem, including patient information and drug library dosing limits.

#### 30 CHALLENGE

- 31 Technology improvements happen rapidly across all sectors. For organizations focused on streamlining
- 32 operations and delivering high-quality patient care, it can be difficult to take advantage of the latest
- technological advances, while also ensuring new medical devices or applications are secure. For many
- 34 HDOs, this can result in improperly configured information technology networks and components that
- 35 increase cybersecurity risks.
- 36 Unlike prior medical devices that were once standalone instruments, today's wireless infusion pumps
- 37 connect to a variety of healthcare systems, networks, and other devices. Although connecting infusion
- 38 pumps to point-of-care medication systems and electronic health records (EHRs) can improve healthcare
- delivery processes, using a medical device's connectivity capabilities can create significant cybersecurity
- 40 risk, which could lead to operational or safety risks. Tampering, intentional or otherwise, with the

- wireless infusion pump ecosystem can expose a healthcare provider's enterprise to serious risks, suchas:
- 43 access by malicious actors
- 44 Ioss or corruption of enterprise information and patient data and health records
- 45 a breach of protected health information
- 46 Ioss or disruption of healthcare services
- 47 damage to an organization's reputation, productivity, and bottom-line revenue
- 48 As IoMT grows, with an increasing number of infusion pumps connecting to networks, the vulnerabilities
- and risk factors become more critical as they can expose the pump ecosystem to external attacks,
- 50 compromises, or interference.

#### 51 SOLUTION

52 The NCCoE has developed cybersecurity guidance, NIST Special Publication 1800-8 Securing Wireless

53 Infusion Pumps, using standards-based commercially available technologies and industry best practices

54 to help HDOs strengthen the security of the wireless infusion pump ecosystem within healthcare

- 55 facilities.
- 56

57 This NIST cybersecurity publication provides best practices and detailed guidance on how to manage

- assets, protect against threats, and mitigate vulnerabilities by performing a questionnaire-based risk
- assessment. In addition, the security characteristics of wireless infusion pump ecosystem are mapped to
- 60 currently available cybersecurity standards and the Health Insurance Portability and Accountability Act
- 61 (HIPAA) Security Rule. Based on our risk assessment findings, we apply security controls to the pump's
- 62 ecosystem to create a 'defense-in-depth' solution for protecting infusion pumps and their surrounding
- 63 systems against various risk factors. Ultimately, we show how biomedical, networking, and cybersecurity
- 64 engineers and IT professionals can securely configure and deploy wireless infusion pumps to reduce
- 65 cybersecurity risk.
- 66
- 67 Although the NCCoE used a suite of commercially available tools and technologies to address wireless
- 68 infusion pump cybersecurity challenges, this guide does not endorse any specific products, nor does it
- 69 guarantee compliance with any regulatory initiatives. Your organization's information security experts
- can identify solutions that will best integrate with your organization's current tools and IT system
- 71 infrastructure. Your organization may choose to adopt this solution, or one that adheres to these
- 72 guidelines, or you may refer to this guide as a starting point for tailoring and implementing specific parts
- that best suit your organization's risk profile and needs.

#### 74 **BENEFITS**

The NCCoE's practice guide to securing the wireless infusion pump ecosystem can help yourorganization:

- reduce cybersecurity risk, and potentially reduce impact to safety and operational risk, such as
   the loss of patient information or interference with the standard operation of a medical device
- develop and execute a defense-in-depth strategy that protects the enterprise with layers of
   security to avoid a single point of failure and provide strong support for availability

implement current cybersecurity standards and best practices, while maintaining the
 performance and usability of wireless infusion pumps

#### 83 SHARE YOUR FEEDBACK

- 84 You can view or download the guide at <u>https://nccoe.nist.gov/projects/use\_cases/medical\_devices</u>.
- 85 Help the NCCoE make this guide better by sharing your thoughts with us. We recognize that technical
- solutions alone will not fully enable the benefits of a cybersecurity solution, so we encourage
- 87 organizations to share their lessons learned and best practices for transforming the processes associated
- 88 with implementing these guidelines. To provide comments or to learn more by arranging a
- 89 demonstration of this reference solution, contact the NCCoE at <u>hit\_nccoe@nist.gov</u>.
- 90

#### 91 **TECHNOLOGY PARTNERS/COLLABORATORS**

92 Technology vendors who participated in this project submitted their capabilities in response to a call in

- the Federal Register. Companies with relevant products were invited to sign a Cooperative Research and
- 94 Development Agreement with NIST, allowing them to participate in a consortium to build this example
- 95 solution.



96 Certain commercial entities, equipment, products, or materials may be identified in this practice guide

97 to adequately describe an experimental procedure or concept. Such identification is not intended to

- 98 imply recommendation or endorsement by NIST or NCCoE, nor is it intended to imply that the entities,
- 99 equipment, products, or materials are necessarily the best available for the purpose.
- 100

 The National Cybersecurity Center of Excellence (NCCoE), a part of the National Institute of Standards and Technology (NIST), is a collaborative hub where
 industry organizations, government agencies, and academic institutions work together to address businesses' most pressing cybersecurity challenges. Through
 this collaboration, the NCCoE applies standards and best practices to develop

modular, easily adaptable example cybersecurity solutions using commercially available technology.

<sup>i</sup> Internet of Things, Gartner IT Glossary, <u>http://www.gartner.com/it-glossary/internet-of-things/</u> [accessed 4/5/2017]. <sup>ii</sup> Popular Internet of Things Forecast of 50 Billion Devices by 2020 Is Outdated, IEEE Spectrum, 2016. <u>http://spectrum.ieee.org/tech-talk/telecom/internet/popular-internet-of-things-forecast-of-50-billion-devices-by-2020-is-</u>

**LEARN MORE** 

nccoe@nist.gov

301-975-0200

https://nccoe.nist.gov

outdated [accessed 4/5/2017]. <sup>III</sup> Defined by the Food and Drug Administration (FDA) as "a medical device that delivers fluids into a patient's body in a controlled manner, either through the use of interconnected servers or via a standalone drug library-based medication delivery system."

https://www.fda.gov/medicaldevices/productsandmedicalprocedures/generalhospitaldevicesandsupplies/infusionpumps/defa ult.htm [accessed 4/5/2017].

<sup>&</sup>lt;sup>iv</sup> *Principles of Medical Device Security,* Association for the Advancement of Medical Instrumentation (AAMI) Technical Information Report (TIR) 57, 2016, ix pp.

## **NIST SPECIAL PUBLICATION 1800-8B**

## Securing Wireless Infusion Pumps In Healthcare Delivery Organizations

Volume B: Approach, Architecture, and Security Characteristics

DRAFT

Gavin O'Brien National Cybersecurity Center of Excellence Information Technology Laboratory

Sallie Edwards Kevin Littlefield Neil McNab Sue Wang Kangmin Zheng The MITRE Corporation McLean, VA

May 2017





#### DISCLAIMER

Certain commercial entities, equipment, products, or materials may be identified in this document in order to describe an experimental procedure or concept adequately. Such identification is not intended to imply recommendation or endorsement by NIST or NCCoE, nor is it intended to imply that the entities, equipment, products, or materials are necessarily the best available for the purpose.

National Institute of Standards and Technology Special Publication 1800-8B Natl. Inst. Stand. Technol. Spec. Publ. 1800-8B, 90 pages, (May 2017), CODEN: NSPUE2

#### **FEEDBACK**

You can improve this guide by contributing feedback. As you review and adopt this solution for your own organization, we ask you and your colleagues to share your experience and advice with us.

Comments on this publication may be submitted to: <u>hit\_nccoe@nist.gov</u>.

Public comment period: May 8, 2017 through July 7, 2017

All comments are subject to release under the Freedom of Information Act (FOIA).

National Cybersecurity Center of Excellence National Institute of Standards and Technology 100 Bureau Drive Mailstop 2002 Gaithersburg, MD 20899 Email: <u>nccoe@nist.gov</u>

#### NATIONAL CYBERSECURITY CENTER OF EXCELLENCE

The National Cybersecurity Center of Excellence (NCCoE), a part of the National Institute of Standards and Technology (NIST), is a collaborative hub where industry organizations, government agencies, and academic institutions work together to address businesses' most pressing cybersecurity issues. This public-private partnership enables the creation of practical cybersecurity solutions for specific industries or broad, cross-sector technology challenges. Working with technology partners—from Fortune 50 market leaders to smaller companies specializing in IT security—the NCCoE applies standards and best practices to develop modular, easily adaptable example cybersecurity solutions using commercially available technology. The NCCoE documents these example solutions in the NIST Special Publication 1800 series, which maps capabilities to the NIST Cyber Security Framework and details the steps needed for another entity to recreate the example solution. The NCCoE was established in 2012 by NIST in partnership with the State of Maryland and Montgomery County, Md.

To learn more about the NCCoE, visit <u>https://nccoe.nist.gov</u>. To learn more about NIST, visit <u>https://www.nist.gov.</u>

#### **NIST CYBERSECURITY PRACTICE GUIDES**

NIST Cybersecurity Practice Guides (Special Publication Series 1800) target specific cybersecurity challenges in the public and private sectors. They are practical, user-friendly guides that facilitate the adoption of standards-based approaches to cybersecurity. They show members of the information security community how to implement example solutions that help them align more easily with relevant standards and best practices and provide users with the materials lists, configuration files, and other information they need to implement a similar approach.

The documents in this series describe example implementations of cybersecurity practices that businesses and other organizations may voluntarily adopt. These documents do not describe regulations or mandatory practices, nor do they carry statutory authority.

#### ABSTRACT

Medical devices, such as infusion pumps, were once standalone instruments that interacted only with the patient or medical provider. But today's medical devices connect to a variety of health care systems, networks, and other tools within a healthcare delivery organization (HDO). Connecting devices to point-of-care medication systems and electronic health records can improve healthcare delivery processes, however, increasing connectivity capabilities also creates cybersecurity risks. Potential threats include unauthorized access to patient health information, changes to prescribed drug doses, and interference with a pump's function.

The NCCoE at NIST analyzed risk factors in and around the infusion pump ecosystem using a questionnaire-based risk assessment to develop an example implementation that demonstrates how

HDOs can use standards-based, commercially available cybersecurity technologies to better protect the infusion pump ecosystem, including patient information and drug library dosing limits.

This practice guide will help HDOs implement current cybersecurity standards and best practices to reduce their cybersecurity risk, while maintaining the performance and usability of wireless infusion pumps.

#### **KEYWORDS**

authentication; authorization; digital certificates; encryption; infusion pumps; Internet of Things; IoT; medical devices; network zoning; pump servers; questionnaire-based risk assessment; segmentation; VPN; Wi-Fi; wireless medical devices

#### ACKNOWLEDGMENTS

We are grateful to the following individuals for their generous contributions of expertise and time.

Name	Organization	
Arnab Ray	Baxter Healthcare Corporation	
Pavel Slavin	Baxter Healthcare Corporation	
Phillip Fisk	Baxter Healthcare Corporation	
Raymond Kan	Baxter Healthcare Corporation	
Tom Kowalczyk	B. Braun Medical Inc.	
David Suarez	Becton, Dickinson and Company (BD)	
Robert Canfield	Becton, Dickinson and Company (BD)	
Rob Suarez	Becton, Dickinson and Company (BD)	
Robert Skelton	Becton, Dickinson and Company (BD)	
Peter Romness	Cisco	
Kevin McFadden	Cisco	
Rich Curtiss	Clearwater Compliance	
Darin Andrew	DigiCert	
Kris Singh	DigiCert	

Name	Organization	
Mike Nelson	DigiCert	
Chaitanya Srinivasamurthy	Hospira Inc., a Pfizer Company (ICU Medical)	
Joseph Sener	Hospira Inc., a Pfizer Company (ICU Medical)	
Chris Edwards	Intercede	
Won Jun	Intercede	
Dale Nordenberg	MDISS	
Jay Stevens	MDISS	
Carlos Aguayo Gonzalez	PFP Cybersecurity	
Thurston Brooks	PFP Cybersecurity	
Colin Bowers	Ramparts	
Bill Hagestad	Smiths Medical	
Axel Wirth	Symantec Corporation	
Bryan Jacobs	Symantec Corporation	
Bill Johnson	TDi Technologies, Inc.	
Barbara De Pompa Reimers	The MITRE Corporation	
Sarah Kinling	The MITRE Corporation	
Marilyn Kupetz	The MITRE Corporation	
David Weitzel	The MITRE Corporation	
Mary Yang	The MITRE Corporation	

The technology vendors who participated in this build submitted their capabilities in response to a notice in the Federal Register. Companies with relevant products were invited to sign a Cooperative

Research and Development Agreement (CRADA) with NIST, allowing them to participate in a consortium to build this example solution. We worked with:

Technology Partner/Collaborator	Build Involvement
Baxter Healthcare Corporation	<ul> <li>Sigma Spectrum LVP, version 8</li> <li>Sigma Spectrum Wireless Battery Module, version 8</li> <li>Sigma Spectrum Master Drug Library, version 8</li> <li>CareEverywhere Gateway Server, version 14</li> </ul>
<u>B. Braun Medical Inc.</u>	<ul> <li>Infusomat<sup>®</sup> Space Infusion System/ Large Volume Pumps</li> <li>DoseTrac<sup>®</sup> Infusion Management Software/ Infusion Pump Software</li> </ul>
Becton, Dickinson and Company (BD)	<ul> <li>Alaris<sup>®</sup> 8015 PC Unit v9.19.2</li> <li>Alaris<sup>®</sup> Syringe Module 8110</li> <li>Alaris<sup>®</sup> LVP Module 8100</li> <li>Alaris<sup>®</sup> Systems Manager v4.2</li> <li>Alaris<sup>®</sup> System Maintenance (ASM) v 10.19</li> </ul>
Cisco	<ul> <li>Access Point (AIR-CAP1602I-A-K9)</li> <li>Wireless LAN Controller 8.2.111.0</li> <li>Cisco ISE</li> <li>Cisco: ASA</li> <li>Catalyst 3650 Switch</li> </ul>
Clearwater Compliance	Clearwater: IRM   Pro
<u>DigiCert</u>	CertCentral management account / Certificate Authority
Hospira Inc., a Pfizer Company (ICU Medical)	<ul> <li>Plum 360<sup>™</sup> Infusion System, version 15.10</li> <li>LifeCare PCA<sup>™</sup> Infusion System, version 7.02</li> <li>Hospira MedNet<sup>™</sup>, version 6.2</li> </ul>

Technology Partner/Collaborator	Build Involvement	
Intercede	MyID	
MDISS	MDRAP	
PFP Cybersecurity	Device Monitor	
Ramparts	Risk Assessment	
<u>Smiths Medical</u>	<ul> <li>Medfusion<sup>®</sup> 3500 V5 syringe infusion system</li> <li>PharmGuard<sup>®</sup> Toolbox v1.5</li> <li>Medfusion 4000<sup>®</sup> Wireless Syringe Infusion Pump</li> <li>CD, PHARMGUARD<sup>®</sup> TOOLBOX 2, V3.0 use with Medfusion<sup>®</sup> 4000 and 3500 V6 (US)</li> <li>PharmGuard<sup>®</sup> Server Licenses, PharmGuard<sup>®</sup> Server Enterprise Edition, V1.1</li> <li>CADD<sup>®</sup>-Solis Ambulatory Infusion Pump</li> <li>CADD<sup>™</sup>-Solis Medication Safety Software</li> </ul>	
Symantec Corporation	<ul> <li>Endpoint Protection (SEP)</li> <li>Advanced Threat Protection: Network (ATP:N)</li> <li>Server Advanced - DataCenter Security (DCS:SA):</li> </ul>	
TDi Technologies, Inc.	ConsoleWorks	

## Contents

Sun	ımmary1		
1.1	Challe	nge	2
1.2	Solutio	on	
1.3	Benefi	ts	4
Hov	v to U	se This Guide	5
2.1	Туроді	raphical Conventions	6
Арр	proach		
3.1	Audier	nce	8
3.2	Scope		8
	3.2.1	Assumptions	8
	3.2.2	Security	8
	3.2.3	Existing Infrastructure	8
	3.2.4	Technical Implementation	9
	3.2.5	Capability Variation	9
Risk	Asse	ssment and Mitigation	9
4.1	Risk As	ssessments	
	4.1.1	Industry Analysis of Risk	11
	4.1.2	Questionnaire-based Risk Assessment	12
	4.1.3	Assets	12
	4.1.4	Threats	12
	4.1.5	Vulnerabilities	13
	4.1.6	Risks	14
	4.1.7	Recommendations and Best Practices	16
4.2	Risk Response Strategy		
	4.2.1	Risk Mitigation	17
4.3	Securit	ty Characteristics and Controls Mapping	
4.4	Technologies		
	Sum 1.1 1.2 1.3 Hov 2.1 App 3.1 3.2 Risk 4.1 4.2 4.3 4.4	Summary         1.1       Challe         1.2       Solution         1.3       Benefit         How to U       1.1         2.1       Typog         Approach       3.1         3.1       Audien         3.2       3.2.1         3.2.1       3.2.2         3.2.3       3.2.4         3.2.5       3.2.4         3.2.5       3.2.4         3.2.5       Assee         4.1       4.1.2         4.1.1       4.1.2         4.1.3       4.1.4         4.1.5       4.1.6         4.1.7       4.2         4.3       Securit         4.3       Securit         4.4       Technologie	Summary.         1.1 Challenge         1.2 Solution         1.3 Benefits         How to Use This Guide         2.1 Typographical Conventions         Approach         3.1 Audience         3.2 Scope         3.2.1 Assumptions         3.2.2 Security         3.2.3 Existing Infrastructure.         3.2.4 Technical Implementation         3.2.5 Capability Variation         Risk Assessment and Mitigation         4.1 Risk Assessments.         4.1.1 Industry Analysis of Risk.         4.1.2 Questionnaire-based Risk Assessment         4.1.3 Assets.         4.1.4 Threats         4.1.5 Vulnerabilities         4.1.6 Risks.         4.1.7 Recommendations and Best Practices.         4.2 Risk Response Strategy.         4.2.1 Risk Mitigation         4.3 Security Characteristics and Controls Mapping.         4.4 Technologies

5	Architecture				
	5.1	Basic S	Basic System		
	5.2	Data F	-low		
	5.3	Cyber	security Controls		
		5.3.1	Network Controls	33	
		5.3.2	Pump Controls	49	
		5.3.3	Pump Server Controls	50	
		5.3.4	Enterprise Level Controls	54	
	5.4	Final A	Architecture	55	
6	Life	Cycle	Cybersecurity Issues	56	
	6.1	Procu	rement	57	
	6.2	Opera	tion	57	
	6.3	Maint	enance	58	
	6.4	Dispos	sal	58	
7	Sec	urity (	Characteristics Analysis	59	
	7.1	Assum	ptions and Limitations	59	
	7.2	Applic	ation of Security Characteristics	59	
		7.2.1	Supported CSF Subcategories	59	
	7.3	Securi	ty Analysis Summary	62	
8	Fun	ction	al Evaluation	63	
	8.1	Functi	onal Test Plan	63	
		8.1.1	Test Case: WIP-1	64	
		8.1.2	Test Case: WIP-2	64	
		8.1.3	Test Case: WIP-3	65	
		8.1.4	Test Case: WIP-4	66	
		8.1.5	Test Case: WIP-5	66	
		8.1.6	Test Case: WIP-6	67	
		8.1.7	Test Case: WIP-7	68	
9	Fut	ure Bu	uild Considerations	69	

Appendix A	Threats	70
Appendix B	Vulnerabilities	72
Appendix C	Recommendations and Best Practices	75
Appendix D	References	77

## **List of Figures**

Figure 4-1: Tiered Risk Management Approach (NIST SP 800-37)1	10
Figure 4-2: Relationship between Security and Safety Risks (AAMI TIR 57) 1	1
Figure 5-1: Basic System	32
Figure 5-2: Network Architecture with Segmentation	57
Figure 5-3: Wi-Fi Management	8
Figure 5-4: Wi-Fi Authentication	9
Figure 5-5: Wi-Fi Device Access	ŀO
Figure 5-6: Network Access Control 4	13
Figure 5-7: Remote Access VPN 4	4
Figure 5-8: Remote Access	16
Figure 5-9: External 4	18
Figure 5-10: Pump Server Protection	;3
Figure 5-11: Target Architecture	;5
Figure 6-1: Asset Life Cycle5	6

## **List of Tables**

Table 4-1: Security Characteristics and Controls Mapping - NIST Cyber Security Framework	19
Table 4-2: Products and Technologies	24

#### 1 **1 Summary**

- 2 Medical devices, such as infusion pumps, were once standalone instruments that interacted only with
- 3 the patient or medical provider [1]. With technological improvements designed to enhance patient care,
- 4 these devices now connect wirelessly to a variety of systems, networks, and other tools within a
- 5 healthcare delivery organization (HDO) ultimately contributing to the Internet of Medical Things
- 6 (IoMT).
- 7 In addition to managing interconnected medical devices, HDOs oversee complex, highly technical
- 8 environments, from back-office applications for billing and insurance services, supply chain and
- 9 inventory management, and staff scheduling to clinical systems such as radiological and pharmaceutical
- 10 support. In this intricate healthcare environment, HDOs and medical device manufacturers that share
- 11 responsibility and take a collaborative, holistic approach to reducing cybersecurity risks of the wireless
- 12 infusion pump ecosystem can better protect healthcare systems, patients, PHI, and enterprise
- 13 information.
- 14 The National Cybersecurity Center of Excellence (NCCoE) at the National Institute of Standards and
- 15 Technology (NIST) developed an example implementation that demonstrates how HDOs can use
- 16 standards-based, commercially available cybersecurity technologies to better protect the wireless
- 17 infusion pump ecosystem, including patient information and drug library dosing limits.
- 18 The NCCoE's project has resulted in a NIST Cybersecurity Practice Guide, Securing Wireless Infusion
- 19 Pumps, that addresses how to manage this challenge in clinical settings with a reference design and
- 20 example implementation. Our example solution starts with two types of risk assessments: an industry
- 21 analysis of risk and a questionnaire-based-risk assessment. With the results of that assessment, we then
- 22 used a defense-in-depth strategy to secure the pump, server components, and surrounding network to
- 23 create a better protected environment for wireless infusion pumps.
- 24 The solution and architectures presented here are built upon standards-based, commercially available
- 25 products and represent one of many possible solutions and architectures. The example implementation
- can be used by any organization that is deploying wireless infusion pump systems and is willing to
- 27 perform their own risk assessment and implement controls based on their risk posture.
- 28 For ease of use, here is a short description of the different sections of this volume.
- 29 **Section 1:** <u>Summary</u> presents the challenge addressed by the NCCoE project, with an in-depth look at
- 30 our approach, the architecture, and the security characteristics we used; the solution demonstrated to
- 31 address the challenge; benefits of the solution; and the technology partners that participated in
- 32 building, demonstrating, and documenting the solution. The Summary also explains how to provide
- 33 feedback on this guide.

- 34 Section 2: <u>How to Use This Guide</u> explains how readers like you—business decision makers, program
- 35 managers, information technology (IT) professionals (e.g., systems administrators), and biomedical
- 36 engineers—might use each volume of the guide.
- 37 **Section 3:** <u>Approach</u> offers a detailed treatment of the scope of the project, describes the assumptions
- 38 on which the security platform development was based, the risk assessment that informed platform
- 39 development, and the technologies and components that industry collaborators gave us to enable
- 40 platform development.
- 41 Section 4: <u>Risk Assessment and Mitigation</u> highlights the risks we found, along with the potential
- 42 response and mitigation efforts that can help lower risks for HDOs.
- 43 **Section 5:** <u>Architecture</u> describes the usage scenarios supported by project security platforms, including
- 44 Cybersecurity Framework functions supported by each component contributed by our collaborators.
- 45 **Section 6:** <u>Life Cycle Cybersecurity Issues</u> discusses cybersecurity considerations from a product life
- 46 cycle perspective including: procurement, maintenance, end of life.
- 47 **Section 7:** <u>Security Characteristics Analysis</u> provides details about the tools and techniques we used to
- 48 perform risk assessments pertaining to wireless infusion pumps.
- 49 **Section 8:** <u>Functional Evaluation</u> summarizes the test sequences we employed to demonstrate security
- 50 platform services, the Cybersecurity Framework functions to which each test sequence is relevant, and
- 51 the NIST SP 800-53-4 controls that applied to the functions being demonstrated.
- 52 **Section 9:** <u>Future Build Considerations</u> is a brief treatment of other applications that NIST might explore 53 in the future to further support wireless infusion pump cybersecurity.
- 54 Appendices provide acronym translations, references, a mapping of the wireless infusion pump project
- to the Cybersecurity Framework Core (CFC), and a list of additional informative security references cited
   in the CFC.

#### 57 1.1 Challenge

- 58 The Food and Drug Administration (FDA) defines an *external infusion pump* as a medical device that
- 59 delivers fluids into a patient's body in a controlled manner, using interconnected servers or via a
- 60 standalone drug library-based medication delivery system [1]. In the past, infusion pumps were
- 61 standalone instruments that interacted only with the patient and the medical provider. Now,
- 62 connecting infusion pumps to point-of-care medication systems and electronic health records (EHRs)
- 63 can help improve healthcare delivery processes, but using a medical device's connectivity capabilities
- 64 can also create cybersecurity risk, which could lead to operational or safety risks.
- 65 Wireless infusion pumps are challenging to protect for several reasons. They can be infected by
- 66 malware, which can cause them to malfunction or operate differently than originally intended. And
- 67 traditional malware protection could negatively impact the pump's ability to operate efficiently. In

- addition, most wireless infusion pumps contain a maintenance default passcode. If HDOs do not change
- 69 the default passcodes when provisioning pumps, nor periodically change the passwords after pumps are
- 70 deployed, this creates a vulnerability. This can make it difficult to revoke access codes when a hospital
- 71 employee resigns from the job, for example. Furthermore, information stored inside infusion pumps
- also must be properly secured, including data from drug library systems, infusion rates and dosages, or
- 73 protected health information (PHI) [2], [3], [4], [5], [6].
- Additionally, like other devices with operating systems and software that connect to a network, the
- 75 wireless infusion pump ecosystem creates a large *attack surface* (i.e., the different points where an
- 76 attacker could get into a system, and where they could exfiltrate data out), primarily due to
- vulnerabilities in operating systems, subsystems, networks or default configuration settings that allow
- for possible unauthorized access [6], [7], [8]. Because many infusion pump models can be accessed and
- 79 programmed remotely through a healthcare facility's wireless network, this vulnerability could be
- 80 exploited to allow an unauthorized user to interfere with the pump's function, harming a patient
- 81 through incorrect drug dosing or the compromise of that patient's PHI.
- 82 These risk factors are real, exposing the wireless pump ecosystem to external attacks, compromise or
- 83 interference [6], [8], [9]. Digital tampering, intentional or otherwise, with a wireless infusion pump's
- 84 ecosystem (the pump, the network, and data in and on the pump) can expose a healthcare delivery
- 85 organization (HDO) to critical risk factors, such as malicious actors; loss of data; a breach of PHI; loss of
- 86 services; loss of health records; the potential for downtime; and damage to an HDO's reputation,
- 87 productivity, and bottom-line revenue.
- 88 This practice guide helps you address your assets, threats, and vulnerabilities by demonstrating how to
- 89 perform a questionnaire-based risk assessment survey. After you complete the assessment, you can
- 90 apply security controls to the infusion pumps in your area of responsibility to create a defense-in-depth
- 91 solution to protect them from cybersecurity risks.

#### 92 **1.2 Solution**

- 93 The NIST Cybersecurity Practice Guide Securing Wireless Infusion Pumps shows how biomedical
- 94 engineers, networking engineers, security engineers and IT professionals, using commercially available,
- open source tools and technologies that are consistent with cybersecurity standards, can help securely
- 96 configure and deploy wireless infusion pumps within HDOs.
- In addition, the security characteristics of wireless infusion pump ecosystem are mapped to currently
  available cybersecurity standards and the Health Insurance Portability and Accountability Act (HIPAA)
  Security Rule. In developing our solution, we used standards and guidance from:
- 100NIST Framework for Improving Critical Infrastructure Cybersecurity (commonly known as the101NIST CSF) [10]
- 102 NIST Risk Management Framework (RMF) [11], [12], [13]

103 104	1	NIST SP 800-53rev4 Security and Privacy Controls for Federal Information Systems and Organizations [14]	
105 106	1	Association for the Advancement of Medical Instrumentation (AAMI) Technical Information Report (TIR) 57 [9]	
107 108	1	International Electrotechnical Commission (IEC) 80001 and 80002 risk management for IT networks incorporating medical devices [15], [16], [17], [18], [19]	
109 110	1	Food and Drug Administration's (FDA) Postmarket Management of Cybersecurity in Medical Devices for building block standards for any medical device cybersecurity solution.	
111	Ultima	tely, this practice guide:	
112 113 114	1	maps security characteristics to standards and best practices from NIST and other standards organizations, to the Health Insurance Portability and Accountability Act of 1996 (HIPAA) Security Rule [10], [14], [20], [21], [22]	
115	1.1	provides a detailed architecture and capabilities that address security controls	
116	1.1	provides a how-to for implementers and security engineers to recreate the reference design	
117 118	1	is modular and uses products that are readily available and interoperable with existing IT infrastructure and investments.	
119 120 121	Your or or you suit yo	rganization may choose to adopt this example solution, or one that adheres to these guidelines, may refer to this guide as a starting point for tailoring and implementing specific parts that best ur organization's needs. Although the NCCoE used a suite of commercially available tools and	
122	technologies to address wireless infusion pump cybersecurity challenges, this guide does not endorse		
123	any specific products, nor does it guarantee compliance with any regulatory initiatives. Refer to your		
124	organization's information security experts to identify solutions that will best integrate with your		
125	organization's current tools and IT system infrastructure.		

#### 126 **1.3 Benefits**

- 127 The example solution presented in this practice guide offers several benefits, including:
- illustrating cybersecurity standards and best practice guidelines to better secure the wireless
   infusion pump ecosystem, such as the hardening of operating systems, segmenting the
   network, white listing, code-signing, and using certificates for both authorization and
   encryption, maintaining the performance and usability of wireless infusion pumps
- reducing risks from the compromise of information, including the potential for breach or loss of
   protected health information (PHI), as well as not allowing these medical devices to be used for
   anything other than the intended purposes
- documenting a defense-in-depth strategy to introduce layers of cybersecurity controls that
   avoid a single point of failure and provide strong support for availability. This strategy may
   include a variety of tactics: using network segmentation to isolate business units and user

access; applying firewalls to manage and control network traffic; hardening and enabling device
 security features to reduce zero-day exploits; and implementing strong network authentication
 protocols and proper network encryption, monitoring, auditing and intrusion detection and
 prevention services (IDS/IPS).

- highlighting best practices for procurement of wireless infusion pumps by including the need for
   cybersecurity features at the point of purchase
- calling upon industry to create new best practices for healthcare providers to consider when on boarding medical devices, with a focus on elements such as asset inventory, certificate
   management, device hardening and configuration, and a clean-room environment to limit the
   possibility of zero-day vulnerabilities.

#### 148 **2** How to Use This Guide

 149
 This NIST Cybersecurity Practice Guide demonstrates a standards-based reference design and provides

users with the information they need to replicate NCCoE's questionnaire-based risk assessment and

deployment of a defense in depth strategy. This reference design is modular and can be deployed in

152 whole or in parts.

162

163

- 153 This guide contains three volumes:
- 154 NIST SP 1800-8A: *Executive Summary*
- NIST SP 1800-8B: Approach, Architecture, and Security Characteristics what we built and why
   (you are here)
- 157 NIST SP 1800-8C: *How-To Guides* instructions for building the example solution.

158 Depending on your role in your organization, you might use this guide in different ways:

- Business decision makers, including chief security and technology officers will be interested in
   the *Executive Summary (NIST SP 1800-8A)*, which describes the:
- 161 challenges enterprises face in securing the wireless infusion pump ecosystem
  - example solution built at the NCCoE
    - benefits of adopting the example solution.
- Technology or security program managers concerned with how to identify, understand, assess, and mitigate risk will be interested in this part of the guide, *NIST SP 1800-8B*, which describes what we did and why. The following sections will be of particular interest:
- Section 4, <u>Risk Assessment and Mitigation</u>, describes the risk analysis we performed
- Section 4.3, <u>Security Characteristics and Controls Mapping</u>, maps the security
   characteristics of this example solution to cybersecurity standards and best practices.

- 170 You might share the *Executive Summary, NIST SP 1800-8A*, with your leadership team to help them
- 171 understand the significant risk of unsecured IoMT and the importance of adopting standards-based,
- 172 commercially available technologies that can help secure the wireless infusion pump ecosystem.
- 173 IT professionals who want to implement an approach like this will find the whole practice guide useful.
- 174 You can use the How-To portion of the guide, *NIST SP 1800-8C*, to replicate all or parts of the example
- implementation that we built in our lab. The How-To guide provides specific product installation,
- 176 configuration, and integration instructions for implementing the example solution. We do not recreate
- 177 the product manufacturers' documentation, which is generally widely available. Rather, we show how
- 178 we incorporated the products together in our environment to create an example solution.
- 179 This guide assumes that IT professionals have experience implementing security products within the
- 180 enterprise. While we have used a suite of commercial products to address this challenge, this guide
- 181 does not endorse any products. Your organization can adopt this solution or one that adheres to these
- 182 guidelines in part or in whole. Your organization's security experts should identify the products that will
- 183 best integrate with your existing tools and IT system infrastructure. We hope you will seek products that
- are congruent with applicable standards and best practices. Section 4.4, <u>Technologies</u> lists the products
- 185 we used and maps them to the cybersecurity controls provided by this reference solution.
- 186 A NIST Cybersecurity Practice Guide does not describe *the* solution, but rather a *possible* solution. This is
- 187 a draft guide. We seek feedback on its contents and welcome your input. Comments, suggestions, and
- 188 success stories will improve subsequent versions. Please contribute your thoughts by sending them to
- 189 <u>hit\_nccoe@nist.gov</u>.

#### 190 **2.1 Typographical Conventions**

191 The following table presents typographic conventions used in this volume.

Typeface/Symbol	Meaning	Example
Italics	filenames and pathnames references to documents that are not hyperlinks, new terms, and placeholders	For detailed definitions of terms, see the <i>NCCoE Glossary</i> .
Bold	names of menus, options, com- mand buttons and fields	Choose <b>File &gt; Edit</b> .
Monospace	command-line input, on-screen computer output, sample code examples, status codes	mkdir

Typeface/Symbol	Meaning	Example
Monospace Bold	command-line user input con- trasted with computer output	service sshd start
<u>blue text</u>	link to other parts of the docu- ment, a web URL, or an email address	All publications from NIST's National Cybersecurity Center of Excellence are available at <u>https://nccoe.nist.gov</u> .

#### 192 **3** Approach

193 Medical devices have grown increasingly powerful, offering patients improved, safer healthcare options

194 with less physical effort for providers. To accomplish this, medical devices now contain operating

195 systems and communication hardware that allow them to connect to networks and other devices. The

196 connected functionality responsible for much of the improvement of medical devices poses challenges

- 197 not formerly seen with standalone instruments.
- 198 Clinicians and patients rely on infusion pumps for safe and accurate administration of fluids and

199 medications. However, the FDA has identified problems that can compromise the safe use of external

- infusion pumps [2], [3], [7]. These issues can lead to over- or under-infusion, missed treatments, or
- 201 delayed therapy. The NCCoE initiated this project to help healthcare providers develop a more secure
- 202 wireless infusion pump ecosystem, which can be applied to similarly connected medical devices. The
- 203 wireless infusion pump was selected as a representative medical device. Throughout the remainder of
- this guide, the focus will be on the secure operation of the wireless infusion pump ecosystem. Both the
- architecture and security controls may be applied to increase the security posture for other types of

206 medical devices. However, any application should be reviewed and tailored to the specific environment

- 207 in which the medical device will operate.
- 208 Throughout the wireless infusion pump project, we collaborated with our Healthcare Community of
- 209 Interest (COI) and cybersecurity vendors to identify infusion pump threat actors, define interactions
- 210 between the actors and systems, review risk factors, develop an architecture and reference design,
- 211 identify applicable mitigating security technologies, and design an example implementation. This
- 212 practice guide highlights the approach used to develop the NCCoE reference solution. Elements include
- risk assessment and analysis, logical design, build development, test and evaluation and security control
- 214 mapping. The practice guide seeks to help the healthcare community evaluate the security environment
- surrounding infusion pumps deployed in a clinical setting.

#### 216 **3.1 Audience**

- 217 This guide is primarily intended for professionals implementing security solutions within an HDO. It may
- also be of interest to anyone responsible for securing non-traditional computing devices (i.e., theInternet of Things, or IoT).
- 220 More specifically, Volume B of the practice guide is designed to appeal to a wide range of job functions.
- 221 This volume offers cybersecurity or technology decision makers within HDOs a view into how they can
- make the medical device environment more secure to help improve their enterprise's security posture
- 223 and reduce enterprise risk. It offers technical staff guidance on architecting a more secure medical
- 224 device network and instituting compensating controls.

#### 225 **3.2 Scope**

- 226 The NCCoE project focused on securing the environment of the medical device and not re-engineering
- the device itself. To do this, we reviewed known vulnerabilities in wireless infusion pumps and
- 228 examined how the architecture and component integration could be designed to increase the security
- of the device. The approach considered the life cycle of a wireless infusion pump from planning the
- 230 purchase, to decommissioning, with a concentration on the configuration, use, and maintenance
- 231 phases.

#### 232 3.2.1 Assumptions

Considerable research, investigation, and collaboration went into the development of the reference
 design in this guide. The actual build and example implementation of this architecture occurred in a lab
 environment at the NCCoE. Although the lab is based on a clinical environment, it does not mirror the
 complexity of an actual hospital network. It is assumed that any actual clinical environment would
 represent additional complexity.

#### 238 3.2.2 Security

We assume that those of you who plan to adopt this solution or any of its components have some
 degree of network security already in place. As a result, we focused primarily on new vulnerabilities that
 may be introduced if organizations implement the example solution. Section 4, <u>Risk Assessment and</u>
 <u>Mitigation</u>, contains detailed recommendations on how to secure the core components highlighted in
 this practice guide.

#### 244 3.2.3 Existing Infrastructure

This guide may help you design an entirely new infrastructure. However, it is geared toward those with an established infrastructure, as that represents the largest portion of readers. Hospitals and clinics are likely to have some combination of the capabilities described in this reference solution. Before applying any measures addressed in this guide, we recommend that you review and test them for applicability to

your existing environment. No two hospitals or clinics are the same, and the impact of applying securitycontrols will differ.

#### 251 3.2.4 Technical Implementation

252 The guide is written from a how-to perspective. Its foremost purpose is to provide details on how to

- 253 install, configure, and integrate components, and how to construct correlated alerts based on the
- 254 capabilities we selected.

#### 255 3.2.5 Capability Variation

We fully understand that the capabilities presented here are not the only security options available to the healthcare industry. Desired security capabilities may vary considerably from one provider to the next.

#### 259 4 Risk Assessment and Mitigation

NIST SP 800-30, *Risk Management Guide for Information Technology Systems*, states, "Risk is the net
 negative impact of the exercise of a vulnerability, considering both the probability and the impact of
 occurrence. Risk management is the process of identifying risk, assessing risk, and taking steps to reduce
 risk to an acceptable level" [11].

We recommend that any discussion of risk management, particularly at the enterprise level, begin with a comprehensive review of NIST SP 800-37, *A Guide for Applying the Risk Management Framework to Federal Information Systems* [12].NIST's Risk Management Framework (RMF) guidance has provided invaluable advice in providing a baseline to assess risks, from which the NCCoE developed the project, the security characteristics of the solution, and this guide.

- 269 It is important to understand what constitutes the definition of risk as it relates to non-traditional
- information systems such as wireless infusion pumps. NIST SP 800-37 presents three tiers in the risk
   management hierarchy (Figure 4-1):
- 272 1. Organization
- 273 2. Business Processes
- 274 3. Information Systems

#### 275 Figure 4-1: Tiered Risk Management Approach (NIST SP 800-37)



276

This guide focuses on the Tier 3 application of risk management but incorporates other industry risk
 management and assessment standards and best practices for the context of networked medical
 devices in HDOs. Relevant standards and best practices include:

280 281 282	1	International Electrotechnical Commission (IEC) 80001-1 (2010): Application of risk management for IT-networks incorporating medical devices—Part 1: Roles, responsibilities, and activities [23]
283 284	1	International Electrotechnical Commission/ Technical Report (IEC/TR) 80001-2: Application of risk management for IT networks incorporating medical devices [16], [17], [18], [19]
285 286	1	International Standards Organization (ISO) 14971:2007 Medical devices—Application of risk management to medical devices [24]
287 288	1	Association for the Advancement of Medical Instrumentation (AAMI) Technical Information Report (TIR) 57: 2016 Principles for medical device security—risk management [9]
289 290	1	Food and Drug Administration (FDA) Postmarket Management of Cybersecurity in Medical Devices [3].
291 292	For this devices	s NCCoE project, it was extremely important to understand the complexity of networked medical s in a system-of-systems environment. Additionally, we felt it necessary to understand where

- specified elements of medical device security using NIST's RMF, IEC 80001-1, IEC/TR 80001-2 and ISO
- 295 14971 [9], [11], [12], [13], [15], [16], [17], [18], [19], [23], [24]. Also, the Venn diagram in Figure 4-2
- 296 illustrates the relationship between security and safety risks (AAMI TIR57). As seen in this diagram,
- there are cybersecurity risks that may have safety impacts. For HDOs, these risks should receive special
- attention from both security and safety personnel.
- 299 Figure 4-2: Relationship between Security and Safety Risks (AAMI TIR 57) [7]



300

#### 301 4.1 Risk Assessments

For this NCCoE project, we performed two types of risk assessments: (1) industry analysis of risk and (2)
 questionnaire-based risk assessment.

#### 304 4.1.1 Industry Analysis of Risk

The first assessment was an industry analysis of risk performed while developing the initial use case. This industry analysis provided insight into the challenges of integrating medical devices into a clinical environment containing a standard IT network. Completion of the industry analysis narrowed the objective of our use case to helping HDOs secure medical devices on an enterprise network, with a specific focus on wireless infusion pumps.

- 310 Activities involved in our industry analysis included reaching out to our COI and other industry experts
- 311 through workshops and focus group discussions. After receiving feedback on the NCCoE's use case
- publication through a period of public comment, NCCoE adjudicated the comments and clarified a
- 313 project description. These activities were instrumental to identifying primary risk factors as well as

educating our team on the uniqueness of cybersecurity risks involved in protecting medical devices inhealthcare environments.

#### 316 4.1.2 Questionnaire-based Risk Assessment

317 For the second type of risk assessment, we conducted a formal questionnaire-based risk assessment, 318 using tools from two NCCoE Cooperative Research and Development Agreement (CRADA) collaborators. 319 We conducted this questionnaire-based risk assessment to gain greater understanding of the risks 320 surrounding the wireless infusion pump ecosystem. The tool identifies the risks and maps them to the 321 security controls. This type of risk assessment is considered appropriate for Tier 3: Information Systems, 322 per NIST's RMF. One tool focuses on medical devices and the surrounding ecosystem. The other tool 323 focuses on the HDO enterprise. Both questionnaire-based risk assessment tools leverage guidance and 324 best practices including the NIST RMF and CSF and focus on built-in threats, vulnerabilities, and controls 325 [10], [11], [12], [13]. The assessment results measure likelihood, severity, and impact of potential 326 threats.

327 All risk assessment activities provide an understanding of the challenges and risks involved when

integrating medical devices, in this case wireless infusion pumps, into a typical IT network. Based on this
 analysis, this project has two fundamental objectives for this project:

- 330 to protect the wireless infusion pumps from cyberattacks;
- 331 to protect the healthcare ecosystem, should a wireless infusion pump be compromised.

Per AAMI's TIR57, "To assess security risk, several factors need to be identified and documented,"
(Hoyme & Geoff, 2016) [9].

Based on our risk assessments and additional research, we identified primary threats, vulnerabilities,
 and risks that should be addressed when using wireless infusion pumps in HDOs.

#### 336 4.1.3 Assets

337 Defining the asset is the first step in establishing the asset-threat-vulnerability construct necessary to

properly evaluate or measure risks, per NIST's RMF [11], [12], [13]. An information asset is typically

defined as a software application or information system that uses devices or third-party vendors for

340 support and maintenance. For the NCCoE's purposes, the information asset selected is a *Wireless* 

- 341 Infusion Pump System. A risk assessment of this asset would include an evaluation of the cybersecurity
- 342 controls for the pump, pump server, end-point connections, network controls, data storage, remote
- 343 access, vendor support, inventory control, and any other associated elements.

#### 344 4.1.4 Threats

Below are some potential known threats in HDOs that use network-connected medical devices, such as wireless infusion pumps. Refer to <u>Appendix A</u> for a description of each threat.

- Targeted attacks
- Advanced Persistent Threats (APTs)
- Disruption of Service Denial of Service (DoS) and Distributed Denial of Service (DDoS) attacks
- Malware infections
- Theft or loss of assets
- Unintentional misuse
- Vulnerable systems or devices directly connected to the device (e.g., via USB or other
   hardwired, non-network connections).

It is important to understand that the threat landscape is constantly evolving and unknown threats existand may be unavoidable, which need to be identified and remediated as they are found.

#### 357 4.1.5 Vulnerabilities

- Vulnerabilities afflict wireless infusion pump devices, pump management applications, network
  applications and even the physical environment and personnel using the device or associated systems.
  Within a complex system-of-systems environment, vulnerabilities may be exploited at all levels. There
  are multiple information resources available to keep you informed about potential vulnerabilities. This
  guide recommends that security professionals turn to the National Vulnerability Database (NVD). The
  NVD is the U.S. government repository of standards-based vulnerability management data
- 364 [https://nvd.nist.gov].
- Here is a list of typical vulnerabilities that may arise when using wireless infusion pumps. Refer to
   Appendix B for a description of each vulnerability.
- 367 Lack of asset inventory 368 Long useful life 369 Information/Data Vulnerabilities 370 Lack of encryption on private/sensitive data-at-rest 371 Lack of encryption on transmitted data 372 Unauthorized changes to device calibration or configuration data Insufficient data backup 373 Lack of capability to de-identify private/sensitive data 374 375 Lack of data validation Device/Endpoint (Infusion Pump) Vulnerabilities 376 377 **Debug-enabled interfaces**

378		•	Use of removable media
379		•	Lack of physical tamper detection and response
380		•	Misconfiguration
381		•	Poorly protected and patched devices
382	1.1	Use	r or Administrator Accounts Vulnerabilities
383		•	Hard-coded or factory default passcodes
384		•	Lack of role-based access and/or use of principles of least privilege
385		•	Dormant accounts
386		•	Weak remote access controls
387	1.1	IT N	etwork Infrastructure Vulnerabilities
388		•	Lack of malware protection
389		•	Lack of system hardening
390		•	Insecure network configuration
391		•	System complexity.
392	To mitig	gate	risk factors, HDOs should also strive to work closely with medical device mar

To mitigate risk factors, HDOs should also strive to work closely with medical device manufacturers and
 follow FDA's post-market guidance, as well as instructions from the U.S. Department of Homeland

394 Security's Industrial Control System-Cyber Emergency Response Team (ICS-CERT).

#### 395 4.1.6 Risks

NIST SP 800-30, A Guide for Conducting Risk Assessments, defines risk as, "a measure of the extent to
 which an entity is threatened by potential circumstance or event, and is typically a function of: (i) the
 adverse impacts that would arise if the circumstance or event occurs; and (ii) the likelihood of
 occurrence" [11]

NIST SP 800-30 further notes within a definition of *risk assessment* that, "assessing risk requires careful
 analysis of threat and vulnerability information to determine the extent to which circumstances or
 events could adversely impact an organization and the likelihood that such circumstances or events will
 occur."

- 404 Based on the above guidance from NIST SP 800-30, several risks endanger medical devices:
- Infusion pumps and server components may be leveraged for APTs and serve as pivot points to cause adverse conditions throughout a hospital's infrastructure.
- Infusion pumps may be manipulated to prevent the effective implementation of safety
  measures, such as the drug library.

- Infusion pump interfaces may be used for unintended or unexpected purposes, with those
   conditions leading to degraded performance of the pump.
- 411 PHI may be accessed remotely by unauthorized individuals.
- PHI may be disclosed to unauthorized individuals should the device be lost, stolen, or
  improperly decommissioned.
- 414 Improper third party vendor connections.

Although these risks may persist in infusion pumps and server components, HDOs should perform
appropriate due diligence in determining the extent of the business impact and likelihood of each risk
factor.

- 418 Vulnerabilities may be present in infusion pumps and their server components since these devices often
- include embedded operating systems on the endpoints. Infusion pumps are designed to maintain a
- 420 prolonged period of useful life, and, as such, may include system components (e.g., an embedded
- 421 operating system) that may either reach end-of-life or reach a period of degraded updates prior to the
- 422 infusion pump being retired from service. Patching and updating may become difficult over the course423 of time.
- 424 Infusion pumps may not allow for the addition of third-party mechanisms, such as antivirus or anti-
- 425 malware controls. Should limitations be identified in embedded operating systems used by an infusion
- 426 pump, vulnerabilities, weaknesses, and deficiencies may become known to malicious actors who may
- 427 seek to leverage those deficiencies to install malicious or unauthorized software on those devices.
- 428 Malicious software, or malware, may cause adverse conditions on the pump, degrading the
- 429 performance of the pump, or rendering the device unable to perform its function (e.g., ransomware).
- 430 Malware may also be used to convert the infusion pump into an access point for malicious actors to
- 431 subsequently access or disrupt the operations of other hospital systems.
- 432 As noted above, infusion pumps may allow for the manipulation of configurations or safety measures
- 433 implemented through the drug library (e.g., adjusting dosage or flow rates). This risk may be
- 434 instantiated through local access, such as an interface or port on the device with either no or weak
- 435 authentication or access control in place. Further, infusion pumps may be reachable across a hospital's
- 436 network, which provides an avenue for a malicious actor to cause an adverse event.
- 437 Pumps may implement local ports, such as USB ports serial interfaces, Bluetooth, radio frequency, or
- 438 other mechanisms that allow for close proximity connection to the pump. These ports may be
- 439 implemented with the intent to facilitate technical support; however, they also pose a risk by providing
- 440 a pathway for actors to cause adverse conditions to the pump.
- 441 Modern infusion pumps and server components may include PHI, such as a patient's name, medical
- 442 record number (MRN), procedure coding, and medication or treatment. Through similar deficiencies
- that would allow configuration or use manipulation as noted above, this PHI may then be viewed,

444 accessed, or removed by unauthorized individuals. Also, individuals who have direct access to the

- infusion pump may be able to extract information through unsecured ports or interfaces [2], [3], [7],[17], [25].
- 447 Common vulnerabilities and control deficiencies that enable these risks may include:
- The implementation of default credentials and passwords: Weak authentication, and default passwords, or not implementing authentication or access control, may be discovered by
   malicious actors who would seek to cause adverse conditions. Malicious actors may leverage this control deficiency for risk factors that span from installing malware on the infusion pump, to manipulating configuration settings, or to extract information such as PHI from the device.
- 453 The use of unsecured network ports, such as Telnet or FTP: Telnet and FTP are internet 454 protocols that do not secure or encrypt network sessions. Telnet and FTP may be used 455 nominally for technical support interfaces; however, malicious actors may attempt to leverage 456 these to access the infusion pump. Telnet and FTP may include deficiencies that allow for 457 compromise of the protocol itself, and, since the network session is not encrypted, malicious 458 actors may implement mechanisms to capture network sessions, including any authentication 459 traffic, or to identify sensitive information such as credentials, configuration information, or any 460 PHI stored on the device.
- Local interfaces with limited security controls: Local interfaces, such as USB ports, serial ports,
   Bluetooth, radio frequency, or other ports may be used for device technical support. These
   ports, however, allow for malicious actors within close proximity to the device to access the
   device, manipulate configuration settings, access or remove data from the device, or install
   malware on the device. These ports may exist on the pump for support purposes, but use of the
   ports for unauthorized or unexpected purposes, such as recharging a mobile device such as a
   smart phone or tablet, may cause a disruption to the pump's standard operation.

#### 468 4.1.7 Recommendations and Best Practices

- 469 The recommendations in <u>Appendix C</u> address additional security concerns which, although not as
- 470 pressing as those listed above, are worthy of consideration. If applied, these additional
- 471 recommendations will likely reduce risk factors or prevent them from becoming greater risks.
- 472 Associated best practices for reducing the overall risk posture of infusion pumps are also included in
- 473 Recommendations and Best Practices list.

#### 474 4.2 Risk Response Strategy

- 475 *Risk mitigation* is often confused with *risk response*. Per NIST SP 800-30, risk mitigation is defined as
- 476 "prioritizing, evaluating, and implementing the appropriate risk-reducing controls/countermeasures
- 477 recommended from the risk management process."

478 Risk mitigation is a subset of risk response. Risk response is defined by NIST SP 800-30 as: accepting;

avoiding; mitigating; sharing, or transferring risks. When considering risk response, your organization

- 480 should recommend to a corporate risk management board ways that the Information Risk Manager or
- 481 equivalent should treat risk.

#### 482 4.2.1 Risk Mitigation

- Organizations must determine their tolerance or appetite for risk, the response to which will drive risk
  remediation or risk mitigation for identified risks. This tolerance should be codified in a Risk
  Management Plan. Such a plan will include regulatory requirements and guidance, industry best
  practices, and security controls. Organizations should set an appropriate risk tolerance based on the
  factors noted above with the intent to remediate those risks above the established risk tolerance (i.e.,
- 488 critical or high risks.)
- These remediation responses can take the form of administrative, physical, and technical controls, or an
   appropriate mix. Section 4.1.7 of this guide identifies several mitigation recommendations regarding
   specific risk. Additional compensating safeguards, countermeasures, or controls are noted below:
- 492 Physical security controls, including standard tamper-evident physical seals, which can be
   493 applied to hardware to indicate unauthorized physical access [10], [26].
- Ensuring implementation of a physical asset management program that manages and tracks
   unique, mobile media such as removable flash memory devices (e.g., SD cards, thumb drives)
   used by pump software hosted on an endpoint client. Consider encryption of all portable media
   used in such a fashion [10], [26], [27], [28].
- Following procedures for clearing wireless network authentication credentials on the endpoint client if the pump is to be removed or transported from the facility. These procedures can be found in pump user manuals but should be referenced in official HDO policies and procedures 501 [29], [30], [31], [32].
- 502 Changing wireless network authentication credentials regularly and, if there is evidence of
   503 unauthorized access to a pump system, immediately changing network authentication
   504 credentials [10], [26].
- Ensuring all wireless network access is minimally configured for WPA2 PSK encryption and authentication. All pumps should be set to WPA2 encryption [33], [34], [35], [36].
- 507• All pumps and pump systems should include cryptographic modules that have been validated as508meeting NIST FIPS 140-2 [37].
- 509• All ports are disabled except when in use, and the device has no listening ports [3], [9], [10],510[25], [26].
- 511 Employing mutual transport layer security (TLS) encryption in transit between the client and 512 server [38].

#### 513 • Employing individual pump authentication with no shared key for all pumps [10], [26].

• Certificate-based authentication for a pump server [29], [30], [31], [32].

#### 515 4.3 Security Characteristics and Controls Mapping

516 As described in the previous sections, we derived the security characteristics by analyzing risk in

517 collaboration with our healthcare sector stakeholders as well as our participating vendor partners. In

518 the risk analysis process, we used IEC/TR 80001-2-2 as our basis for wireless infusion pump capabilities

519 in healthcare environments [16]. <u>Table 4-1</u> presents the desired security characteristics of the use case

520 in terms of the CSF subcategories [10], [14]. Each subcategory is mapped to relevant NIST standards,

521 industry standards, controls, and best practices. In our example implementation, we did not observe

any security characteristics that mapped to the Respond or Recover subcategories of the CSF.

523

#### Table 4-1: Security Characteristics and Controls Mapping - NIST Cyber Security Framework

	Cybers	security Framework (CSF) v1.1	Sector-Specific Standards & Best Practices			
Function	Category	Subcategory	SP800-53R4	IEC TR 80001-2-2	HIPAA Security Rule 45 [39]	ISO/IEC 27001:2013
	Asset	ID.AM-1: Physical devices and systems within the organization are inventoried	CM-8	CNFS	C.F.R. §§ 164.308(a)(1)(ii)(A), 164.310(a)(2)(ii), 164.310(d)	A.8.1.1, A.8.1.2
	Management (ID.AM)	ID.AM-5: Resources (e.g., hardware, devices, data, time, and software) are prioritized based on their classification, criticality, and business value	CP-2, RA-2, SA- 14	DTBK	C.F.R. § 164.308(a)(7)(ii)(E)	A.8.2.1
	Business Environment (ID.BE)	ID.BE-4: Dependencies and critical functions for delivery of critical services are established	CP-8, PE-9, PE- 11, PM-8, SA-14	DTBK	C.F.R. §§ 164.308(a)(7)(i), 164.308(a)(7)(ii)(E), 164.310(a)(2)(i), 164.312(a)(2)(ii), 164.314(a)(1), 164.314(b)(2)(i)	A.11.2.2, A.11.2.3, A.12.1.3
IDENTIFY (ID)	Risk Assessment (ID.RA)	ID.RA-1: Asset vulnerabilities are identified and documented	CA-2, CA-7, CA- 8, RA-3, RA-5, SA-5, SA-11, SI- 2, SI-4, SI-5	RDMP	C.F.R. §§ 164.308(a)(1)(ii)(A), 164.308(a)(7)(ii)(E), 164.308(a)(8), 164.310(a)(1), 164.312(a)(1), 164.316(b)(2)(iii)	A.12.6.1, A.18.2.3
Cybersecurity Framework (CSF) v1.1				Sector-Specific Standards & Best Practices		
------------------------------------	---	---	---------------------------------------	--	--	---
Function	Category	Subcategory	SP800-53R4	IEC TR 80001-2-2	HIPAA Security Rule 45 [39]	ISO/IEC 27001:2013
		(note: not directly mapped in CSF)	AC-1, AC-11, AC- 12	ALOF		
PROTECT (PR)		PR.AC-1: Identities and credentials are issued, managed, revoked, and audited for authorized devices, users, and processes	AC-2, IA Family	AUTH, CNFS, EMRG, PAUT	C.F.R. §§ 164.308(a)(3)(ii)(B), 164.308(a)(3)(ii)(C), 164.308(a)(4)(i), 164.308(a)(4)(ii)(B), 164.308(a)(4)(ii)(C), 164.312(a)(2)(i), 164.312(a)(2)(ii), 164.312(d)	A.9.2.1, A.9.2.2, A.9.2.4, A.9.3.1, A.9.4.2, A.9.4.3
	Identity Management and Access Control (PR.AC)	PR.AC-2: Physical access to assets is managed and protected	PE-2, PE-3, PE-4, PE-5, PE-6, PE-9	PLOK, TXCF, TXIG	C.F.R. §§ 164.308(a)(1)(ii)(B), 164.308(a)(7)(i), 164.308(a)(7)(ii)(A), 164.310(a)(1), 164.310(a)(2)(i), 164.310(a)(2)(ii), 164.310(b), 164.310(c), 164.310(d)(1), 164.310(d)(2)(iii)	A.11.1.1, A.11.1.2, A.11.1.4, A.11.1.6, A.11.2.3
		PR.AC-3: Remote access is managed	AC-17, AC-19, AC-20	NAUT, PAUT	C.F.R. §§ 164.308(a)(4)(i), 164.308(b)(1), 164.308(b)(3), 164.310(b), 164.312(e)(1), 164.312(e)(2)(ii)	A.6.2.2, A.13.1.1, A.13.2.1
		PR.AC-4: Access permissions and authorizations are managed, incorporating the principles of least privilege and separation of duties	AC-2, AC-3, AC- 5, AC-6, AC-16	AUTH, CNFS, EMRG, NAUT, PAUT	C.F.R. §§ 164.308(a)(3), 164.308(a)(4), 164.310(a)(2)(iii), 164.310(b), 164.312(a)(1), 164.312(a)(2)(i), 164.312(a)(2)(ii)	A.6.1.2, A.9.1.2, A.9.2.3, A.9.4.1, A.9.4.4

Cybersecurity Framework (CSF) v1.1				Sector-Specific Standards & Best Practices		
Function	Category	Subcategory	SP800-53R4	IEC TR 80001-2-2	HIPAA Security Rule 45 [39]	ISO/IEC 27001:2013
		PR.AC-5: Network integrity is protected, incorporating network segregation where appropriate	AC-4, SC-7	NAUT	C.F.R. §§ 164.308(a)(4)(ii)(B), 164.310(a)(1), 164.310(b), 164.312(a)(1), 164.312(b), 164.312(c), 164.312€	A.13.1.1, A.13.1.3, A.13.2.1
Data Security (PR.DS)	PR.DS-1: Data-at-rest is protected	SC-28	IGAU, STCF	C.F.R. §§ 164.308(a)(1)(ii)(D), 164.308(b)(1), 164.310(d), 164.312(a)(1), 164.312(a)(2)(iii), 164.312(a)(2)(iv), 164.312(b), 164.312(c), 164.314(b)(2)(i), 164.312(d)	A.8.2.3	
	Data Security (PR.DS)	PR.DS-2: Data-in-transit is protected	SC-8	IGAU, TXCF	C.F.R. §§ 164.308(b)(1), 164.308(b)(2), 164.312(e)(1), 164.312(e)(2)(i), 164.312(e)(2)(ii), 164.314(b)(2)(i)	A.8.2.3, A.13.1.1, A.13.2.1, A.13.2.3, A.14.1.2, A.14.1.3
		PR.DS-4: Adequate capacity to ensure availability is maintained	AU-4, CP-2, SC-5	AUDT, DTBK	C.F.R. §§ 164.308(a)(1)(ii)(A), 164.308(a)(1)(ii)(B), 164.308(a)(7), 164.310(a)(2)(i), 164.310(d)(2)(iv), 164.312(a)(2)(ii)	A.12.3.1
		PR.DS-6: Integrity checking mechanisms are used to verify software, firmware, and information integrity	SI-7	IGAU	C.F.R. §§ 164.308(a)(1)(ii)(D), 164.312(b), 164.312(c)(1), 164.312(c)(2), 164.312(e)(2)(i)	A.12.2.1, A.12.5.1, A.14.1.2, A.14.1.3

Cybersecurity Framework (CSF) v1.1				Sector-Specific Standards & Best Practices		
Function	Category	Subcategory	SP800-53R4	IEC TR 80001-2-2	HIPAA Security Rule 45 [39]	ISO/IEC 27001:2013
		PR.IP-1: A baseline configuration of information technology/industrial control systems is created and maintained incorporating appropriate security principles (e.g. concept of least functionality)	CM-2, CM-3, CM-4, CM-5, CM-6, CM-7, CM-9, SA-10	CNFS, CSUP, SAHD, RDMP	C.F.R. §§ 164.308(a)(8), 164.308(a)(7)(i), 164.308(a)(7)(ii)	A.12.1.2, A.12.5.1, A.12.6.2, A.14.2.2, A.14.2.3, A.14.2.4
Information Protection Processes an Procedures (PR.IP)		PR.IP-4: Backups of information are conducted, maintained, and tested periodically	CP-4, CP-6, CP-9	DTBK	C.F.R. §§ 164.308(a)(7)(ii)(A), 164.308(a)(7)(ii)(B), 164.308(a)(7)(ii)(D), 164.310(a)(2)(i), 164.310(d)(2)(iv)	A.12.3.1, A.17.1.2, A.17.1.3, A.18.1.3
	Information Protection Processes and	PR.IP-6: Data is destroyed according to policy	MP-6	DIDT	C.F.R. §§ 164.310(d)(2)(i), 164.310(d)(2)(ii)	A.8.2.3, A.8.3.1, A.8.3.2, A.11.2.7
	Procedures (PR.IP)	PR.MA-2: Remote maintenance of organizational assets is approved, logged, and performed in a manner that prevents unauthorized access	MA-4	CSUP	C.F.R. §§ 164.308(a)(3)(ii)(A), 164.310(d)(1), 164.310(d)(2)(ii), 164.310(d)(2)(iii), 164.312(a), 164.312(a)(2)(ii), 164.312(a)(2)(iv), 164.312(b), 164.312(d), 164.312(e), 164.308(a)(1)(ii)(D)	A.11.2.4, A.15.1.1, A.15.2.1

Cybersecurity Framework (CSF) v1.1				Sector-Specific Standards & Best Practices		
Function	Category Subcategory		SP800-53R4	IEC TR 80001-2-2	HIPAA Security Rule 45 [39]	ISO/IEC 27001:2013
DETECT (DE)	Anomalies and Events (DE.AE)	DE.AE-1: A baseline of network operations and expected data flows for users and systems is established and managed	AC-4, CA-3, CM- 2, SI-4	AUTH, CNFS	C.F.R. §§ 164.308(a)(1)(ii)(D), 164.312(b)	none
		DE.CM-1: The network is monitored to detect potential cybersecurity events	AC-2, AU-12, CA-7, CM-3, SC- 5, SC-7, SI-4	AUTH, CNFS, EMRG, MLDP	C.F.R. §§ 164.308(a)(1)(ii)(D), 164.308(a)(5)(ii)(B), 164.308(a)(5)(ii)(C), 164.308(a)(8), 164.312(b), 164.312(e)(2)(i)	none
	Security Continuous Monitoring (DE.CM)	DE.CM-3: Personnel activity is monitored to detect potential cybersecurity events	AC-2, AU-12, AU-13, CA-7, CM-10, CM-11	AUTH, CNFS, EMRG, MLDP	C.F.R. §§ 164.308(a)(1)(ii)(D), 164.308(a)(3)(ii)(A), 164.308(a)(5)(ii)(C), 164.312(a)(2)(i), 164.312(b), 164.312(d), 164.312€	A.12.4.1
		DE.CM-4: Malicious code is detected	SI-3	IGAU, MLDP, TXIG	C.F.R. §§ 164.308(a)(1)(ii)(D), 164.308(a)(5)(ii)(B)	A.12.2.1
		DE.CM-6: External service provider activity is monitored to detect potential cybersecurity events	CA-7, PS-7, SA-4, SA-9, SI-4	RDMP	C.F.R. § 164.308(a)(1)(ii)(D)	A.14.2.7, A.15.2.1
	Detection Processes (DE.DP)	DE.DP-3: Detection processes are tested	CA-2, CA-7, PE- 3, PM-14, SI-3, SI-4	IGAU	C.F.R. § 164.306€	A.14.2.8
RESPOND (RS)						
RECOVER (RC)						

## 526 4.4 Technologies

527 <u>Table 4-2</u> lists all of the technologies used in this project and map the generic application term to the specific product we used and the security 528 control(s) we deployed. Refer to Table 4-1 for an explanation of the CSF Subcategory codes [10].

529 The reference architecture design in <u>Section 5</u> is vendor agnostic such that any Wireless Infusion Pump (WIP) system can be integrated safely

and securely into a hospital's IT infrastructure. Therefore, for the infusion pump device, infusion pump server and wireless infusion pump

- ecosystem, we captured the most common security features among all the products we tested in this use case. A normalized view of the list of
- 532 functions and NIST CSF Subcategories are presented in the table below.
- 533 Please note, some of the CSF Subcategory codes require people, and process controls, not solely technical controls.
- 534 Table 4-2: Products and Technologies

Component	Specific Product	Function	CSF Subcategories
Infusion Pump De- vice	Baxter: Sigma Spec- trum LVP, Version 8	<ul> <li>requires passcode to access the bio-medical engineering mode (on device or connect to device) for configuring and setting up the devices</li> <li>provides the capability to change the manufacture default passcode</li> </ul>	PR.AC-1, PR.AC-2, PR.DS-2, PR.DS-6,
	Baxter: Sigma Spec- trum Wireless Battery Module, version 8		PR.IP-1, PR.IP-6
	BBraun: Space Infuso- mat Infusion Pump (LVP) – s/w U	<ul> <li>supports IEEE 802.11i enterprise wireless encryption/authentication standards, including WPA2-EAP-TLS for protecting data exchange</li> </ul>	
	BD: Alaris® 8015 PC Unit v9.19.2	<ul> <li>restricted access to the server, application and stored data</li> <li>closes/disables all communication ports that are not required for</li> </ul>	
	BD: Alaris® Syringe Module 8110	the intended use	

Component	Specific Product	Function	CSF Subcategories
	BD: Alaris <sup>®</sup> LVP Module 8100	<ul> <li>closes/disables all services that are not required for intended use</li> <li>provides an integrity checking mechanism to verify information</li> </ul>	
	Hospira: Plum 360 version15.10	<ul> <li>supports baseline configuration</li> <li>supports removing/destroying data from the device</li> </ul>	
	Hospira: PCA version 7.02	<ul> <li>few models have a tamper-resist switch, with tamper-evident seals</li> </ul>	
	Smiths Medical: Med- fusion® 3500 V5 syringe infusion system		
	Smiths Medical: Med- fusion 4000 <sup>®</sup> Wireless Syringe Infusion Pump		
	Smiths Medical: CADD <sup>®</sup> -Solis Ambula- tory Infusion Pump		
Infusion Pump Server	Baxter: CareEvery- where Gateway Server, version 14	<ul> <li>with appropriate configuration, discovers and identifies devices connected to the pump server via wired, wireless, and virtual private networks, to aid in building and maintaining accurate</li> </ul>	ID.AM-1, PR.AC-1, PR.AC-3, PR.AC-4, PR.DS-1, PR.DS-2,
	BBraun: Space Online Suite Software, version AP 2.0.1	<ul><li>physical device inventories</li><li>supports role-based authentication and password rules and policies</li></ul>	PR.MA-2
	BD: Alaris <sup>®</sup> Systems Manager v4.2	<ul> <li>supports the use of a HDO's Active Directory/LDAP solution</li> <li>supports auto-logoff, data encryption/obscuration</li> </ul>	
	Hospira: MedNet 6.2		

Component	Specific Product	Function	CSF Subcategories
	Smiths Medical: Phar- mGuard <sup>®</sup> Server Enter- prise Edition, V1.1	<ul> <li>can be accessed remotely via VPN (or like) tools</li> <li>a few models support FIPS 140-2</li> <li>operates on manufacturer-supported OS_DB Server and Web</li> </ul>	
Infusion Pump Eco- system	Baxter: Sigma Spec- trum Master Drug Li- brary, version 8	<ul> <li>Server (allows software patches)</li> <li>supports secure protocols, such as TLS</li> <li>supports co-evistence with firewall, anti-virus, backup software</li> </ul>	
	BBraun: Space Dose- Trace and Space Dose- Link software – Eng version available for testing	<ul> <li>and other types of security safeguard products</li> <li>maintains different types of audit/log records for preventing</li> <li>unauthorized access</li> </ul>	
	BD: Alaris <sup>®</sup> System Maintenance (ASM) v 10.19		
	Smiths Medical: Phar- mGuard <sup>®</sup> Toolbox v1.5		
	Smiths Medical: CADD™-Solis Medica- tion Safety Software		
Access Point (AP)	Cisco: Access Point (AIR-CAP1602I-A-K9	<ul> <li>authenticates and connects infusion pumps to the Wi-Fi</li> <li>supports Wireless Network Standards: IEEE 802.11a/b/g/n/ac</li> </ul>	PR.AC-5, PR.DS-1, PR.DS-2, DE.CM-1,
Wireless LAN Con- troller (WLC)	Cisco: Wireless LAN Controller 8.2.111.0	<ul> <li>supports Security Protocols: IEEE 802.11i (WPA2), EAP-TLS</li> <li>AP joins a WLC to form a Control and Provisioning of Wireless Access Points protocol (CAPWAP) tunnel</li> </ul>	DE.CM-3

Component	Specific Product	Function	CSF Subcategories
		<ul> <li>uses ISE as the authentication service</li> </ul>	
		<ul> <li>provides message authentication and encryption in data transmission</li> </ul>	
Identity Services Engine (ISE)	Cisco ISE	<ul> <li>discovers and identifies devices connected to wired, wireless, and virtual private networks. It gathers this information based on what's accurate connecting to the network, a key step toward building and maintaining accurate physical device inventories</li> </ul>	ID.AM-1, PR.AC-1, PR.AC-4, PR.DS-1, PR.DS-2, DE.CM-1, DE.CM-3
		<ul> <li>provides advanced network access controls by connecting user identity with device profiling and access policy</li> </ul>	
		<ul> <li>provides log audit of events which can be monitored for the network traffic</li> </ul>	
Firewall/Router	Cisco: ASA	delivers network integrity protection	PR.AC-5, PR.DS-1,
		<ul> <li>used as external firewall for connecting to the internet for guest network</li> </ul>	PR.DS-2, DE.CM-1, DE.CM-3
		<ul> <li>used as internal firewall for all other network zones with rules and policies</li> </ul>	
Switch	Cisco: Catalyst 3650 Switch	<ul> <li>provides port-level controls, port blocking, VLAN segmentation</li> </ul>	PR.AC-5, PR.DS-1, PR.DS-2, DE.CM-1, DE.CM-3
Endpoint Protec-	Symantec: Endpoint	<ul> <li>provides intrusion prevention, URL, and firewall policies</li> </ul>	DE.CM-1, DE.CM-3,
tion	Protection (SEP)	<ul> <li>provides application behavioral controls</li> </ul>	DE.CM-4, PR.DS-1, PR DS-2 DF AF-1
		<ul> <li>provides device control to restrict access</li> </ul>	
		<ul> <li>provides anti-virus file protection</li> </ul>	

Component	Specific Product	Function	CSF Subcategories
		<ul> <li>Provides behavioral monitoring</li> </ul>	
		<ul> <li>Provides file reputation analysis</li> </ul>	
Network Advanced Threat Protection	Symantec: Advanced Threat Protection: Network (ATP:N)	<ul> <li>monitors internal inbound and outbound internet traffic</li> <li>uncovers advanced attacks</li> <li>automatically prioritizes critical events</li> <li>searches for known indicators-of-compromise (IoC) across the entire environment</li> <li>blacklists or whitelists files and URLs once they are identified as malicious</li> <li>can be integrated with third-party security information and</li> </ul>	DE.CM-1, DE.CM-4, PR.DS-1, PR.DS-2, DE.AE-1
		events management (SIEM) tool	
DataCenter Security	Symantec: Server Advanced - DataCenter	<ul> <li>out-of-the-box host intrusion detection system (IDS) and intrusion prevention systems (IPS) policies</li> </ul>	DE.CM-1, DE.CM-4, PR.DS-1, PR.DS-2,
	Security (DCS:SA):	<ul> <li>provides sandboxing and Process Access Control (PAC) to prevent a new class of threats</li> </ul>	DE.AE-1
		<ul> <li>hosts firewall to control inbound and outbound network traffic to and from servers</li> </ul>	
		<ul> <li>compensating host intrusion prevention system (HIPS) controls restrict application and operating system behavior using policy- based least privilege access control</li> </ul>	
		<ul> <li>prevents file and system tampering</li> </ul>	

Component	Specific Product	Function	CSF Subcategories
		<ul> <li>provides application and device control by locking down 'configuration' settings, file systems, and use of removable media</li> </ul>	
Secure Remote Management and Monitoring	TDi Technologies: ConsoleWorks	<ul> <li>authenticates system managers</li> <li>provides role-based access control of system management functions</li> <li>implements a protocol break between the system manager and the managed assets</li> <li>records all system management actions</li> <li>performs remote configuration management and monitoring of devices</li> </ul>	PR.AC-3, PR.AC-4, PR.MA-2, PR.PT-1, PR.PT-3, DE.CM-1, DE.CM-3, DE.CM-4, DE.CM-6
Physics-based in- tegrity assessment	PFP: Device Monitor	<ul> <li>detects device behavior</li> <li>detects cyberattacks in hardware and software</li> <li>detects tiny anomalies in power patterns to instantly catch attacks, thereby providing an early warning that a device has been tampered with</li> <li>integrity assessment uses side channel</li> </ul>	
Certificate Author- ity Service	DigiCert: Certificate Authority	<ul> <li>provides certificate authority service</li> </ul>	Access Control (PR.AC) PR.DS-2
Certificate Man- agement / Provi- sioning	Intercede: MyID	serves as device provisioner	

Component	Specific Product	Function	CSF Subcategories	
Risk Assessment	Clearwater: IRM   Pro	<ul> <li>provides tool for conducting risk assessments that focus on healthcare compliance and cyber risk management</li> </ul>	ID.RA-1	
	MDISS: MDRAP	<ul> <li>provides tool for conducting risk assessments that focus on medical devices</li> </ul>		

# 536 **5** Architecture

Wireless infusion pumps are no longer standalone devices; they now also include pump servers for
managing the pumps, drug libraries, networks allowing for interoperability with other hospital systems,
and VPN tunnels to outside organizations for maintenance. While interconnectivity, enhanced
communications, and safety measures on the pump have added complexity to infusion pumps, these
components can help improve patient outcomes and safety.

- 542 As infusion pumps have evolved, one safety mechanism development was the invention of the "drug
- 543 library." The drug library is a mechanism that is applied to an infusion pump that catalogs medications,
- fluids, dosage, and flow rates. While hospital pharmacists may be involved in the maintenance of the
- 545 drug library, continuous application of the drug library to the infusion pump environment tends to be
- 546 managed through a team of biomedical engineers. Initially, the drug library file may be loaded onto the
- 547 pump through a communication port. When the drug library file is updated, all infusion pumps need to
- 548 be updated to ensure that they adhere to the current rendition of that drug library. Drug library
- 549 distribution, which may require that staff manually adjust individual pumps, may become onerous for
- the biomedical staff in HDOs that use thousands of pumps [1], [40].
- 551 Manufacturers provide wireless communications on some pumps and use a pump server to manage the 552 drug library file, capture usage information on the pumps, and provide pump updates.
- 553 Medical devices manufacturers are subject to regulatory practices by the Food & Drug Administration
- (FDA), and may tend to focus on the primary function of the pump (i.e., assurance that the pump
- delivers fluids of a certain volume and defined flow rates, consistent with needs that providers may
- bave to ensure safe and appropriate patient care). Technology considerations, such as cybersecurity
- 557 controls, may not be primarily addressed in the device design and approval process. As such, infusion
- 558 pumps may include technology that does not lend itself to the same controls that an HDO may
- implement on standard desktops, laptops, or workstations used for productivity [9], [18].
- As technology has evolved, cybersecurity risk has expanded, both in visibility and in the number of
- threats and vulnerabilities. This expansion has led to a heightened concern, from manufacturers, as well
- as the FDA, and work has been established to identify measures to better respond to cybersecurity risk
- [7], [9], [25]. In <u>Section 5.1</u>, we describe the wireless infusion pump ecosystem by defining the
- 564 components. <u>Section 5.2</u> discusses the data flow, and <u>Section 5.3</u> explains the set of controls we use in
- our example implementation, including those for networks, pumps, pump servers, and enterprise.
- 566 <u>Section 5.4</u> describes the target architecture for our example implementation.

## 567 5.1 Basic System

A basic wireless infusion pump ecosystem includes a wireless infusion pump, a pump server, a network
 consisting of an access point, a wireless LAN controller, a firewall, and a VPN to a manufacturer.

570 Figure 5-1: Basic System



571

## 572 5.2 Data Flow

573 The flow of data between a wireless infusion pump and its corresponding server falls into the following 574 transaction categories:

- 575 modifying the drug library
- 576 performing software updates
- 577 remotely managing the devices
- 578 auditing the data flow processes.

Infusion pumps may also include other advanced features such as auto-programming to receive patient
 prescription information and record patient treatment information to the patient's electronic health
 record.

## 582 5.3 Cybersecurity Controls

This section discusses security controls by their location, either on the network, pump, or pump server.
We also describe controls implemented in the NCCoE lab, and depict the controls implemented in our
final architecture.

586 In general, we recommend that a clinically focused network be designed to protect information used in

587 HDOs, whether that information is at-rest or in-transit. As described in *Cisco Medical-Grade Network* 

- 588 (MGN) 2.0-Wireless Architectures (Higgins & Mah, 2012), no single architecture can be designed to meet
- the security requirements of all organizations [41]. However, many cybersecurity best practices can be
- applied by HDOs to meet regulatory compliance standards.
- 591 Our reference architecture uses Cisco's solution architecture as the baseline. This baseline
- 592 demonstrates how the network can be used to provide multi-tiered protection for medical devices

593 when exchanging information via a network connection. The goal of our reference architecture is to

594 provide countermeasures to deal with challenges identified in the assessment process. For our use case

solution, we use segmentation and defense-in-depth as security models to build and maintain a secure

596 device infrastructure. This section provides additional details on how to employ security strategies to

- 597 achieve specific targeted protections when securing wireless infusion pumps.
- 598 We used the following cybersecurity controls:
- 599 network controls
- 600 pump controls
- 601 pump server controls
- 602 enterprise level controls

## 603 5.3.1 Network Controls

Proper network segmentation or network zoning is essential to developing a strong cybersecurity posture [33], [34], [35], [36], [42]. Segmentation uses network devices such as switches and firewalls to split a large computer network into subnetworks, each referred to as a *network segment* [41]. Network segmentation not only enhances network management, but also improves cybersecurity, allowing the separation of networks based on network security requirements driven by business needs or asset value.

- 610 The architecture designed for this build uses Cisco's solution architecture as the baseline for
- 611 demonstrating how the network can be used to provide a multi-tiered protection for medical devices
- 612 when exchanging information with the outside world during the operation involving network
- 613 communication. The goal of this architecture design is to provide countermeasures to mitigate
- 614 challenge areas identified in the assessment process. In our use case solution, segmentation and
- 615 *defense-in-depth* are the security models we used as security measures to build and maintain secure

616 device infrastructure. This section provides additional details on how to employ security strategies to 617 achieve the target security characteristics for securing wireless infusion pumps.

## 618 5.3.1.1 Segmentation/Zoning

619 Our network architecture uses a zone-based security approach. By using different local networks for

620 designated purposes, networked equipment identified for a specific purpose can be put together on the 621 same network segment and protected with an internal firewall. The implication is that there is no

same network segment and protected with an internal firewall. The implication is that there is no
 inherent trust between network zones and that trust limitations are enforced by properly configuring

623 firewalls to protect equipment in one zone from other, less trusted zones. By limiting access from other,

624 less trusted areas, firewalls can more effectively protect the enterprise network.

- 625 For discussion purposes, we include some generic components of a typical HDO in our network
- 626 architecture examples. A given healthcare facility may be simpler or more complex and may contain
- 627 different subcomponents. The generic architecture contains several functional segments, including the
- 628 following elements:
- 629 core network
- 630 guest network
- 631 business office
- 632 database server
- 633 enterprise services
- 634 clinical server
- 635 biomedical engineering
- 636 medical devices with wireless LAN
- 637 remote access for external vendor support

At a high level, each zone is implemented as a virtual local area network (VLAN) with a combination firewall/router Cisco Adaptive Security Appliance (ASA) device connecting it to the rest of the enterprise through a backbone network, referred to as the core network [43], [44], [45]. Segments may consist of physical or virtual networks. We implemented sub-nets that correspond exactly to VLANs for simplicity and convenience. The routing configuration is the same for each, but the firewall configuration may vary

- 643 depending on each zone's specific purpose. An external router/firewall device is used to connect the
- enterprise and guest network to the internet. Segmentation is implemented via a VLAN using Cisco
- 644 enterprise and guest network to the internet. Segment and the final network arehitecture follow
- switches. A short description of each segment and the final network architecture follow.

## 646 5.3.1.1.1 Core Network

647 Our reference architecture implements a core network zone that consists of the equipment and systems 648 used to establish the backbone network infrastructure. The external firewall/router also has an 649 interface connected to the core enterprise network, just like other firewall/router devices in the other

- 2008 zones. This zone serves as the backbone of the enterprise network and consists only of routers
- 651 connected by switches. The routers automatically share internal route information with each other via
- authenticated Open Shortest Path First (OSPF) to mitigate configuration errors as zones are added or
- 653 removed.

### 654 5.3.1.1.2 Guest Network Zone

Hospitals often implement a guest network that allows visitors or patients to access internet services
 during their visit. As shown in <u>Figure 5-2</u>, network traffic here tends not to be clinical in nature but is
 offered as a courtesy to hospital visitors and patients to access the internet. Refer to Section 5.3.1.5,
 <u>External Access for additional technical details.</u>

### 659 5.3.1.1.3 Business Office Zone

660 A business office zone is established for systems dedicated to hospital office productivity and does not 661 include direct patient-facing systems. This zone consists of traditional clients on an enterprise network, 662 such as workstations, laptops, and possibly mobile devices. Within the enterprise, the business office

such as workstations, laptops, and possibly mobile devices. Within the enterprise, the business office
 zone will primarily interact with the enterprise services zone. This zone may also include Wi-Fi access.

### 664 5.3.1.1.4 Database Server Zone

A database server zone is established to house server components that support data persistence. The database server zone may include data stores that aggregate potentially sensitive information, and, given the volume, require safeguards. Databases may include PHI, so HIPAA privacy and security controls are applicable. This zone consists of servers with databases. Ideally, applications in the enterprise services zone and biomedical engineering zone use these databases instead of storing information on application servers. This type of centralization allows for simplified management of

671 security controls to protect the information stored in databases.

## 672 5.3.1.1.5 Enterprise Services Zone

- The enterprise services zone consists of systems that support hospital staff productivity. Enterprise
- 674 services may not be directly patient specific systems, but rather support core office functions found in a
- hospital. This zone consists of traditional enterprise services, such as DNS, Active Directory, Identity
- 676 Service System, and asset inventory that probably lives in a server room or data center. These services
- 677 must be accessible from various other zones in the enterprise.

### 678 5.3.1.1.6 Clinical Services Zone

- 679 The clinical services zone consists of systems that pertain to providing patient care. Examples of systems
- that would be hosted in this zone include the electronic health record (EHR) system, pharmacy systems,
- 681 health information systems, and other clinical systems to support patient care.

#### 682 5.3.1.1.7 Biomedical Engineering Zone

683 The biomedical engineering zone establishes a separate area that enables a biomedical engineering 684 team to manage and maintain systems such as medical devices as shown in <u>Figure 5-2</u>. This zone 685 consists of all equipment needed to provision and maintain medical devices. In the case of wireless 686 infusion pumps, this is where the pump management servers are hosted on the network.

initiation pumps, this is where the pump management servers are hosted

#### 687 5.3.1.1.8 Medical Device Zone

- The medical device zone provides a network space where medical devices may be hosted. Infusion
- 689 pumps would be deployed in this zone. Infusion pump systems are designed so that all external
- 690 connections to EHR systems or vendor maintenance operations can be completed through an
- associated pump server that resides in the biomedical engineering network zone. Access to the rest of
- 692 the network and internet is blocked. This zone contains a dedicated wireless network to support the
- 693 wireless infusion pumps, as explained in Section 5.3.1.2, <u>Medical Device Zone's Wireless LAN</u>.

#### 694 5.3.1.1.9 Remote Access Zone

- The remote access zone provides a network segment that extends external privileged access so that
- 696 vendors may access their manufactured components and systems on the broader HDO network. Refer
- 697 to Section 5.3.1.4, Remote Access for additional technical details.

#### 698 5.3.1.1.10 Final Network Architecture

- 699 <u>Figure 5-2</u> shows the interconnection of all components and zones previously described. It also
- 700 illustrates the connection to vendor and cloud services via the internet. VLAN numbers shown are VLAN
- identifiers used in the lab, but may vary on actual healthcare enterprise networks.



#### 702 Figure 5-2: Network Architecture with Segmentation

703

## 704 5.3.1.2 Medical Device Zone's Wireless LAN

The Wi-Fi management network is different in that it does not have a firewall/router that connects

directly to the core network as shown in <u>Figure 5-3</u>. This is a completely closed network used for the

707 management and communication between the Cisco Aironet wireless Access Point (AP) and the Cisco

708 Wireless LAN Controller (WLC). The WLC is the central point where wireless Service Set Identifiers

709 (SSIDs), Virtual LANs (VLANs), and Wi-Fi Protected Access version 2 (WPA2) security settings are

710 managed for the entire enterprise [8], [17], [33], [34], [35], [36], [42], [46], [47], [48], [49].

711 Two SSIDs were defined, IP\_Dev and IP\_Dev Cert. IP\_Dev uses WPA2-PSK, and IP\_Dev Cert uses WPA2-

- T12 Enterprise protocols. In an actual HDO, two WLCs should be configured for redundancy. Initially, the
- 713 wireless access points configure themselves for network connectivity like any other device using
- 714 Dynamic Host Configuration Protocol (DHCP) from the switch DHCP server (see the green line in Figure
- 715 <u>5-3</u>). The switch also sends DHCP option 43, which provides the IP address of the WLC. The AP then
- connects to the WLC to automatically download firmware updates and wireless configuration
- 717 information. Finally, the Control and Provisioning of Wireless Access Points (CAPWAP) tunnel and

- encrypt wireless traffic (see the black line in Figure 5-3). The traffic is then routed to the enterprise
- 719 network via the WLC [28], [37], [44], [50].



720 Figure 5-3: Wi-Fi Management

- When a device first connects to the Wi-Fi network, it needs to authenticate with either the agreed-upon
- pre-shared key or certificate. The authentication process is tunneled from the AP back to the WLC as
- shown in <u>Figure 5-4</u>. In the case of a pre-shared key, the WLC verifies that the client key matches (see
- green line). In the case of a certificate, the authentication process is passed from the WLC to the Cisco
   identity service engine (ISE) for validation using remote authentication dial-in user service (RADIUS)
- 727 protocol (yellow line). Upon successful authentication, the device negotiates an encryption key and is
- 728 granted link layer network access.





- 731 Once authentication is complete, typical network client activity is allowed. <u>Figure 5-5</u> shows how Dy-
- namic Host Configuration Protocol (DHCP) is used to contact the router to obtain network configuration
- information for the device (see red line). Once the network is configured, the infusion pump will at-
- tempt to connect to its provisioned pump server address on the enterprise network in the biomedical
- 735 zone (see green line).





738 Using an enterprise-grade Wi-Fi system can simplify transitions to more secure protocols by decoupling 739 Wi-Fi SSIDs and security parameters from the Wi-Fi spectrum and physical Ethernet connections. First, 740 every AP only needs to broadcast on a single Wi-Fi channel (in each band) and can broadcast multiple 741 SSIDs. This helps avoid interference due to multiple independent wireless systems trying to use the 742 same frequencies. Second, each SSID can be tied to its own VLAN. This means logical network 743 separation can be maintained in Wi-Fi without having to use additional spectrum. Third, multiple SSIDs 744 can be tied to the same VLAN or standard Ethernet network. Each SSID can have its own security 745 configuration as well. For example, in our use case, we have two different authentication mechanisms 746 for granting access to the same network, one configured for WPA2-PSK and another for so-called 747 enterprise certificates. This can be particularly useful for gradual transitions from old security 748 mechanisms (e.g., WEP, WPA) or old Pre-Shared Keys (PSKs) to newer ones instead of needing to transition all devices at one time. In our case, to determine which devices may need reconfiguration to 749 750 use certificates, we used the WLC to identify exactly which devices are using old PSK SSIDs. Once this 751 number is reduced to an acceptable level, the old PSK SSID can be turned off and only certificate-based 752 authentication will be allowed.

## 753 *5.3.1.3 Network Access Control*

This section describes how network access control using a wireless LAN, as shown above, is applied tothe wireless infusion pumps.

756 Before we describe network access controls, it's important to discuss each pump's wireless protection

- 757 protocol. There are three available wireless protection protocols (WEP, WPA, and WPA2). We also
- describe in-depth options for WPA2-PSK. Finally, we describe options for WPA2 across the HDO
- 759 enterprise. Many of the infusion pumps used in this NCCoE project are newer models, capable of
- supporting various wireless protocols. For HDOs, WPA2 is the recommended wireless protocol to use.
- 761 WEP and WPA are considered insufficient for appropriately securing wireless network sessions. Our
- architecture is designed to support multiple levels of access control for different groups of users. The
- architecture is configured to use WPA2-PSK and WPA2-Enterprise security protocols for secure wireless
- 764 connections to accommodate the best available security mechanisms depending on which vendor
- 765 products your organization uses. Please note that a wireless infusion pump manufactured prior to 2004
- may not be able to support these newer wireless security protocols [41].
- The WPA2-PSK is often referred to as *pre-share key mode*. This protocol is designed for small office
- 768 networks and does not require an external authentication server. Each wireless network device
- recrypts the network traffic using a 256-bit key. All pumps used in our example implementation support
- this wireless security mode, and each pump performed properly using this mode. However, because all
- devices share the same key in a pre-shared key mode using WPA2-PSK, if credentials are compromised,
- significant manual reconfiguration and change management will be required.
- 773 WPA2 enterprise security uses 802.1x/EAP. By using 802.1x, an HDO can leverage the existing network
- infrastructure's centralized authentication services such as remote authentication dial-in use service, or
- RADIUS, authentication server to provide a strong client authentication. Cisco recommends that WPA2
- TTG Enterprise, which uses the AES (Advanced Encryption Standard) cypher for optimum encryption, be
- vised for wireless medical devices, if available. We implemented WPA2-Enterprise with EAP-TLS security
- mode on several of our pumps to demonstrate that these pumps can leverage the public key
- infrastructure (PKI) to offer strong endpoint authentication and the strongest encryption possible for
- 780 highly secure wireless transmissions. In this mode, pumps were authenticated to the wireless network
- 781 with a client certificate issued by DigiCert Certificate Authority. During the authentication process, the
- 782 pump's certificates are validated against a RADIUS authentication server using Cisco ISE. Automatic
- 783 logoff features allow the system to terminate the endpoints from the network after a predetermined
- time of inactivity. Organizations manage and control the client certificates via the certificate authority.
- 785 With this capability, organizations may revoke and renew certificates as needed.
- 786 Once WPA2 is selected as the appropriate wireless protection protocol, certificates may be issued to
- authenticate infusion pumps using 802.1x/EAP-TLS mode, as illustrated Figure 5-6 [28], [29], [30], [31],
- 788 [32], [33], [34], [35], [36], [37], [38], [42], [46], [47], [48], [49], [50].
- 789 Certificate issuance involves the following three stages, denoted by shaded boxes in Figure 5-6:

#### 790 **1. Certificate Registration**

Step 1: Request a certificate from the DigiCert Certificate Authority, which is a Certificate Register
 Manager. Request pump certificates through a standalone computer connected to the internet
 using DigiCertUtil, a certificate request tool, on behalf a pump.

*Step 2*: The approved certificates are exported to the pumps using the specific tools provided by
 pump vendors. Typically, this activity is performed by a biomedical engineer.

*Step 3*: Install the certificate into the Cisco ISE application.

### 797 2. Authentication

798Authentication is performed by the Cisco ISE application to validate the pump certificate under the799802.1x/EAP-TLS. During the network access authentication procedure, the AP will pass the800certification information to ISE server for validation. Once passed, the connection between the801pump and the pump server will be established, and the data transmitted between the pump and AP802is encrypted.

#### 803 3. Certificate Management

804 Certificate management will provide services to revoke certificates when they are no longer in use,
805 and will also manage the certificate revocation list, along with any related processes for renewing
806 old certificates.





The detailed process for setting up the 802.1x network authentication for pump and pump servercommunication is documented in Volume C of the How-to guide.

## 811 *5.3.1.4 Remote Access*

Many medical devices and their back-end management systems required access by manufacturers for device repairs, configuration, software, and firmware patching and updates, or maintenance. A vendor network segment (VendorNet) is designed to provide external privileged access for vendors to their manufactured components and systems that reside within an HDO's architecture. In the NCCoE lab, a VendorNet is implemented using TDi ConsoleWorks. ConsoleWorks is a vendor-agnostic interface that gives organizations the ability to manage, monitor, and record virtually any activities in the IT infrastructure that come from external vendors.

819 Communication using TDi ConsoleWorks for vendor access to products does not require the installation820 of software agents to establish connections for managing and monitoring targeted components.

- 821 Established connections are persistent to facilitate IT operations, enforce security, and maintain
- 822 comprehensive audit trails. All information collected by ConsoleWorks is time-stamped and digitally
- signed to ensure information accuracy, empower oversight, and meet compliance requirements.
- 824 Through a standard web browser, ConsoleWorks can be securely accessed from any geographical
- 825 location, eliminating the need for administrators and engineers to be locally present to perform their
- 826 work.
- 827 Remote access is only allowed through a specific set of security mechanisms. This includes using a VPN
- at the network layer as shown in <u>Figure 5-7</u> client, for vendors to authenticate to the VPN server [43],
  [44], [51].
- 830 Figure 5-7: Remote Access VPN



- 832 After the VPN connection is established at the application layer, the security proxy will restrict who can
- access certain resources within the enterprise network, as depicted in Figure 5-8. Vendors also
- authenticate to the HTTPS-based security proxy (see red line). Based on the vendor's role, the security
- 835 proxy will facilitate a Remote Desktop Protocol (RDP) connection to equipment in the biomedical
- engineering zone via the vendor support network (see green line). The credentials used to authenticate
- the RDP connection are stored by the security proxy and not disclosed to the vendor.
- 838 The remote access firewall/router is configured so that direct access between the VPN and vendor
- support is denied and the only allowed path is through the security proxy (see stop sign). Additionally,
- 840 the firewall/router can further restrict what is accessible at the network layer from the security proxy.
- 841 The security proxy is granted access to the internet to support patching and email alerts. The public IP
- address of the external firewall is configured to forward VPN traffic to the IP address of the VPN server
- 843 [43], [44], [46], [47], [49], [51], [52], [53].





### 846 5.3.1.5 External Access

847 A guest network allows visitors or patients to access internet services during their visit. As explained in

848 the previous section (Guest Network Zone), the work traffic tends not to be of a clinical nature, but is

offered as a courtesy to hospital visitors and patients to access the internet. The external firewall marks

the boundary between the enterprise and the internet. As shown in <u>Figure 5-9</u>, this is the only point in

- the network where network address translation (NAT) is used. Additionally, the guest network for
- personal devices connects to the internet though the external firewall. The guest network is configured
- such that traffic cannot go between the enterprise and guest networks only out to the internet. This is
- denoted by the stop sign. The external firewall is configured to provide the necessary services for guest
- users to use the internet, such as DHCP, which allows dynamic addressing for anyone. Typically,
- 856 consumer equipment is connected here, such as smart phones, tablets, and personal entertainment
- 857 systems (<u>Figure 5-9</u>) [52].

858 Figure 5-9: External



## 860 5.3.2 Pump Controls

- 861 Wireless infusion pumps have the following controls:
- 862 endpoint protection
- 863 hardening
- 864 data protection.

### 865 5.3.2.1 Endpoint Protection

Traditional security relies on the network border to provide security protection to its internal nodes,
using security technologies such as application firewalls, proxy gateways, centralized virus scan, network
intrusion detection, and prevention systems. This is no longer considered a best practice. The nodes,
such as networked medical devices, should participate in their own security. Otherwise, the device can
become the weakest element in the enterprise and present a risk to the entire HDO network.

To avoid the single point of failure caused by an unsecured node, every system should have an

872 appropriate combination of local protections applied to it. These protections include code signing, anti-

tampering, encryption, access control, white listing, and others.

## 874 5.3.2.2 Hardening

875 Wireless infusion pumps and their servers are considered computing endpoints when it comes to 876 hardening the software contained within these devices. Medical devices usually contain third-party 877 commercial, off-the-shelf (COTS) products, including proprietary or commercial embedded operating 878 systems, network communication modules, runtime environments, web services, or databases. Because 879 these products can contain vulnerabilities, medical devices may also inherit these vulnerabilities just by 880 using the products [2], [3], [7], [9], [25]. Therefore, it is important to identify all software applications 881 used on medical devices, implement securing and hardening procedures recommended by the 882 manufacturers, and apply timely patches and updates to guard against any newly discovered threats.

- 883 Hardening may include the following:
- 884 disabling unused or unnecessary communication ports and services
- 885 changing manufacturer default administrative passwords
- 886 securing remote access points if there are any
- 887 confirming the firmware version is up to date
- 888 ensuring hashes or digital signatures are valid
- 889 However, please note that most infusion pumps do not have the same level of storage resources and
- 890 CPU processing capability as those provided for personal computers and servers.

### 891 *5.3.2.3 Data Protection*

The two primary reasons for data protection are confidentiality and integrity. Medical devices may
contain patient data such as patient name, medical record number, gender, age, height, weight,
procedure number, medication and treatment information, or other identifiers that may constitute PHI.
PHI must be appropriately protected, for example, through encryption or other safeguard measures
that would prevent unauthorized disclosure of such information.

- 897 Infusion pumps may also contain configuration data such as drug libraries specifying dosage and
   898 threshold limits. This data must be protected against compromises as well. Our defense-in-depth
   899 approach for data integrity involves sandboxing the critical system files stored in pump servers using
   900 Symantec Advanced Data Center Security and encrypting messages when communicating between a
- 901 medical infusion pump and the backend infusion management system, via Internet Protocol Security or
- 902 secure sockets layer encryption (e.g., https, TLS).

## 903 5.3.3 Pump Server Controls

Pump server features vary. Usually, a pump server can be used to distribute firmware, the drug library,
 other software updates used inside the devices, or as a tool for providing services such as reporting and
 device asset management. Data collected by the infusion pump server is valuable for further analysis to
 provide reports on trends, compliance checking, and to measure infusion safety.

- 908 Because pump servers connect to infusion pumps to deliver and receive infusion-related information, it
- 909 is also important to secure the infusion pump server, its associate applications, databases and910 communication channels as well.

## 911 *5.3.3.1 User Account Controls*

Access to the pump server typically implements user name/password authentication. After the pump
 server is installed, an initial step is to define the password policy that applies to users accessing the
 pump server. When managing user accounts for a pump server, common cybersecurity hygiene should
 include the following:

- 916 changing factory default passwords
- 917 enforcing password policies
- 918 assigning each user's access level using the least privilege principle
- 919 if supported, using centralized access management, such as LDAP for user account,
   920 management at the enterprise level
- 921 configuring auto logout

## 922 5.3.3.2 Communication Controls

Pump servers interface with many other systems or components such as: databases, web services, and
web portals. Communications between different systems can be configured. Pump servers might
provide choices for selecting unsecure or secure TCP/IP ports for communication. We recommend using
secure (e.g., stateful, encrypted network sessions) ports for message communication or for package
download.

There may be a default setting for the communication interval, in number of seconds, for
communication attempts between the server and the pump. Be sure to set this idle time-out setting
properly.

## 931 5.3.3.3 Application Protection

Application protection refers to software applications running on the pump servers. Most of the
 software application security concerns and security controls used on traditional personal computers and
 servers may also be applied to pump servers to protect data integrity and confidentiality. These control
 measures may include:

- 936 trusted applications
- 937 stronger access control mechanisms for pumps and pump servers
- 938 better key management
- 939 application white listing
- 940 sandboxing applications
- 941 performing code-signing verification for newly installed software
- 942 applying the latest patches and software updates
- 943 encrypting message data in-transit, or at rest
- 944 Server security baseline integrity is achieved via the use of three Symantec cybersecurity products on an 945 enterprise network with a specific focus on wireless infusion pumps:
- 946 Symantec Data Center Security: Server Advanced (DCS:SA)
- 947 Symantec Endpoint Protection (SEP)
- 948 Symantec Advanced Threat Protection: Network (APT:N)
- 949 Each of these products provide protections for components in the enterprise systems in different levels.
- 950 With pre-built policies, the Data Center Security Server installed can provide out-of-the-box host
- 951 Intrusion IDS and IPS by monitoring and preventing suspicious server activities on pump servers. The use
- of DCS also provides the host firewall service for controlling inbound and outbound network traffic to
- and from a protected server. Using DCS, the configuration settings, file, and file systems in the pump

954 server can be locked down using policy-based least privilege access controls to restrict application and955 operating system behavior and prevent file and system tampering.

956 Like DCS, Symantec's Endpoint Protection (SEP) provides similar protection for endpoint devices and

957 servers. SEP features in-memory exploit mitigation and anti-virus file protection to block malware from

958 infecting protected endpoint servers. This will reduce the possibility of zero-day exploits on popular

959 software that may not have been properly patched or updated. To protect endpoint servers, an SEP

- agent must be installed on servers.
- 961 Advanced Threat Protection: Network (ATP:N) can provide network-based protection of medical device
- 962 subnets by monitoring internal inbound and outbound internet traffic. It can also be used as a
- 963 dashboard to gain visibility to all devices and all network protocols. In addition, if ATP:N is integrated
- with the SEP, ATP can then monitor and manage all network traffic from the endpoints and provide
- 965 threat assessments for dangerous activity to secure medical devices on an enterprise network. The use
- 966 of these Symantec security products is depicted in <u>Figure 5-10</u> below.

967 Figure 5-10: Pump Server Protection



## 969 5.3.4 Enterprise Level Controls

## 970 5.3.4.1 Asset Tracking and Inventory Control

Medical asset management includes asset tracking and asset inventory control. Asset tracking is a
management process used to maintain oversight of the equipment, using anything from simple
methods such as pen and paper to record equipment, to more sophisticated IT asset management
platforms. HDOs can use asset tracking to verify that a device is still in the possession of the assigned,
authorized users. Some more advance tracking solutions may provide service for locating missing or
stolen devices.

- 977 Inventory management is also important throughout a medical device's life cycle. Inventory tracking
- 978 should not be limited to hardware inventory management. It should also be expanded to include
- 979 software, software versions, data stored and accessed in the devices, for security purpose. HDOs can
- 980 use this type of inventory information to verify compliance with security guidelines and check for
- 981 exposure of confidential information to unauthorized entities.

## 982 *5.3.4.2 Monitoring and Audit Controls*

Logging, monitoring, and auditing procedures are essential security measures that can be used to help
 HDOs prevent incidents and provide an effective response when a security breach occurs. Logging
 records events to various logs; monitoring oversees the events for abnormal activities, such as scanning,
 compromises, malicious code, and denial of services in real time; and auditing reviews and checks these
 recorded events to find abnormal situations or evaluate if the applied security measures are effective.

- 988 By combining the logging, monitoring, and auditing features, an organization will be able to track,
- 989 record, review and respond to abnormal activities and provide historical records when needed.
- 990 Many malware and virus infections can be almost completely avoided by using properly configured
- 991 firewalls or proxies with regularly updated knowledge databases and filters to prevent connections to
- 892 known malicious domains. It is also important to review your firewall logs for blocked connection
- attempts so that you can identify the attached source and remedy infected devices if needed.
- In our example implementation, user audit controls—simple audits—are in place. Although additional
   security incident and event managers (SIEM) and centralized log aggregation tools are recommended to
   maximize security event analysis capabilities, aggregation and analytics tools like these are considered
   out of scope for this project iteration.
- Each system is monitored for compliance with a secure configuration baseline. Each system is also
  monitored for risks to known good, secure configurations by vulnerability scanning tools. In our project,
  the AP provided by Cisco, the Cisco ISE as Radius authentication server, VendorNet provided by TDI, and
  the pump servers from each vendor are all equipped with proper monitoring and logging capabilities.
  Real-time monitoring for events happening within these systems can be analyzed and compared to the
- 1003 baseline. If any abnormal behavior occurs, it can be detected. The auditing of data was considered out

of scope for this reference design because the absence of an actual data center made auditing behaviorimpractical.

## 1006 **5.4 Final Architecture**

1007 The target architecture, depicted in Figure 5-11, indicates the implementation of network segmentation 1008 and controls as described by this practice guide. Segmentation identified nine zones, ranging from the 1009 guest network to the medical device zone, and includes zones for Wi-Fi infrastructure, and core network infrastructure. The zoned concept implements firewall/router devices to enforce segmentation, with 1010 1011 the firewall enforcing limited trust relationships between each zone. Noted in the diagram are 1012 processes that have impact on the overall architecture. Security controls are implemented to enforce 1013 encryption on network sessions. For Wi-Fi, leveraging standard protocols such as WPA2- PSK and WPA2-Enterprise created a secure channel for the pumps to communicate with the (AP)s, and to use TLS to 1014 1015 secure the communication channel from the pumps to the server.



1016 Figure 5-11: Target Architecture
### 1018 6 Life Cycle Cybersecurity Issues

1019 Configuration management throughout a device's life cycle is a key process that is necessary for the
 1020 support and maintenance of medical devices [3]. <u>NIST SP 1800-5: IT Asset Management for the Financial</u>
 1021 <u>Services Sector</u> discusses IT Asset Management (ITAM), and, although the focus of the document
 1022 pertains to financial services, similar challenges exist in healthcare [54]. Establishing a product life cycle
 1023 management program addresses a few of the risks noted in previous sections of this guide, and should
 1024 be considered as part of a holistic program for managing risks associated with infusion pump
 1025 deployments.

- 1026 Figure 6-1 illustrates a typical life cycle for an asset, and this model can be applied to medical devices.
- 1027 The sections below will take specific phases of the asset life cycle and discuss essential cybersecurity
- 1028 activities that should occur during those phases.



1029 Figure 6-1: Asset Life Cycle [55]

#### 1031 6.1 Procurement

Asset life cycle management typically begins with Strategy, Plan, and Design phases, which lead into
 procurement. These phases are opportunities for hospitals to define requirements and identify where
 security controls may be implemented on infusion pumps or other devices that the hospital intends to
 acquire.

Phases leading into procurement enable the HDO, reseller, or manufacturer to ensure that the
equipment that the HDO will deploy offers the appropriate combination of security and functionality
required to render patient care. These phases also enable the hospital to implement appropriate
security controls to safeguard the device and the information that it may store or process.

1040 Purchasers at HDOs may request manifests or architectural guidance on secure deployment of the

1041 equipment and may perform research on products and the manufacturers that they have selected.

1042 While performing the research, HDOs may begin a risk assessment process to ensure that risks are1043 mitigated.

Manufacturers maintain a document referred to as the MDS2 (Manufacturer Disclosure Statement for Medical Devices) that an HDO may review, enabling the HDO to determine possible vulnerabilities and risks [56]. Hospital purchasers may also determine if vulnerabilities exist in the proposed equipment by

1047 reviewing the FDA-hosted MAUDE database (Manufacturer and User Facility Device Experience).

Hospitals should also obtain any necessary training, education, and awareness material from the
 manufacturer and educate staff about the deployment, operation, maintenance, and security features
 available on their equipment. HDOs might consider writing user-friendly documentation to ensure that
 staff can use the equipment with confidence and competence.

Performing research and risk analysis during the phases leading into procurement will allow HDOs to
 make informed decisions. For further reference, we note that the Mayo Clinic has produced a best
 practice document that discusses procurement.

#### 1055 6.2 Operation

After procuring their equipment, hospitals onboard it during the Operation and Maintenance phases. Equipment purchasers should apply asset management processes (e.g., asset tagging and entry into a configuration management database or some other form of inventory tracking), and have standard baseline configurations implemented. Wireless infusion pumps may need to be configured to connect to a hospital's Wi-Fi network (Medical Device zone, as depicted in the architecture section of this document; see Section 5.3.1.2, <u>Medical Device Zone's Wireless LAN</u> and implement digital certificates to allow for device authentication.)

As noted above, hospitals should implement some type of configuration management database or asset
 inventory that captures granular information about the device. Implementing an ITAM mechanism

1065 enables the hospital to have visibility into their infusion pump deployment, with captured information

- 1066 that describes the make/model, firmware, OS, and software versions, a general description of the
- applied configuration along with change history, and physical location within the hospital. Regular
- 1068 maintenance of the ITAM would reduce risks, for example, that may emerge based on loss/theft, as well
- as provide a central knowledge repository that allows the hospital to coordinate any requiredmaintenance or refresh.
- 1071 As part of deployment, hospitals should apply practices noted by the manufacturer (e.g., regarding 1072 access control and authentication). As noted above, digital certificates should be installed to allow for 1073 device authentication to Wi-Fi, but engineers should implement access control and auditing
- 1074 mechanisms where applicable.

#### 1075 6.3 Maintenance

- 1076 Pump manufacturers have two types of systems that require updating: the pumps and the pump
- 1077 servers. Pumps may implement control systems in firmware (writeable, non-volatile storage that may
- 1078 include an embedded operating or other control system). Control systems may be maintained through
- 1079 an update process that involves replacing all or parts of the operating or control system. Server
- 1080 components may be implemented on more conventional IT systems, using commercial operating1081 systems (e.g., Windows or Linux variants).
- 1002 Another equat of configuration representative UDO will wratter that the
- 1082 Another aspect of configuration management that HDOs will want to pursue is that of patching.
- 1083 Patching, known colloquially as *bug fixing*, does not require a full replacement of software and is
- 1084 generally performed on pump servers. The patch frequency that manufacturers generally adhere to is 1085 monthly for patches and yearly for updates. This observation on timing comes from industry, not NIST—
- 1086 and is considered standard practice, rather than advice
  - 1086 and is considered standard practice, rather than advice.
  - 1087In addition to identifying patch frequency, organizations must be aware of likely vulnerabilities and the1088risks they introduce into the enterprise, and then decide whether a patch should be applied. NIST SP
  - 1089 <u>800-40 *Guide to Enterprise Patch Management Technologies* discusses the importance of patch</u>
  - 1090 management and the challenges.

### 1091 **6.4 Disposal**

- 1092 The *Dispose* phase of the ITAM life cycle comes into play when products reach their end of life and are 1093 removed from hospital service. Wireless infusion pumps have increased in sophistication and 1094 information that each device may use, process, or store. The information found on pumps and related 1095 equipment may include sensitive information or information that may be regarded as PHI. As such,
- 1096 hospitals should seek to implement mechanisms to ensure that any sensitive information is removed
- 1097 from all storage areas that a pump or its system components may maintain. Practices to remove that
- 1098 information may be found in NIST SP 800-88 *Guidelines for Media Sanitation* [27].

### 1099 7 Security Characteristics Analysis

1100 We identified the security benefits of the reference design, how they map to NIST Cybersecurity 1101 Framework (CSF) subcategories, and the mitigating steps to secure the reference design against 1102 potential new vulnerabilities [10], [14].

#### 1103 **7.1 Assumptions and Limitations**

1104 Our security analysts reviewed the reference architecture and considered if the integration described in 1105 this guide would meet security objectives. The analysts purposely avoided testing products, and readers

- should not assume any endorsement or diminution of the value of any vendor products. Although we
- 1107 have aimed to be thorough, we counsel those following this guide to evaluate their own
- 1108 implementation to adequately gauge risks particular to their organizations.

#### 1109 7.2 Application of Security Characteristics

1110 Using the CSF subcategories to organize our analysis allowed us to systematically consider how well the

- 1111 reference design supports specific security activities and provides additional confidence that the
- 1112 reference design addresses our use case security objectives. The remainder of this subsection discusses
- 1113 how the reference design supports each of the identified CSF subcategories [10].

#### 1114 7.2.1 Supported CSF Subcategories

- 1115 The reference design focuses primarily on the *Identify* and *Protect* function areas (i.e., subcategories) of 1116 the CSF. Specifically, the reference design supports:
- three activities in the CSF *Identify* function area: Asset Management, Business Environment, and
   Risk Assessment
- activities from each category of the CSF *Protect* function area, except for Awareness and
   Training
- 1121 We discuss these CSF subcategories in the following subsections.

# 11227.2.1.1ID.AM-5: Resources (e.g., Hardware, Devices, Data, Time, and Software) are1123Prioritized Based on Their Classification, Criticality, and Business Value

1124 To address this subcategory of the *Identify* function, we conducted an asset inventory as part of the risk 1125 management process. For this project, we identified assets and entered them into the Clearwater

- 1126 Compliance IRM|Analysis<sup>™</sup> tool. This risk analysis tool categorized project resources into types of
- assets. Additionally, it characterized the system, enabling us to address the criticality of our resources.
- 1128 Our project only partially satisfies the *Resources* subcategory as we focused on technical solutions and
- did not write a business impact assessment or business continuity plan.

# 11307.2.1.2ID.BE-1: The Organization's Role in the Supply Chain is Identified and1131Communicated

Organizations who may be using this guide are the end users of medical devices. NIST SP 800-53, control
SA-12, most directly applies to such end users because it directs users to define which security
safeguards to employ to protect against supply chain threats [14]. Our implementation uses network
segmentation to limit exposure to the wireless infusion pump from other areas within a hospital

- 1136 network. This is done because if a vulnerability is identified in a device, segmentation and access control
- 1137 will help safeguard the medical device until the vulnerability can be properly addressed.

#### 1138 7.2.1.3 ID.RA-1: Asset Vulnerabilities are Identified and Documented

Given a reasonably long life cycle, even the best designed electronic asset will eventually be impacted
by a vulnerability. Medical devices can have a long product life cycle, per TIR57, "Device or platform
used for decades" [9], [25]. Identifying vulnerabilities in an asset may occur via various means. Some

1142 may be identified through onsite testing; however, often the manufacturer or a researcher will find the

- 1143 vulnerability. An effective risk management program is essential to reduce the likelihood that an
- 1144 identified vulnerability will be exploited. This implementation uses a combination of risk analysis tools
- and methods to help reduce the impact a vulnerability may have on the build.

# 11467.2.1.4PR.AC-1: Identities and Credentials are Issued, Managed, Revoked, and Audited1147for Authorized Devices, Users, and Processes

1148 Following the segmentation approach used to separate hospital networks into zones, our

1149 implementation employs role-based security, which limits access based on who actually need to access

the pump. HDO users with no business need are not permitted access to pumps, pump servers, or

related components. Most users, including biomedical staff, are granted access via active directory.

- 1152 Although our NCCoE lab did not use single-sign-on (SSO), using SSO can make pump access seamless to
- an end user. How to manage credentials of clinicians who operate the pump directly is beyond thescope of this guide.
- 1155 Remote access is necessary to maintain proper functionality of infusion pumps, but the mechanism for
- 1156 gaining and controlling remote access varies depending on the user type. Hospital staff such as
- 1157 biomedical engineers remotely access pumps through a VPN and hardened gateway at the application
- 1158 layer. Such users are considered trusted HDO staff with access to other network resources throughout
- the enterprise.
- 1160 Pump manufacturers who may need to reach a device for maintenance or troubleshooting can gain
- 1161 access into a VendorNET zone only, from which they can access pumps and pump servers, but not other
- 1162 zones in the enterprise. Our example implementation uses ConsoleWorks for authentication, role-based
- 1163 access control, and recording system management actions of remote vendor activity.

# 11647.2.1.5PR.AC-4: Access Permissions and Authorizations are Managed, Incorporating the1165Principles of Least Privilege and Separation of Duties

1166 This CSF subcategory is supported for the pumps and pump servers with Data Center Security (DCS). The 1167 configuration settings, file, and file systems in the pump server are restricted, thereby implementing 1168 policy-based least privilege access control. DCS restricts application and operating system behavior and 1169 prevents unauthorized users from tampering with files and systems.

Least privilege is also addressed via the network design itself. By limiting user access to the zones where
a user has a business need for access, the architecture seeks to enforce the concept of least privilege
and separation of duties.

# 11737.2.1.6PR.AC-5: Network Integrity is Protected, Incorporating Network Segregation1174Where Appropriate

1175 Network segmentation is a key function of this reference design. Segregating Guest, Business Office,

1176 Database, Enterprise Services, Clinical Server, and Biomedical Engineering networks from the Medical

1177 Device zone reduces the risk of medical devices being negatively impacted from malware or an exploit 1178 in another zone. Using a combination firewall/router device to segregate the zones also limits risk to the

- 1179 enterprise should a vulnerability be exploited within the medical device zone.
- 1180 7.2.1.7 PR.DS-2: Data-In-Transit is Protected

Data-in-transit occurs when data travels from the drug library on a pump server to an infusion pump.
The information being passed most frequently will be types of drugs and dosage range. This information
is not PHI; however, the availability and integrity of this information are important. This project uses
WPA2-AES, which authenticates pumps to the wireless network with client certificate issued by DigiCert
Certificate Authority.

# 1186 7.2.1.8 PR.DS-6: Integrity Checking Mechanisms are Used to Verify Software, Firmware, 1187 and Information Integrity

1188 This CSF subcategory is supported with server and agent products to monitor and lock-down 1189 configuration settings, files, and file systems in the pump server using the policy-based least privilege 1190 access control. This limits application and operating system to expected behavior and reduces the 1191 likelihood of system from digital tampering.

# 1192 7.2.1.9 PR.IP-1: A Baseline Configuration of Information Technology/Industrial Control 1193 Systems is Created and Maintained Incorporating Appropriate Security Principles 1194 (e.g., Concept of Least Functionality)

A mature cybersecurity program follows a documented secure baseline for traditional information
 technology components and medical devices. This NCCOE project has implemented hardening for each

1197 component used in the build and documented the steps taken. This initial step produces a secure

- baseline configuration. Because this project uses five different types of wireless infusion pumps, the
- 1199 baseline is of limited use; however, in a healthcare organization with many medical devices and multiple
- 1200 biomedical and information technology professionals, it is essential to develop and implement a
- 1201 baseline configuration for vulnerability management.

# 1202 7.2.1.10 PR.MA-2: Remote Maintenance of Organizational Assets is Approved, Logged, 1203 and Performed in a Manner that Prevents Unauthorized Access

We controlled remote access to pump vendors by implementing ConsoleWorks, a software tool that
 records all the actions performed over a connection; thereby providing an audit trail that documents
 vendor activity.

# 1207 7.2.1.11 PR.PT-1: Audit/Log Records are Determined, Documented, Implemented, and 1208 Reviewed in Accordance with Policy

1209 Our example implementation supports this CSF subcategory by enabling logging on all devices in two

1210 ways: with a logging capability and with a process of identifying which events the log will record.

- 1211 Although our project employs auditing and recognizes its importance in a cybersecurity program, log
- aggregation and implementing a log review process, albeit vital activities, are beyond this project'sscope.

# 1214 7.2.1.12 DE.AE-1: A Baseline of Network Operations and Expected Data Flows for Users 1215 and Systems is Established and Managed

As we did with systems and medical devices, we took a least functionality approach when configuring
the network. We followed best practices for configuring firewalls based on a default deny, restricted
SSID broadcast, and limiting the power of wireless signals.

- 1219 This CSF subcategory is supported by the Symantec Intrusion Detection System (IDS) component of the
- 1220 reference design. This tool identifies, monitors, and reports anomalous network traffic that may
- 1221 indicate a potential intrusion. Endpoint protection implements policies for expected behavior and alerts
- 1222 when activities occur outside the usual patterns.

#### 1223 7.3 Security Analysis Summary

1224 Our reference design's implementation of security surrounding wireless infusion pumps helps reduce

- 1225 risk from a pump, even if a vulnerability is identified in a pump, by creating a more secure environment
- 1226 for medical devices. The key feature is network segmentation. Supporting this zone approach, our
- 1227 project build follows security best practices to harden devices, monitor traffic, and limit access via the
- 1228 wireless network to only authorized users. Any organization following this guide must conduct its own
- 1229 analysis of how to employ the elements we've discussed here in their environment. It is essential that

organizations follow security best practices to address potential vulnerabilities and minimize any risk tothe operational network.

#### 1232 8 Functional Evaluation

We conducted a functional evaluation of our example implementation to verify that several common
provisioning functions used in our laboratory test worked as expected. We also needed to ensure that
the example solution would not alter normal pump and pump server functions. The test plan in
Section 8.1 outlines our test cases, the purposes, and desired outcomes.

1237 The subsequent sections explain the functional tests in more details and list the procedures for each of1238 the functional tests.

Test Case	Purpose	Desired Outcomes
WIP-1: Network Segmentation	Test the effectiveness of net- work segmentation	All firewall rules for each seg- ment are implemented cor- rectly, as designed.
WIP-2: Data Center Security	Test the effectiveness of Data Center Security (DCS:SA) to see that it follows defined policies	The inbound and outbound net- work traffic to and from servers is controlled per host firewall rules.
WIP-3: Endpoint Protection	Test the effectiveness of the Sy- mantec (SEP) to ensure that it follows defined policies	A bad file is detected and the planned installation action is blocked.
WIP-4: Advanced Threat Protec- tion	Test the effectiveness of Ad- vanced Threat Protection: Net- work (ATP:N) to ensure it fol- lows defined policies	The URLs in the blacklist are blocked. Also, the URLs in the whitelist are allowed.
WIP-5: Protected Remote Ac- cess	Test the effectiveness of the re- mote access controls	The vendor can only access to what's been granted for access with the correct privileges.
WIP-6: Pump and Pump server network connection	Confirm the installation and configuration of pumps and pump server are fully com- pleted	Pumps and pump servers are connected to the network and pumps communicate to the cor- responding pump servers.

#### 1239 8.1 Functional Test Plan

Test Case	Purpose	Desired Outcomes
WIP-7: Pump and Pump server basic functions	Test a set of operational events between pumps and pump servers	Pumps are connected to the corresponding pump server, able to perform a set of operational events.

#### 1240 8.1.1 Test Case: WIP-1

Test Case Name	Network Segmentation
Description	<ul> <li>Show that the WIP solution allows the inbound and outbound traffic of a given zone as per design</li> </ul>
	<ul> <li>Show the WIP solution blocks the inbound and outbound traffic of a given zone as per design</li> </ul>
Preconditions	WIP network segmentation is implemented
	<ul> <li>Internal firewall rules of each zone are defined and implemented</li> </ul>
	<ul> <li>The ASAs are configured to use stateful filtering, so return traffic is automatically allowed if the initial connection is allowed. Everything not explicitly allowed in a rule is denied</li> </ul>
Procedure	<ol> <li>Use Medical Device and Biomedical Segment zones as a test example.</li> <li>Review the port and communication protocol requirements from each tested pump vendor, for pump and corresponding pump server</li> <li>Configure the ASA firewall access list to open only the needed ports and allow access only to necessary protocols</li> <li>Everything not explicitly allowed in a rule is denied.</li> </ol>
Result	<ol> <li>Review the ASA configuration file to verify that the ASA firewall is configured to only allow communication with a specific protocol and port as specified by the pump vendors. All other communication between these two segments will be denied and blocked using a command such as:</li> <li>"show access-list   include eq" to see the opened ports</li> <li>Use network discovery scanning tools such as nmap to check the open, closed, or filtered ports</li> </ol>

#### 1241 8.1.2 Test Case: WIP-2

Test Case Name	Data Center Security
Description	<ul> <li>Show that the WIP solution detects files that are defined in policy and apply the file and system tampering prevention methods by locking down files</li> </ul>
Preconditions	<ul><li>DCS:SA is installed and configured</li><li>File and System Tamper Prevention policy is set</li></ul>

Test Case Name	Data Center Security
	<ul> <li>Windows_Baseline_detect_TEST is used as the baseline for server hardening</li> </ul>
Procedure	There are two admin applications for the DCS, the console admin and the portal admin. The console admin is the thick client and the portal is the thin client. The console is used to create and modify the policy, and the portal is used to publish the policy. Portal URL is <u>https://192.168.120.167:8443/webportal/#/</u>
	Log in to the DCS Console
	<ul> <li>Select the Policy-&gt;Work Space-&gt;Pump Server folder</li> </ul>
	<ul> <li>Select Detection tab to show the detection polices</li> </ul>
	<ul> <li>You should see a preinstalled policy-Windows_Baseline_detect_Test, double click it to open a detailed policy editing window for configuration</li> </ul>
	<ul> <li>Create a policy for hardening the server, such as "do not allow any file to be installed on the server"</li> </ul>
	Enable the policy
	Publish the policy
Result	Test to verify that no file is allowed to be installed on the protected server

#### 1242 8.1.3 Test Case: WIP-3

Test Case Name	Endpoint Protection/Advance Threat Protection
Description	• Show that the WIP solution has the capability to detect a bad file and act (i.e., stop installing that bad file)
Preconditions	<ul> <li>Symantec Endpoint Protection (SEP) is installed and configured</li> </ul>
	Define the antivirus signature rule
	Create a 'bad' file that is part of the antivirus signature rule
Procedure	1. Make sure the test server has a Symantec End Protection agent installed and enabled.
	2. From the server machine, open an IE browser and type:
	http://test.symantecatp.com. This is a test site provided by Symantec
	containing some unharmful links for testing purposes
	3. Click some links such as 'antivirus test' from the list to install some
	suspicious software on the test server
	<ol> <li>The installation should be blocked by the server's SEP and the violation incident should be reported in the ATP</li> </ol>
	5. To view the violation in ATP: login to the ATP Server from a browser in a
	server that can access the 192.168.120.x network, such as the Active
	Directory server (192.168.120.162)
	6. Type this URL in the browser: https://192.168.120.168

Test Case Name	Endpoint Protection/Advance Threat Protection
	<ol><li>View any violation incidents from the ATP to verify that the bad link is blocked.</li></ol>
	<ul> <li>If wanted, one can dive into the details to see which bad sites it tried to connect.</li> </ul>
	<ul> <li>Then for an open incident, need to close it.</li> </ul>
Result	<ul> <li>To verify that the ATP:N and Symantec deployment and configuration offers needed security protection to prevent malware installed in a server.</li> <li>To view the violation, in ATP: login to the ATP Server from a browser in a server that can access the network, where the tested server is located.</li> <li>1. View any violation incidents from the ATP to verify that the bad link is blocked.</li> </ul>
	<ol> <li>Check the details to see which bad sites it tried to connect.</li> <li>Close open incidents</li> </ol>

### 1243 8.1.4 Test Case: WIP-4

Test Case Name	Advanced Threat Protection
Description	<ul> <li>Show that the WIP solution has effective network threat protection based on network intrusion prevention, URL, and firewall policies.</li> </ul>
Preconditions	<ul> <li>Advanced Threat Protection: Network (ATP:N) is installed and configured</li> <li>Firewall and browser protection rules are defined</li> </ul>
Procedure	<ol> <li>Logon to a vm server with APT:N installed</li> <li>Access to a malicious website</li> <li>Check the results</li> </ol>
Result	See Test Case WIP-3

#### 1244 8.1.5 Test Case: WIP-5

Test Case Name	Protected Remote Access
Description	• Show that the WIP solution has the protected remote access capability. The VendorNet concept was created out of a need to give vendors more restricted remote access to a lab than NIST/NCCoE/MITRE staff. VendorNet is an NCCoE network created for each lab that is tied to an active directory group. This group of people is then allowed to access the lab through VendorNet. VendorNet hosts controlled access mechanisms such as ConsoleWorks, file transfer servers, or other remote access proxy services.
Preconditions	<ul><li>VendorNet is created</li><li>TDi ConsoleWorks is installed and configured</li></ul>

Test Case Name	Protected Remote Access
	ConsoleWorks profile and user are created
Procedure	1. Using public Internet, remotely logon to the NCCoE VPN
	<ol><li>Logon to ConsoleWorks using the IP address:</li></ol>
	https://consoleworks.nccoe.nist.gov
	3. From the graphical menu, select the View to view graphical connections
	4. Each external vendor can only view the resources assigned to them
	5. Access the granted hosts
	6. Perform the allowed operations as specified
	7. Check the results
Result	1. Verify that the vendor can access associated pump server using VendorNet
	and ConsoleWorks
	2. Verify that they can perform the preassigned operational activities
	3. Verify that they cannot perform unauthorized operations, such as some
	administration task, such as adding a new user account
	4. Verify that all activities performed by the external vendor are logged and
	can be audited as needed

#### 1245 8.1.6 Test Case: WIP-6

Pump and Pump Server Network Connection
<ul> <li>Show that the WIP solution establish the wireless network connection between each vendor's pumps and their corresponding pump server</li> </ul>
Wireless router with pre-share password SSID has been set up
<ul> <li>Infusion pump servers have been installed and configured</li> </ul>
<ul> <li>Infusion pumps have been installed and configured using WPA2-PSK or WPA2-ENT/EAP-TLS for secure wireless network connection</li> </ul>
<ul> <li>Cisco ISE is installed and configured with root CA installed</li> </ul>
<ol> <li>Turn on the pump</li> <li>Check the wireless indicator</li> <li>Check the Access Point and ISE administration portals for device connection and authentication status</li> <li>Check the Infusion Pump server management tool for discovered pumps</li> </ol>
Both the access point portal should indicate that the pumps are successfully connected to the network The pump server admin portal should indicate the pump is online and in use. (Note: the way the pump server portal displays these messages is vendor de- nendent.)

Test Case Name	Pump and Pump Server Network Connection
	In the case of WPA2-Ent/EAP TLS wireless access mode, the Cisco ISE should dis-
	play that the pumps are successfully authenticated

#### 1246 8.1.7 Test Case: WIP-7

Test Case Name	Pump and Pump Server Basic Functions
Description	<ul> <li>Show that the WIP solution supports the basic operational events for each vendor's pumps and their corresponding pump server</li> </ul>
Preconditions	Successful test results of WIP-6
	<ul> <li>The drug library for a specific pump has been created by a pharmacist and validation has been performed.</li> </ul>
	<ul> <li>The drug library has been successfully published or loaded to the infusion pump server to be tested</li> </ul>
Procedure	<ol> <li>From the pump server, send the new version of drug library to its pumps. Following is an example procedure used by Hospira to send Drug Library to its pump using the MedNet Software Server:</li> </ol>
	<ul> <li>Log in to a Metnet software server</li> </ul>
	<ul> <li>Request the download of the drug library to one or more pump</li> </ul>
	<ul> <li>MedNet displays the drug library download status as "Pending"</li> </ul>
	<ul> <li>MedNet using MedNet Service forwards the drug library to infusion pump selected</li> </ul>
	<ul> <li>Pump infuser downloads the drug library from the MedNet Server</li> </ul>
	<ul> <li>Pump Infuser sends a download status update to Hospira MedNet server to indicate the drug library is successfully downloaded and wait for installation</li> </ul>
	<ul> <li>The pump server displays a download status as "On Pump"</li> </ul>
	<ul> <li>The operator of the pump powers down the pump and choose to install the new drug library when prompted by the infuser</li> </ul>
	• The pump sends the update status to MedNet to indicate that the drug library was successfully installed and a "Completed" status is displayed.
	<ol> <li>From the pump server, send the new version of software updates to its pumps (Using Smiths Medical pump as an example). Using the PharmGuard pump server, packages containing data such as device configuration data or firmware, specific to an installed Smiths Medical device model can be installed. The package tested is provided by Smiths Medical.</li> <li>Log in to a PharmGuard server.</li> </ol>

Test Case Name	Pump and Pump Server Basic Functions
	<ul> <li>Select Package Deployment from the Asset Management drop-down menu, all previously-deployed packages, if any, are listed</li> </ul>
	Click Add Package
	<ul> <li>Click Browse to navigate to and select the package file</li> </ul>
	<ul> <li>Click Upload to upload the package. After package file is read, information about the package is displayed in the package table</li> </ul>
	<ul> <li>Select the package you like to deploy and click View/Deploy, the package detailed information is displayed</li> </ul>
	<ul> <li>Click Deploy to deploy the new package</li> </ul>
	<ul> <li>Enter the name for the deployment and specify a start deploy</li> </ul>
	<ul> <li>Enter the required password and click Continue</li> </ul>
	<ul> <li>After you confirm the package deployment, the name of the newly-deployed package displays in the Deployment list with the Status of Active</li> </ul>
	<ul> <li>To check if a package has been received by the individual pump associated with the package deployment, you need to check the device itself</li> </ul>
Result	Using the device or the corresponding pump server portal to verify that the in- tended package has been successfully deployed. How this information is dis- played is device- and manufacturer-specific. Please consult documentation for specific devices for more information.

### 1247 9 Future Build Considerations

1248 During our development of this project and practice guide, we did not implement several components;

- however, they should be considered. We did not implement a commercially available electronic healthrecord (EHR) system. EHRs are often regarded as central within a hospital.
- 1251 Other solutions that were not implemented in the lab were a central asset inventory management tool,
- 1252 or mechanisms to perform malware detection or network monitoring in the Medical Device zone. An
- 1253 update to this practice guide could evaluate these components and other control mechanisms that may
- 1254 become available in the future.

### Appendix A Threats

Below are some potential known threats in the healthcare environments that use network-connected medical devices, such as wireless infusion pumps.

- Targeted attacks: threats involving actors that attempt to compromise the pump and system components directly affecting pump operations, including the pump, the pump server, drug library, or drug library management systems. Actors who perform such targeted attacks may be external, in other words those who attempt to access the pump system through the public Internet, or via vendor support networks or VPNs. There may also be internal actors, such as those on staff who may be involved in accidental misconfiguration or who possess provisioned access and abuse their granted privileges, or patients or other visitors who attempt to modify the behavior of a pump.
- Advanced Persistent Threats: APTs occur when the threat actor attempts to place malicious software on the pump or pump system components, which may enable that threat actor to perform unauthorized actions, either on the pump system itself, or as a pivot point to cause adverse conditions for hospital internal systems that may have reachability from the pump network environment. Placement of malicious software may or may not cause adverse scenarios on the pump or its system components.
- Disruption of Service Denial of Service (DoS) and Distributed Denial of Service (DDoS) attacks: DoS or DDoS attacks may be components found in a broader APT scenario. Such attacks are intended to cause the unavailability of the pump or pump system components, thus rendering providers with degraded capability to fulfill patient care.
- Malware infections: In this type of attack, a threat actor places malicious software on the pump, likely as part of an APT campaign, or to cause an adverse situation on the pump or pump systems. One example of a malware infection is that of ransomware, in which malicious software would cause a disruption of the availability of the pump for standard operations, and may affect patient safety by preventing providers from leveraging system functionality (e.g., the ability to associate the pump with a patient and deliver medications), or by preventing the pump from effectively using safety measures such as the drug library.
- Theft or loss of assets: This threat type applies when the pump or pump system components are not accounted for in an inventory, thereby leading to degraded availability of equipment, and a possible breach of PHI.
- Unintentional misuse: This threat considers the possibility that the pump or its components may be unintentionally misconfigured or used for unintended purposes, including errors introduced through the misapplication of updates to operating systems or firmware, misconfiguration of settings that allow the pump to achieve network connectivity or communication to the pump server, misapplication or errors found in the drug library, or errors associated with fluids applied to pumps.

Vulnerable systems or devices directly connected to the device (e.g., via USB, or other hardwired non-network connections): Extending from the unintentional misuse of the device, this threat considers scenarios in which individuals may expose devices or server components using external ports or interfaces for purposes outside the device's intended use, for example, to extract data to portable storage media, or to connect a mobile device to recharge that device's battery. In leveraging ports for unintended purposes, threat actors may enable malicious software to migrate to the pump or server components, or to create adverse conditions based on unexpected connections.

### Appendix B Vulnerabilities

Here's a list of typical vulnerabilities that may arise when using wireless infusion pumps:

- Lack of asset inventory: Deficient or out-of-date inventories represent a cybersecurity control deficiency that may lead to the loss/theft of devices or equipment, with little chance for the hospital to recover or take recourse against losses. Deficient asset inventory controls, when paired with a credible threat, such as the loss or theft of a device or equipment, raises risks associated with a provider's ability to render patient care, and may expose PHI to unauthorized individuals.
- Long useful life: Infusion pumps are designed to perform clinical functions for several years, and they tend to have long-term refresh rates. One vulnerability associated with infrequent refresh is that each device's technological attributes may become obsolete or insufficient to support patching, updating, or the support of cyber security controls that may become available in the future.
- Information/Data Vulnerabilities
  - Lack of encryption on private/sensitive data at rest: Pump devices may have local persistent storage, but they may not have a means to encrypt data stored on the device. Locally stored data may include sensitive configuration information, or patient information, including possible PHI.
  - Lack of encryption on transmitted data: Sensitive data should be safeguarded in transit as well as at rest. Where capabilities exist, pumps and server components should employ encryption on the network or when transmitting sensitive information. An inability to safeguard data in transit using appropriate encryption capabilities may expose sensitive information or allow malicious actors to determine how to connect to a pump or server to perform unauthorized activities.
  - Unauthorized changes to device calibration or configuration data: Modifications made to pump or server components that are not accurately approved, deployed, or tracked may lead to adverse operation of the equipment. Hospitals should ensure that changes to device calibration, configuration, or modification of safeguard measures such as the drug library are performed and managed using appropriate measures.
  - **Insufficient data backup:** Providing backup and recovery capability is a common cybersecurity control to ensure HDOs can restore services in a timely fashion after an adverse event. Hospitals should perform appropriate pump system backup and restore functions.
  - Lack of capability to de-identify private/sensitive data: As a secondary cybersecurity control to data encryption, hospitals may wish to consider the ability to de-identify or obfuscate sensitive information or PHI.

- Lack of data validation: Data used and captured by infusion pumps and associated server components may require data integrity assurance to support proper functioning and patient safety. Mechanisms should be used to provide assurance that data cannot be altered inappropriately.
- Device/Endpoint (Infusion Pump) Vulnerabilities
  - **Debug-enabled interfaces:** Interfaces required to support or troubleshoot infusion pump functions should be identified, with procedures noted to indicate when interfaces are available, and how interfaces may be disabled when not required for troubleshooting or system updates/fixes.
  - Use of removable media: Infusion pumps that include external or removable storage should be identified. Cybersecurity precautions are necessary because the use of removable media may lead to inappropriate information disclosure, and may provide a viable avenue for malicious software to migrate to the pump or server components.
  - Lack of physical tamper detection and response: Infusion pumps may involve physical interaction, including access to interfaces used for debugging. HDOs should enable mechanisms to prevent physical tampering with infusion pump devices, including alerting appropriate personnel whenever a pump or its server components are manipulated or altered.
  - **Misconfiguration:** Mechanisms should be used to ensure that pump configurations are well managed and may not be configured to produce adverse conditions.
  - **Poorly protected and patched devices:** Like the misconfiguration vulnerability, HDOs should implement processes to protect/patch/update pumps and server components. This may involve including controls on the device, or provisions that allow for external controls that would prevent exposure to flaws or weaknesses.
- User or Administrator Accounts Vulnerabilities
  - Hard-coded or factory default passcodes: Processes or mechanisms should be added to
    prevent the use of so-called hard coded or default passcodes. This would overcome a
    common IT systems deficiency in the use of authentication mechanisms for privileged
    access to devices in terms of using weak passwords or passcodes protection. Weak
    authentication mechanisms that are well known or published degrade the effectiveness of
    authentication control measures. HDOs should implement a means to update and manage
    passwords.
  - Lack of role-based access and/or use of principles of least privilege: When access
    management roles and principles of least privilege are poorly designed, they may allow the
    use of a generic identity (e.g., a so-called admin account) that enables greater access
    capability than necessary. Instead, HDOs should implement processes to limit access to
    privileged accounts, infusion pumps and server components, and use accounts or identities

that tie to specific functions, rather than providing/enabling the use of super user, root, or admin privileges.

- **Dormant accounts:** Accounts or identities that are not used may be described as *dormant*. Dormant account information should be disabled or removed from pumps and server components.
- Weak remote access controls: When remote access to a pump and or server components is required, access controls should be appropriately enforced to safeguard each network session and ensure appropriate authentication and authorization.
- IT Network Infrastructure Vulnerabilities
  - Lack of malware protection: Pumps and server components should be protected using processes or mechanisms to prevent malware distribution. When malware *protection* cannot be implemented on end-point devices, malware *detection* should be implemented to protect network traffic.
  - Lack of system hardening: Pumps and server components should incorporate protective measures that limit functionality only to the specific capabilities necessary for infusion pump operations.
  - Insecure network configuration: HDOs should employ a least privilege principle when configuring networks that include pumps and server components, limiting network traffic capabilities, and enforcing limited trust between zones identified in hospital environments.
  - **System complexity:** When implementing network infrastructure controls, hospitals should seek device models and communications paths/patterns that limit complexity where possible.

### **Appendix C** Recommendations and Best Practices

Associated best practices for reducing the overall risk posture of infusion pumps are also included in the following list:

- Consider forming a Medical Device Security Committee composed of staff members from biomedical services, IT, and InfoSec that would report to C-suite governance.
  - Enable this committee to manage the security of all network-connected medical devices. Too often, for example, the biomedical services team is solely responsible for cradle-tograve maintenance of all aspects of medical devices, including cybersecurity, leaving IT and InfoSec staff out-of-the-loop.
  - Develop a committee charter with roles and responsibilities and reporting requirements to the C-suite and Board of Directors.
- Consider the physical security of mobile medical devices including wireless infusion pumps.
  - Designate a secure and lockable space for storing these devices when they are not in use.
  - Ensure that only personnel with a valid need have access to these spaces. Ideally, a proximity system with logging should be used and audited frequently.
- Create a comprehensive inventory of medical devices and actively manage it.
  - Consider the use of Radio-frequency identification (RFID) or Real-time locating systems (RTLS) technologies to assist with inventory processes and help staff locate devices that have been moved without documentation.
- Ensure that any Cybersecurity Incident Response Plan includes medical devices.
  - Recently, the FDA and Industrial Control System Computer Emergency Response Team (ICS-CERT) have both issued cybersecurity vulnerability advisories for medical devices. This was the first major warning to covered entities regarding medical device vulnerabilities. Most covered entities have not incorporated medical device response into their planning.
- Ensure that pumps cannot step down to a Wireless Encryption Protocol (WEP) encrypted network.
  - WEP is a compromised encryption protocol and should NEVER be used in operational wireless networks.
  - Operating any form of IT equipment including medical devices over a WEP network will result in the potential for data compromise and a regulatory breach.
  - Any wireless network should be using, at a minimum, Wi-Fi Protected Access 2 (WPA2). This protocol implements NIST-recommended Advanced Encryption Standard (AES).
- Put in place an Information Security department and functionally separate it from the IT department. This is necessary to ensure operational IT personnel are not responsible for any

information security measures, which may otherwise lead to a fox-guarding-the-hen-house situation.

- Enable a separate InfoSec department to report to the Chief Information Security Officer (CISO) rather than to the Chief Information Officer (CIO.)
- Make this organization part of the Medical Device Security Committee.
- Create an operational information security program. This can take the form of an in-house Security Operations Center (SOC) to monitor information systems and initiate cybersecurity incident response, to include monitoring of potential exploits of medical devices, as necessary. Alternatively, organizations may wish to consider a Managed Security Service Provider (MSSP) to perform these duties.
- Ensure that vendor management includes the evaluation of information security during the due diligence phase of any related procurement processes. Too often, the Information Security team is not brought in until after contracts have been signed.
  - When purchasing medical devices, ensure that devices incorporate the latest cybersecurity controls and capabilities.
  - Understand roles and responsibilities related to upgrades, patching, password management, remote access, etc., to ensure the cybersecurity of products or services.
- Consider media access control (MAC) address filtering to limit exposure of unauthorized devices attempting to access the network. This would identify a bad actor attempting access a medical device from within the network through an exposed wired Ethernet port.
- Develop or update policies and procedures to ensure a holistic approach to deployment, sanitization, and reuse of medical devices; include the Medical Device Security Committee.

## Appendix D References

- [1] FDA, Infusion Pumps Total Product Life Cycle Guidance for Industry and FDA Staff, Document issued on: December 2, 2014. Accessed 6 April 2017: <u>http://www.fda.gov/downloads/medi-caldevices/deviceregulationandguidance/guidancedocuments/ucm209337.pdf</u>
- [2] FDA, Content of Premarket Submissions for Management of Cybersecurity in Medical Devices -Guidance for Industry and Food and Drug Administration Staff, Document Issued on: October 2, 2014. Accessed 6 April 2017: <u>http://www.fda.gov/downloads/medicaldevices/deviceregula-</u> tionandguidance/guidancedocuments/ucm356190.pdf
- [3] FDA, Postmarket Management of Cybersecurity in Medical Devices Guidance for Industry and Food and Drug Administration Staff, Document Issued on: December 28, 2016. Accessed 6 April 2017: <u>https://www.fda.gov/ucm/groups/fdagov-public/@fdagov-meddev-gen/documents/document/ucm482022.pdf</u>
- [4] Department of Homeland Security (DHS), Attack Surface: Healthcare and Public Health Sector. Accessed 6 April 2017: <u>https://info.publicintelligence.net/NCCIC-MedicalDevices.pdf</u>
- [5] Integrating the Healthcare Enterprise (IHE) Patient Care Device (PCD), Technical Framework White Paper. Accessed 6 April 2017: <u>http://www.ihe.net/Technical\_Framework/up-</u> load/IHE\_PCD\_Medical-Equipment-Management\_MEM\_White-Paper\_V1-0\_2009-09-01.pdf
- [6] IHE PCD, White Paper, Medical Equipment Management (MEM): Cyber Security. Accessed 6 April 2017: <u>http://www.ihe.net/Technical\_Framework/upload/IHE\_PCD\_White-Pa-per\_MEM\_Cyber\_Security\_Rev2-0\_2011-05-27.pdf</u>
- [7] FDA, Guidance for Industry Cybersecurity for Networked Medical Devices Containing Off-the-Shelf (OTS) Software. Accessed 6 April 2017: <u>http://www.fda.gov/downloads/Medi-</u> <u>calDevices/DeviceRegulationandGuidance/GuidanceDocuments/ucm077823.pdf</u>
- [8] IHE PCD, White Paper, MEM: Medical Device Cyber Security Best Practice Guide. Accessed 6 April 2017: <u>http://www.ihe.net/uploadedFiles/Documents/PCD/IHE\_PCD\_WP\_Cyber-Security\_Rev1.1\_2015-10-14.pdf</u>
- [9] AAMI TIR57, Principles for medical device security risk management
- [10] NIST Cybersecurity Framework Standards, guidelines, and best practices to promote the protection of critical infrastructure. Accessed 6 April 2017: <u>http://www.nist.gov/itl/cyberframework.cfm</u>
- [11] NIST SP 800-30, Guide for Conducting Risk Assessments. Accessed 6 April 2017: <u>http://nvl-pubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-30r1.pdf</u>
- [12] NIST SP 800-37, Guide for Applying the Risk Management Framework to Federal Information Systems: A Security Life Cycle Approach. Accessed 6 April 2017: <u>http://csrc.nist.gov/publica-tions/nistpubs/800-37-rev1/sp800-37-rev1-final.pdf</u>
- [13] NIST SP 800-39, Managing Information Security Risk Organization, Mission, and Information System View. Accessed 6 April 2017: <u>http://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecial-publication800-39.pdf</u>
- [14] NIST SP 800-53 Rev 4, Security and Privacy Controls for Federal Information Systems and Organization. Accessed 10 April 2017:<u>http://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-53r4.pdf</u>

- [15] IEC Technical Report (TR) 80001-2-1, Edition 1.0 2012-07, Technical Report, Application of risk management for IT-networks incorporating medical devices Part 2-1: Step-by-step risk management of medical IT-networks Practical applications and examples
- [16] IEC TR 80001-2-2, Edition 1.0 2012-07, Technical Report, Application of risk management for IT Networks incorporating medical devices – Part 2-2: Guidance for the disclosure and communication of medical device security needs, risks and controls
- [17] IEC TR 80001-2-3, Edition 1.0 2012-07, Technical Report, Application of risk management for ITnetworks incorporating medical devices – Part 2-3: Guidance for wireless networks
- [18] IEC TR 80001-2-4, Edition 1.0 2012-11, Technical Report, Application of risk management for ITnetworks incorporating medical devices – Part 2-4: Application guidance – General implementation guidance for healthcare delivery organizations
- [19] IEC TR 80001-2-5, Edition 1.0 2014-12, Technical Report, Application of risk management for ITnetworks incorporating medical devices – Part 2-5: Application guidance – Guidance on distributed alarm systems
- [20] National Institute of Standards and Technology (NIST) Special Publication (SP) 800-66, An Introductory Resource Guide for Implementing the Health Insurance Portability and Accountability Act (HIPAA) Security Rule. Accessed 6 April 2017: <u>http://www.nist.gov/customcf/get\_pdf.cfm?pub\_id=890098</u>
- [21] Health Insurance Portability and Accountability Act (HIPAA) Security Rule. Accessed 6 April 2017: <u>http://www.hipaasurvivalguide.com/hipaa-regulations/hipaa-regulations.php</u>
- [22] Department of Health and Human Services (HHS) HIPAA Administrative Simplification Statute and Rules. Accessed 6 April 2017: <u>http://www.hhs.gov/ocr/privacy/hipaa/administrative/index.html</u>
- [23] American National Standards Institute (ANSI)/Association for the Advancement of Medical Instrumentation (AAMI)/International Electrotechnical Commission (IEC) 80001-1:2010, Application of risk management for IT Networks incorporating medical devices – Part 1: Roles, responsibilities and activities
- [24] ISO 14971, 2007 Medical devices Application of risk management to medical devices
- [25] IHE PCD Medical Equipment Management: Medical Device Cybersecurity Best Practice Guide
- [26] NIST SP 800-53 Rev 4, Recommended Security and Privacy Controls for Federal Information Systems and Organizations. Accessed 6 April 2017: <u>http://nvlpubs.nist.gov/nistpubs/SpecialPubli-</u> cations/NIST.SP.800-53r4.pdf
- [27] NIST SP 800-88, Guidelines for Media Sanitization. Accessed 6 April 2017: <u>https://www.nist.gov/publications/nist-special-publication-800-88-revision-1-guidelines-me-</u> <u>dia-sanitization</u>
- [28] NIST SP 800-111, Guide to Storage Encryption Technologies for End User Devices. Accessed 6 April 2017: <u>http://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-111.pdf</u>
- [29] NIST SP 800-32, Introduction to Public Key Technology and the Federal PKI Infrastructure. Accessed 6 April 2017: <u>http://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-32.pdf</u>

- [30] NIST SP 800-57 Part 1 Rev 3, Recommendation for Key Management: Part 1: General (Revision 3). Accessed 6 April 2017: <u>http://csrc.nist.gov/publications/nistpubs/800-57/sp800-57 part1 rev3 general.pdf</u>
- [31] NIST SP 800-57 Part 2, Recommendation for Key Management: Part 2: Best Practices for Key Management Organization. Accessed 6 April 2017: <u>http://nvlpubs.nist.gov/nistpubs/Leg-acy/SP/nistspecialpublication800-57p2.pdf</u>
- [32] NIST SP 800-57 Part 3 Rev 1, Recommendation for Key Management: Part 3: Application-Specific Key Management Guidance. Accessed 6 April 2017: <u>http://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-57Pt3r1.pdf</u>
- [33] NIST SP 800-48 Rev 1, Guide to Securing Legacy IEEE 802.11 Wireless Networks. Accessed 6 April 2017: <u>http://csrc.nist.gov/publications/nistpubs/800-48-rev1/SP800-48r1.pdf</u>
- [34] NIST SP 800-97, Establishing Wireless Robust Security Networks: A Guide to IEEE 802.11i. Accessed 6 April 2017: <u>http://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-97.pdf</u>
- [35] IEEE 802.1x, Port Based Network Access Control. Accessed 6 April 2017: http://www.ieee802.org/1/pages/802.1x.html
- [36] IEEE 802.11, Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications. Accessed 6 April 2017: <u>http://www.ieee802.org/11/</u>
- [37] NIST Federal Information Processing Standards (FIPS) 140-2, Security Requirements for Cryptographic Modules. Accessed 6 April 2017: <u>http://csrc.nist.gov/groups/STM/cmvp/standards.html</u>
- [38] NIST SP 800-52 Rev 1, Guidelines for the Selection, Configuration, and Use of Transport Layer Security (TLS) Implementations. Accessed 6 April 2017: <u>http://nvlpubs.nist.gov/nistpubs/Spe-</u> cialPublications/NIST.SP.800-52r1.pdf
- [39] DHHS Office for Civil Rights, HIPAA Security Rule Crosswalk to NIST Cybersecurity Framework. Accessed 6 April 2017: <u>https://www.hhs.gov/sites/default/files/nist-csf-to-hipaa-security-rule-crosswalk-02-22-2016-final.pdf</u>
- [40] IHE PCD User Handbook 2011 Edition Published 2011-08-12. Accessed 6 April 2017: http://www.ihe.net/Technical\_Framework/upload/IHE\_PCD\_User\_Handbook\_2011\_Edition.pdf
- [41] Cisco Medical-Grade Network (MGN) 2.0-Wireless Architectures (Higgins & Mah, 2012): http://www.cisco.com/c/dam/en\_us/solutions/industries/docs/healthcare/mgn\_wireless\_arch.pdf
- [42] FDA, Radio Frequency Wireless Technology in Medical Devices Guidance for Industry and Food and Drug Administration Staff, Document issued on August 12, 2013. Accessed 6 April 2017: <u>http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/ucm077272.pdf</u>
- [43] NIST SP 800-114, User's Guide to Securing External Devices for Telework and Remote Access. Accessed 6 April 2017: <u>http://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-124r1.pdf</u>
- [44] NIST SP 800-77, Guide to IPsec VPNs. Accessed 6 April 2017: <u>http://csrc.nist.gov/publica-tions/nistpubs/800-77/sp800-77.pdf</u>

- [45] NIST SP 800-41 Rev 1, Guidelines on Firewalls and Firewall Policy. Accessed 6 April 2017: http://csrc.nist.gov/publications/nistpubs/800-41-Rev1/sp800-41-rev1.pdf
- [46] IEEE 802.1x, Port Based Network Access Control. Accessed 6 April 2017: http://www.ieee802.org/1/pages/802.1x.html
- [47] IEEE 802.3, IEEE Standard for Ethernet. Accessed 6 April 2017: <u>http://www.ieee802.org/3/</u>
- [48] IEEE 802.1Q, Bridges and Bridged Networks. Accessed 6 April 2017: http://www.ieee802.org/1/pages/802.1Q.html
- [49] Internet Engineering Task Force (IETF) Request for Comments (RFC) 4301, Security Architecture for the Internet Protocol. Accessed 6 April 2017: <u>https://tools.ietf.org/html/rfc4301</u>
- [50] NIST FIPS 197, Advanced Encryption Standard (AES). Accessed 6 April 2017: http://csrc.nist.gov/publications/fips/fips197/fips-197.pdf
- [51] NIST SP 800-46 Rev 1, Guide to Enterprise Telework and Remote Access Security. Accessed 6 April 2017: <u>http://csrc.nist.gov/publications/nistpubs/800-46-rev1/sp800-46r1.pdf</u>
- [52] NIST SP 800-41 Rev 1, Guidelines on Firewalls and Firewall Policy. Accessed 6 April 2017: http://csrc.nist.gov/publications/nistpubs/800-41-Rev1/sp800-41-rev1.pdf
- [53] NIST SP 800-95, Guide to Secure Web Services. Accessed 6 April 2017: <u>http://csrc.nist.gov/pub-lications/nistpubs/800-95/SP800-95.pdf</u>
- [54] NIST SP 1800-5A, IT Asset Management. Accessed 10 April 2017: https://nccoe.nist.gov/sites/default/files/library/sp1800/fs-itam-nist-sp1800-5-draft.pdf
- [55] <u>http://wc1.smartdraw.com/cmsstorage/exampleimages/44b341d1-a502-465f-854a-</u> <u>4e68b8e4bf75.png</u>
- [56] Manufacturer Disclosure Statement for Medical Device Security (MDS2) http://www.himss.org/resourcelibrary/MDS2

# **NIST SPECIAL PUBLICATION 1800-8C**

# Securing Wireless Infusion Pumps In Healthcare Delivery Organizations

Volume C: How-to Guides

DRAFT

Gavin O'Brien National Cybersecurity Center of Excellence Information Technology Laboratory

Sallie Edwards Kevin Littlefield Neil McNab Sue Wang Kangmin Zheng The MITRE Corporation McLean, VA

May 2017





#### DISCLAIMER

Certain commercial entities, equipment, products, or materials may be identified in this document to describe an experimental procedure or concept adequately. Such identification is not intended to imply recommendation or endorsement by NIST or NCCOE, nor is it intended to imply that the entities, equipment, products, or materials are necessarily the best available for the purpose.

National Institute of Standards and Technology Special Publication 1800-8C Natl. Inst. Stand. Technol. Spec. Publ. 1800-8C, 256 pages, (May 2017), CODEN: NSPUE2

#### **FEEDBACK**

You can improve this guide by contributing feedback. As you review and adopt this solution for your own organization, we ask you and your colleagues to share your experience and advice with us.

Comments on this publication may be submitted to: <u>hit\_nccoe@nist.gov</u>.

Public comment period: May 8, 2017 through July 7, 2017

All comments are subject to release under the Freedom of Information Act (FOIA).

National Cybersecurity Center of Excellence National Institute of Standards and Technology 100 Bureau Drive Mailstop 2002 Gaithersburg, MD 20899 Email: <u>nccoe@nist.gov</u>

#### NATIONAL CYBERSECURITY CENTER OF EXCELLENCE

The National Cybersecurity Center of Excellence (NCCoE), a part of the National Institute of Standards and Technology (NIST), is a collaborative hub where industry organizations, government agencies, and academic institutions work together to address businesses' most pressing cybersecurity issues. This public-private partnership enables the creation of practical cybersecurity solutions for specific industries or broad, cross-sector technology challenges. Working with technology partners—from Fortune 50 market leaders to smaller companies specializing in IT security—the NCCoE applies standards and best practices to develop modular, easily adaptable example cybersecurity solutions using commercially available technology. The NCCoE documents these example solutions in the NIST Special Publication 1800 series, which maps capabilities to the NIST Cyber Security Framework and details the steps needed for another entity to recreate the example solution. The NCCoE was established in 2012 by NIST in partnership with the State of Maryland and Montgomery County, Md.

To learn more about the NCCoE, visit <u>https://nccoe.nist.gov</u>. To learn more about NIST, visit <u>https://nist.gov</u>.

#### NIST CYBERSECURITY PRACTICE GUIDES

NIST Cybersecurity Practice Guides (Special Publication Series 1800) target specific cybersecurity challenges in the public and private sectors. They are practical, user-friendly guides that facilitate the adoption of standards-based approaches to cybersecurity. They show members of the information security community how to implement example solutions that help them align more easily with relevant standards and best practices and provide users with the materials lists, configuration files, and other information they need to implement a similar approach.

The documents in this series describe example implementations of cybersecurity practices that businesses and other organizations may voluntarily adopt. These documents do not describe regulations or mandatory practices, nor do they carry statutory authority.

#### **ABSTRACT**

Medical devices, such as infusion pumps, were once standalone instruments that interacted only with the patient or medical provider. But today's medical devices connect to a variety of health care systems, networks, and other tools within a healthcare delivery organization (HDO). Connecting devices to point-of-care medication systems and electronic health records can improve healthcare delivery processes, however, increasing connectivity capabilities also creates cybersecurity risks. Potential threats include unauthorized access to patient health information, changes to prescribed drug doses, and interference with a pump's function.

The NCCoE at NIST analyzed risk factors in and around the infusion pump ecosystem using a questionnaire-based risk assessment to develop an example implementation that demonstrates how HDOs can use standards-based, commercially available cybersecurity technologies to better protect the infusion pump ecosystem, including patient information and drug library dosing limits.

This practice guide will help HDOs implement current cybersecurity standards and best practices to reduce their cybersecurity risk, while maintaining the performance and usability of wireless infusion pumps.

#### **KEYWORDS**

authentication; authorization; digital certificates; encryption; infusion pumps; Internet of Things; IoT; medical devices; network zoning; pump servers; questionnaire-based risk assessment; segmentation; VPN; Wi-Fi; wireless medical devices

#### ACKNOWLEDGMENTS

We are grateful to the following individuals for their generous contributions of expertise and time.

Name	Organization
Arnab Ray	Baxter Healthcare Corporation
Pavel Slavin	Baxter Healthcare Corporation
Phillip Fisk	Baxter Healthcare Corporation
Raymond Kan	Baxter Healthcare Corporation
Tom Kowalczyk	B. Braun Medical Inc.
David Suarez	Becton, Dickinson and Company (BD)
Robert Canfield	Becton, Dickinson and Company (BD)
Rob Suarez	Becton, Dickinson and Company (BD)
Robert Skelton	Becton, Dickinson and Company (BD)
Peter Romness	Cisco
Kevin McFadden	Cisco
Rich Curtiss	Clearwater Compliance
Darin Andrew	DigiCert
Kris Singh	DigiCert
Mike Nelson	DigiCert
Chaitanya Srinivasamurthy	Hospira Inc., a Pfizer Company (ICU Medical)

Name	Organization
Joseph Sener	Hospira Inc., a Pfizer Company (ICU Medical)
Chris Edwards	Intercede
Won Jun	Intercede
Dale Nordenberg	MDISS
Jay Stevens	MDISS
Carlos Aguayo Gonzalez	PFP Cybersecurity
Thurston Brooks	PFP Cybersecurity
Colin Bowers	Ramparts
Bill Hagestad	Smiths Medical
Axel Wirth	Symantec Corporation
Bryan Jacobs	Symantec Corporation
Bill Johnson	TDi Technologies, Inc.
Barbara De Pompa Reimers	The MITRE Corporation
Sarah Kinling	The MITRE Corporation
Marilyn Kupetz	The MITRE Corporation
David Weitzel	The MITRE Corporation
Mary Yang	The MITRE Corporation

The technology vendors who participated in this build submitted their capabilities in response to a notice in the Federal Register. Companies with relevant products were invited to sign a Cooperative Research and Development Agreement (CRADA) with NIST, allowing them to participate in a consortium to build this example solution. We worked with:

Technology Partner/Collaborator	Build Involvement
Baxter Healthcare Corporation	<ul> <li>Sigma Spectrum LVP, version 8</li> <li>Sigma Spectrum Wireless Battery Module, version 8</li> <li>Sigma Spectrum Master Drug Library, version 8</li> <li>CareEverywhere Gateway Server, version 14</li> </ul>
<u>B. Braun Medical Inc.</u>	<ul> <li>Infusomat<sup>®</sup> Space Infusion System/ Large Volume Pumps</li> <li>DoseTrac<sup>®</sup> Infusion Management Software/ Infusion Pump Software</li> </ul>
Becton, Dickinson and Company (BD)	<ul> <li>Alaris<sup>®</sup> 8015 PC Unit v9.19.2</li> <li>Alaris<sup>®</sup> Syringe Module 8110</li> <li>Alaris<sup>®</sup> LVP Module 8100</li> <li>Alaris<sup>®</sup> Systems Manager v4.2</li> <li>Alaris<sup>®</sup> System Maintenance (ASM) v 10.19</li> </ul>
<u>Cisco</u>	<ul> <li>Access Point (AIR-CAP1602I-A-K9)</li> <li>Wireless LAN Controller 8.2.111.0</li> <li>Cisco ISE</li> <li>Cisco: ASA</li> <li>Catalyst 3650 Switch</li> </ul>
Clearwater Compliance	Clearwater: IRM   Pro
<u>DigiCert</u>	CertCentral management account / Certificate Authority
Hospira Inc., a Pfizer Company (ICU Medical)	<ul> <li>Plum 360<sup>™</sup> Infusion System, version 15.10</li> <li>LifeCare PCA<sup>™</sup> Infusion System, version 7.02</li> <li>Hospira MedNet<sup>™</sup>, version 6.2</li> </ul>
Intercede	MyID
MDISS	MDRAP

Technology Partner/Collaborator	Build Involvement
PFP Cybersecurity	Device Monitor
Ramparts	Risk Assessment
<u>Smiths Medical</u>	<ul> <li>Medfusion<sup>®</sup> 3500 V5 syringe infusion system</li> <li>PharmGuard<sup>®</sup> Toolbox v1.5</li> <li>Medfusion 4000<sup>®</sup> Wireless Syringe Infusion Pump</li> <li>CD, PHARMGUARD<sup>®</sup> TOOLBOX 2, V3.0 use with Medfusion<sup>®</sup> 4000 and 3500 V6 (US)</li> <li>PharmGuard<sup>®</sup> Server Licenses, PharmGuard<sup>®</sup> Server Enterprise Edition, V1.1</li> <li>CADD<sup>®</sup>-Solis Ambulatory Infusion Pump</li> <li>CADD<sup>™</sup>-Solis Medication Safety Software</li> </ul>
Symantec Corporation	<ul> <li>Endpoint Protection (SEP)</li> <li>Advanced Threat Protection: Network (ATP:N)</li> <li>Server Advanced - DataCenter Security (DCS:SA):</li> </ul>
<u>TDi Technologies, Inc.</u>	ConsoleWorks

# Contents

1	Intr	oduct	ion1
	1.1	Practio	ce Guide Structure1
	1.2	Турод	raphical Conventions
	1.3	How-t	o Overview
	1.4	Logica	I Architecture Summary
2	Pro	duct l	nstallation Guides3
	2.1	The Co	ore Network
		2.1.1	Cisco ASA Baseline Configuration4
		2.1.2	External Firewall and Guest Network4
		2.1.3	Enterprise Services5
		2.1.4	Biomedical Engineering Network5
		2.1.5	Medical Devices5
		2.1.6	Cisco Catalyst Switch Configuration6
		2.1.7	Cisco Enterprise Wi-Fi Infrastructure6
		2.1.8	TDi ConsoleWorks External Remote Access12
	2.2	Infusio	on Pump and Pump Server
		2.2.1	Infusion Pumps21
		2.2.2	Infusion Pumps Server Systems25
	2.3	Identi	ty Services
		2.3.1	Cisco Identity Service Engine (ISE)26
		2.3.2	DigiCert Certificate Authority
	2.4	Symar	ntec Endpoint Protection and Intrusion Detection
		2.4.1	Symantec Data Center Security: Server Advanced
		2.4.2	Symantec Endpoint Protection Manager40
		2.4.3	Symantec Advanced Threat Protection: Advanced Threat Protection: Network41
	2.5	Risk A	ssessment Tools
		2.5.1	Clearwater IRM Analysis™ Software43
		2.5.2	MDISS MDRAP52

Appendix A	Baseline Configuration File	. 61
Appendix B	Sample Pump Configuration Parameters	239
Appendix C	References	246

# **List of Figures**

Figure 1-1: Logical Architecture Summary
Figure 2-1: Importing Server Certificate
Figure 2-2: Data Center Security: Server Advanced Environment
Figure 2-3: IRM Analysis™ Login Page
Figure 2-4: Asset List
Figure 2-5: New Asset
Figure 2-6: Media/Asset Groups
Figure 2-7: Edit Media/Asset Group
Figure 2-8: Controls - Global/Media
Figure 2-9: Risk Questionnaire List
Figure 2-10: Risk Questionnaire Form (part 1)
Figure 2-11: Risk Questionnaire Form (part 2)
Figure 2-12: Risk Response List - Risk Registry
Figure 2-13: Risk Treat and Evaluate Form
Figure 2-14: Dashboard Example
Figure 2-15: Report Example
Figure 2-16: MDRAP Login Page
Figure 2-17: MDRAP Welcome page
Figure 2-18: Device Inventory List
Figure 2-19: Add Device
Figure 2-20: Edit Device
Figure 2-21: Inventory Bulk Import
Figure 2-22: Device inventory Template Sample
Figure 2-23: Create Assessment (part 1)58
Figure 2-24: Create Assessment (part 2)58
Figure 2-25: Assessment Step (example 1)59
Figure 2-26: Assessment Step (example 2)

Figure 2-27: Assessment Result (dashboard example)	60
Figure 2-28: Assessment Result (report example)	60

### **List of Tables**

Table 2-1: Infusion Pump List	21
Table 2-2: Summary of Infusion Pump Configuration Methods	23
Table 2-3: Pump Servers used in this Example Implementation	25
## 1 **1 Introduction**

- 2 The following guidelines show IT professionals and security engineers how the NCCoE implemented this
- 3 example solution. We discuss every product that we employed in this reference design. We do not,
- 4 however, recreate the product manufacturers' documentation, which is widely available. Rather, these
- 5 guidelines show how we integrated the products in our environment on your behalf.
- 6 Note: These guidelines are not comprehensive tutorials. Many possible service and security
- 7 configurations for these products exist but are out of scope for this reference design.

## 8 1.1 Practice Guide Structure

- 9 This NIST Cybersecurity Practice Guide demonstrates a standards-based reference design and gives
- 10 users the information they need to replicate all or parts of the example implementation that we built in
- 11 our lab. This reference design is modular and can be deployed in whole or in part.
- 12 This guide contains three volumes:
- 13 NIST SP 1800-8A: Executive Summary
- 14 NIST SP 1800-8B: Approach, Architecture, and Security Characteristics what we built and why
- 15 NIST SP 1800-8C: How-To Guides instructions for building the example solution (you are here)
- 16 Depending on your role in your organization, you might use this guide in different ways:
- Business decision makers, including chief security and technology officers will be interested in the
   *Executive Summary (NIST SP 1800-8A)*, which describes the:
- 19 challenges enterprises face in securing the wireless infusion pump ecosystem
- 20 example solution built at the NCCoE
- 21 benefits of adopting the example solution
- 22 Technology or security program managers who are concerned with how to identify, understand, assess,
- and mitigate risk will be interested in *NIST SP 1800-8B*, which describes what we did and why. The
   following sections will be of particular interest:
- 25 Section 4, Risk Assessment and Mitigation, describes the risk analysis we performed
- Section 4.3, Security Characteristics and Control Mapping, maps the security characteristics of
   this example solution to cybersecurity standards and best practices
- 28 You might share the *Executive Summary, NIST SP 1800-8A*, with your leadership team members to help
- 29 them understand the importance of adopting standards-based, commercially available technologies that
- 30 can help secure the wireless infusion pump ecosystem.
- 31 **IT professionals** who want to implement an approach like this will find the entire practice guide useful.
- 32 You can use the How-To portion of the guide, *NIST SP 1800-8C*, to replicate all or parts of the build
- 33 created in our lab. The How-To guide provides specific product installation, configuration, and
- 34 integration instructions for implementing the example solution. We do not recreate the product
- 35 manufacturers' documentation, which is generally widely available. Rather, we show how we
- 36 incorporated the products in our environment to create an example solution.

- 37 This guide assumes that IT professionals have experience implementing security products within their
- 38 enterprise. Although we have used a suite of commercial products to address this challenge, this guide
- does not endorse these products. Your organization can adopt this solution or one that adheres to these
- 40 guidelines in part or in whole. Your organization's security experts should identify the products that will
- 41 best integrate with your existing tools and IT system infrastructure. We hope you will seek products that
- are congruent with applicable standards and best practices. Vol B. section 4.4, Technologies, lists the
   products we used and maps them to the cybersecurity controls provided by this reference solution.
- <sup>43</sup> products we used and maps them to the cybersecurity controls provided by this reference solutio
- 44 A NIST Cybersecurity Practice Guide does not describe *the* solution, but rather a *possible* solution. This is
- a draft guide. We seek feedback on its contents and welcome your input. Comments, suggestions, and
- 46 success stories will improve subsequent versions of this guide. Please contribute your thoughts to
- 47 <u>hit\_nccoe@nist.gov</u>.

## 48 **1.2 Typographical Conventions**

Typeface/Symbol	Meaning	Example
Italics	filenames and pathnames references to documents that are not hyperlinks, new terms, and placeholders	For detailed definitions of terms, see the <i>NCCoE Glossary.</i>
Bold	names of menus, options, command buttons and fields	Choose <b>File &gt; Edit</b> .
Monospace	command-line input, on-screen computer output, sample code examples, status codes	mkdir
Monospace Bold	command-line user input contrasted with computer output	service sshd start
blue text	link to other parts of the document, a web URL, or an email address	All publications from NIST's National Cybersecurity Center of Excellence are available at <u>https://nccoe.nist.gov</u> .

49 The following table presents typographic conventions used in this volume.

## 50 **1.3 How-to Overview**

Refer to NIST SP 1800-8B: *Approach, Architecture, and Security Characteristics* for an explanation of why
 we used each technology.

## 53 1.4 Logical Architecture Summary

- 54 Below depicts a reference network architecture that performs groupings that would translate to
- 55 network segments or zones. The rationale behind segmentation and zoning is to limit trust between

- 56 areas of the network. In considering a hospital infrastructure, NCCoE identified devices and usage, and
- 57 grouped them by usage. The grouping facilitated the identification of network zones. Once zones are
- 58 defined, infrastructure components may be configured such that those zones do not inherently have
- 59 network access to other zones within the hospital network infrastructure. Segmenting the network in
- 60 this fashion limits the overall attack surface posed to the infusion pump environment, and considers the
- 61 network infrastructure configuration as part of an overall defense in depth strategy. <u>Figure 1-1</u> is
- 62 included from the architecture for your reference.
- 63 Figure 1-1: Logical Architecture Summary



# 65 2 Product Installation Guides

This section of the practice guide contains detailed instructions for installing and configuring theproducts that NCCoE used to build an instance of the example solution.

## 68 2.1 The Core Network

- 69 The NCCoE's example architecture implements a core network zone which is used to establish the
- 70 backbone network infrastructure. The external firewall/router also has an interface connected to the
- 71 core enterprise network, just like other firewall/router devices in the other zones. This zone serves as
- the backbone of the enterprise network and consists only of routers connected by switches. The routers
- 73 automatically share internal route information with each other via authenticated Open Shortest Path
- 74 First (OSPF) [1] to mitigate configuration errors as zones are added or removed.

- 75 Several functional segments may be part of this core network:
- 76 guest network
- 77 business office (example only)
- 78 database server (example only)
- 79 enterprise services
- 80 clinical services (example only)
- 81 biomedical engineering
- 82 medical devices with wireless LAN
- 83 remote access for external vendor support

84 The NCCoE build uses Cisco Adaptive Security Appliances (ASA) as virtual router and firewall devices

85 within the network. Each defined zone in the hospital network we built has its own ASA, with two

86 interfaces to protect the zone. As we considered how many ASAs to use, we opted for a tradeoff

87 between the complexity of the configuration and the number of interfaces on a single ASA.

## 88 2.1.1 Cisco ASA Baseline Configuration

89 In our environment, all ASAs are virtualized and are based on Cisco's Adaptive Security Virtual Appliance

90 (ASAv) product. In your environment, the responsible person would complete installation by following

91 Cisco's Adaptive Security Virtual Appliance (ASAv) Quick Start Guide, 9.6 [2].

92 We imported the virtual appliance called *asav-vi.ovf*, assigning the first interface to the management

93 network, the second to the wide area network (WAN), and the third to the local area network (LAN). For

94 an unknown reason, the 'show version' command did not work in the console; as a workaround, we

95 configured secure shell (SSH) [3] access and ran the command via SSH instead.

- 96 Then we configured the ASA with a baseline configuration template that allows all outbound traffic, but
- 97 only related traffic inbound as allowed by the stateful firewall. Internet Control Message Protocol
- 98 (ICMP) [4] enables troubleshooting with ping and traceroute tools. Authenticated OSPF automates
- 99 routing tables as we added or removed ASAs in the network. In your production environment, you may
- 100 wish to make different decisions in your baseline configuration. All ASAs have an additional
- 101 management interface on 192.168.29.0/24. We opted to configure Simple Network Management
- 102 Protocol (SNMP) [5] and SSH for management use on this interface, but not on the other interfaces. See
- 103 Section <u>A.1</u> for the ASA configuration for this zone.

## 104 2.1.2 External Firewall and Guest Network

105 We configured the build network to use network address translation (NAT) at the external firewall. This

is the only point in the network where NAT is used. The upstream provider uses 10.0.0.0/8 addresses on

the WAN interface. We also defined a LAN interface on 192.168.100.0/24 as the core network where

- 108 other ASAs connect. Another interface is defined as *GUEST* on 192.168.170.0/24. We assigned the
- 109 GUEST and LAN interfaces equal security levels higher than those for the WAN interface. When ASAs
- interfaces are configured with equal security levels, by default they cannot communicate with each
   other, but they will both have WAN access. Dynamic Host Configuration Protocol (DHCP) [6] is enabled
- 112 on the GUEST interface for addressing.
- 113 See Section <u>A.2</u> for the ASA configuration for this zone.

## 114 2.1.3 Enterprise Services

- 115 We defined a LAN interface on 192.168.120.0/24 as the LAN for all enterprise services. Ports are open
- 116 for domain name system (DNS) from the Biomedical Engineering network to the DNS servers. Port 8114
- is open for all hosts to the Symantec Endpoint Protection server. Several ports are open for any host tothe Symantec Data Center Security server.
- 119 See Section <u>A.3</u> for the ASA configuration for this zone.

## 120 2.1.4 Biomedical Engineering Network

- 121 This zone contains a dedicated wireless network to support the wireless infusion pumps. We defined a
- LAN interface on 192.168.140.0/24 for all biomedical equipment, including infusion pump servers. Each manufacturer has a custom set of ports opened to their server. These ports are only accessible from the
- 124 medical device network.
- 125 Generally, the firewall is configured in this way:
- 126 All pump servers -> internet/intranet (all destinations)
- 127 All intranet -> all pump servers Ping and Traceroute (primarily for debugging)
- 128 All pumps -> *Smiths Medical Pump Server* on port 1588
- 129 All pumps -> *Carefusion Pump Server* on port 3613
- 130 All pumps -> *Baxter Pump Server* on port 51244
- 131 All pumps -> *Hospira Pump server* on ports 443, 8443, 8100,9292,11443, 11444
- 132 All pumps -> *B. Braun Pump server* on ports 443, 80, 8080, 1500, 4080
- 133 See Section <u>A.4</u> for the ASA configuration for this zone.

## 134 2.1.5 Medical Devices

- 135 We defined a LAN interface on 192.168.150.0/24 as the LAN for all medical devices. The infusion pump
- 136 systems are designed such that all external connections to the pumps, such as an EHR system or vendor
- maintenance, is completed with the associated pump server on the Biomedical Engineering network.
- 138 This enables us to disallow all outbound traffic not destined for the Biomedical Engineering network. In
- addition, because some pump servers initiate connections to open ports on the pumps, we added
   vendor-specific rules to allow this. A DNS server is not useful in this case, but, if you needed one, we
- recommend that the ASA act as a forwarder. The DHCP server on the ASA is enabled for LAN addressing.
- 142 In our lab, we discovered that at least one brand of infusion pump would not recognize network setup
- 143 as complete unless at least one DNS server address was set. In this case, the DNS server address only
- 144 needed to be included in the configuration; a DNS server did not actually need to be present at that
- 145 address.
- 146 Generally, the firewall is configured in this way:
- 147 All pumps -> all pumps servers
- 148 All intranet -> all pumps Ping and Traceroute (primarily for debugging)

- 149 *Hospira Pump Server ->* All pumps ports 8100, 9292, 443, 8443
- 150 Baxter Pump Server-> All pumps port 51243
- 151 *B. Braun Pump Server ->* All pumps ports 80, 443, 8080, 1500
- 152 See Section <u>A.5</u> for the ASA configuration for this zone.

## 153 2.1.6 Cisco Catalyst Switch Configuration

- 154 The Catalyst 3650 switch is configured with four virtual LANs (VLANs) [7]. One port is assigned to a
- 155 management VLAN, with subnet 192.168.20.0/24. Wireless access points are connected to a Wi-Fi
- 156 management VLAN, which also is trunked back to the virtual WLAN controller software. Additionally, the
- 157 Biomedical and Device networks have some physical ports configured for testing, both of which are also
- trunked back to the virtualization hardware and ASAs. DHCP is enabled for the wireless access points.
- 159 SNMP and SSH are enabled for management. The switch also supports Power over Ethernet (PoE),
- allowing for a single Ethernet cable, with both data and power for the APs.
- 161 To set up your organization's configuration, follow the instructions in Cisco's Catalyst 3650 Switch
- 162 *Getting Started Guide:*
- 163 <u>http://www.cisco.com/c/en/us/td/docs/switches/lan/catalyst3650/hardware/quick/guide/cat3650\_gsg.</u>
   164 html.
- 165 See Section <u>A.6</u> for the switch configuration.

## 166 2.1.7 Cisco Enterprise Wi-Fi Infrastructure

- 167 The Wi-Fi management network is different in that it does not have a firewall/router that connects
- 168 directly to the core network. A completely closed network, this is used for management and
- 169 communication between the Cisco Aironet wireless access points (AP) and the Cisco Wireless LAN
- 170 Controller (WLC). The WLC is the central point where wireless service set identifiers (SSID), virtual LANs
- 171 (VLAN), and Wi-Fi-protected access version 2 (WPA2) [8] security settings are managed for the entire
- 172 enterprise. We defined two SSIDS: *IP\_Dev* and *IP\_Dev\_Cert*. IP\_Dev uses *WPA2-PSK* and *IP\_Dev\_Cert*
- 173 uses *WPA2-Enterprise* protocols.

## 174 *2.1.7.1* Installation

- 175 In our environment, the Cisco WLC is virtualized. In your environment, the responsible person would
- 176 complete installation by following *Cisco's Virtual Wireless LAN Controller Deployment Guide 8.2:*
- 177 <u>http://www.cisco.com/c/en/us/td/docs/wireless/technology/mesh/8-</u>
- 178 <u>2/b Virtual Wireless LAN Controller Deployment Guide 8-2.html.</u>
- 179 We imported the virtual appliance called *AIR\_CTVM\_K9\_8\_2\_111\_0.ova*, assigning the first interface to
- 180 the management network, referred to as *service-port* in the web interface. The second interface is used
- as a trunk port, with VLAN tags for all user and Wi-Fi management traffic. In the web interface, the built-
- in *management* interface refers to the wireless system control traffic network that the APs are
- 183 connected to.
- 184 The primary management mechanism for the WLC is the web interface. To configure an IP address for
- the web interface, we first needed to use the console and complete the setup wizard that sets the
- 186 *service-port* address. What follows is our process, which your organization can adapt to your needs.

## 187 2.1.7.2 Controller Configuration

188 Configure Network Interfaces:

### 189 **1**. Configure the interface for AP management traffic at **Controller** -> **Interfaces** -> **Management**.

Interface Name	mana	igement		
MAC Address	00:50	):56:ac:6d:08		
Configuration				
Quarantine				
Quarantine Vlan Id	0			
NAT Address				
Enable NAT Address				
Interface Address				
VLAN Identifier		1520		
IP Address		192.168.250.2		
Netmask		255.255.255.0		
Gateway		192.168.250.1		
IPv6 Address		::		
Prefix Length		128		
IPv6 Gateway		::		
Link Local IPv6 Address		fe80::250:56ff:feac:6	5d08/64	
Physical Information				
Port Number		1		
Enable Dynamic AP Mana	gement	✓		
DHCP Information				
Primary DHCP Server		192.168.250.1		
Secondary DHCP Server		0.0.0		
DHCP Proxy Mode		Global 🗸		

- Configure interfaces for user Wi-Fi traffic, by first mapping the interface to an Ethernet port and
   setting the VLAN and IP address, and then mapping to wireless SSIDs.
- 193 Create the new interface at **Controller -> Interfaces -> New.**

## Interfaces > New

Interface Name	ip_dev
VLAN Id	1500

194

- 195 Configure the new interface by using the form below. Refer to the completed interface for the values
- that we used in the lab.

General Information	
Interface Name	ip_dev
MAC Address	00:50:56:ac:6d:08
Configuration	
Quarantine	
Quarantine Vlan Id	0
NAS-ID	none
Physical Information	
Port Number	1
Enable Dynamic AP Management	
Interface Address	
VLAN Identifier	1500
ID Address	192 168 150 2

IP Address	192.168.150.2
Netmask	255.255.255.0
Gateway	192.168.150.1

### 198 Our completed Interfaces list looks like the following:

#### Interfaces

Interface Name	VLAN Identifier	IP Address	Interface Type	Dynamic AP Management
<u>ip_dev</u>	1500	192.168.150.2	Dynamic	Disabled
ip dev biomedical	1400	192.168.140.2	Dynamic	Disabled
management	1520	192.168.250.2	Static	Enabled
service-port	N/A	192.168.29.146	Static	Disabled
virtual	N/A	1.1.1.1	Static	Not Supported

199

201

#### 200 Configure NTP [9] at **Controller -> NTP -> Server -> New**:

## NTP Servers > New

Server Index (Priority)	2 ¥
Server IP Address(Ipv4/Ipv6)	192.168.250.1
Enable NTP Authentication	

## 202 To configure the DHCP server, disable the DHCP Proxy at **Controller -> Advanced -> DHCP**.

## **DHCP Parameters**

203 Enable DHCP Proxy

## 204 2.1.7.3 Wireless AP Connection and Setup

Connect the APs to the Ethernet ports configured for untagged VLAN 1520. They will obtain their
 addresses and the WLC address automatically via DHCP from the switch (see Cisco Catalyst Switch
 Configuration in Section 2.1.6). No other VLANs should to be configured for the APs because we are
 using a centralized switching model where Wi-Fi traffic VLANs are connected to the Enterprise network
 through the WLC.

- As each AP is connected, it should show up in the *Wireless* tab on the WLC. For each AP, the *AP Mode* needs to be set to *FlexConnect* (see below).
- AP Mode FlexConnect V
- 213 2.1.7.4 Authentication Configuration
- To use certificate-based authentication, the WLC must consult a RADIUS server. Configure Cisco ISE
- 215 RADIUS server IP Address and Shared Secret at Security -> RADIUS -> Authentication -> New.

### **RADIUS Authentication Servers > New**

Server Index (Priority)	3 🗸
Server IP Address(Ipv4/Ipv6)	192.168.29.159
Shared Secret Format	ASCII ¥
Shared Secret	••••
Confirm Shared Secret	••••

216

## 217 2.1.7.5 WLANs Configuration

- At this point, we configured two SSIDs for medical devices: *IP\_Dev* is configured for WPA2 (AES [10])
- 219 PSK, and IP\_Dev\_Cert is configured for WPA2 (AES) Enterprise. They both use the same interface and
- 220 therefore connect to the same network VLAN; the only difference is the Wi-Fi security.
- 221 To create a new SSID, follow these steps:
- 1. Use the WLAN tab.



- 223
- 224 2. Enter your new SSID information.

WLANs > New	
Туре	WLAN ¥
Profile Name	IP_Dev
SSID	IP_Dev
ID	4 🗸

In WLANs > WLANs -> WLANs, select the WLAN ID number of the newly created SSID. Set *Status* to
 *Enabled* and Interface/Interface Group(G) to *ip\_dev*.

Ge	eneral	Security	QoS	Policy-Mapping	Advanced			
	Profile Na	ame	IP_Dev					
	Туре		WLAN					
	SSID		IP_Dev					
	Status		🗹 Enab	oled				
	Security	Policies	[WPA2] (Modifica	][Auth(PSK)] itions done under sec	urity tab will ap	pear after a	applying the ch	anges.)
	Radio Po	licy	All	~				
	Interface Group(G)	/Interface )	ip_dev	~				
	Multicast	Vlan Feature	Enabl	led				
	Broadcas	t SSID	🗹 Enab	led				
	NAS-ID		none					

WLANs > Edit 'IP\_Dev'

228

4. On the Security tab under Authentication Key Management, uncheck 802.1X, check PSK, and set
 the PSK field.

	General	Security	QoS	Policy-Mapping	Advanced
	Layer 2	Layer 3		ervers	
	Layer 2	Security 🧐 🗌	WPA+WPA	2 v	
	Fast Tran Fast Transit Protected	sition tion Managemer	nt Frame		
	PMF WPA+WP/	A2 Paramete	Disa rs	bled v	
	WPA Po WPA2 F	olicy Policy			
231	WPA2 E OSEN F	Encryption Policy	✓AE	S TKIP	
	Authentica	ation Key	Managei	ment <u>19</u>	
	802.1X		🗌 Enabl	e	
	CCKM		🗌 Enabl	e	
	PSK		🗹 Enabl	e	
	FT 802.1	IX 🗌	Enable		
	FT PSK		Enable		
	PSK For	mat	[	ASCII V	
232	WPA gtk State <u>14</u>	-randomize	• [	Disable 🗸	
-					

NIST SP 1800-8C: Securing Wireless Infusion Pumps

5. For the SSID *IP\_Dev\_Cert*, repeat the steps above, but do not change the Security Settings for Authentication Key Management; leave 802.1X checked, and leave PSK unchecked.

11

6. On the **Security, AAA Servers** tab, select the *RADIUS* server to authenticate with.

233

234

235

WLANs > Edit 'IP Dev (	Cert'
------------------------	-------



#### 2.1.7.6 Monitoring 237

By using Monitor -> Clients, you will find the list of currently connected clients, which SSID they are 238 239 connected to, and the User Name used to authenticate (Common Name from Certificate).

Client MAC Addr	IP Address(Ipv4/Ipv6)	WLAN Profile	WLAN SSID	User Name
00:17:23:e1:8e:32	192.168.250.116	IP_Dev_Cert	IP_Dev_Cert	BBraun
00:17:23:f3:9f:db	192.168.250.123	IP_Dev	IP_Dev	Unknown
00:17:23:f4:f5:4e	192.168.250.118	IP_Dev_Cert	IP_Dev_Cert	Carefusion
00:18:e7:8f:cd:1f	192.168.250.126	IP_Dev	IP_Dev	Unknown
00:40:9d:96:04:0c	192.168.250.125	IP_Dev	IP_Dev	Unknown
00:40:9d:96:06:06	192.168.250.124	IP_Dev	IP_Dev	Unknown
00:80:92:68:62:26	192.168.250.117	IP_Dev_Cert	IP_Dev_Cert	Hospira
28:ed:6a:f2:4e:37	192.168.250.122	IP_Dev_Cert	IP_Dev_Cert	Baxter

240

#### 2.1.7.7 Final Configuration 241

- See Section A.7 for the WLC configuration, accessing details about additional configuration options at 242
- 243 Cisco Wireless Controller Configuration Guide, Release 8.0,
- http://www.cisco.com/c/en/us/td/docs/wireless/controller/8-0/configuration-guide/b cg80.html. 244

#### TDi ConsoleWorks External Remote Access 2.1.8 245

- 246 The NCCoE lab implemented a VendorNet using TDi ConsoleWorks, which is a browser interface that
- 247 enables healthcare organizations to manage, monitor, and record activities from external vendors in the IT infrastructure.
- 248
- 249 System Environment:
- 250 The NCCoE lab set up a fully updated (as of 4/20/2016) CentOS 7 Operating System, with the following 251 hardware specifications:
- 252 8GB RAM
- 253 40 GB HDD
- **1** Network Interface 254

#### DRAFT

- 255 Other requirements: 256 ConsoleWorks install media (we built from a CD) 257 ConsoleWorksSSL-<version>.rpm 258 ConsoleWorks gui gateway-<version>.rpm 259 ConsoleWorks license keys (TDI\_Licenses.tar.gz) 260 Software installation command 261 yum install uuid libbpng12 libvncserver 262 Installation: 263 As Root: 1. Place ConsoleWorks Media into the system 264 265 mount /dev/sr0 /mnt/cdrom 3. mkdir /tmp/consoleworks 266 267 4. cp /mnt/cdrom/consolew.rpm /tmp/consoleworks/consolew.rpm 5. rpm -ivh /tmp/consoleworks/ConsoleWorksSSL-<version>.rpm 268 6. mkdir /tmp/consoleworkskeys/ 269 270 7. Copy ConsoleWorks keys to /tmp/consoleworkskeys/ 271 8. cd /tmp/consoleworkskeys/ 272 9. tar xzf TDI\_Licenses.tar.gz 273 10. cp /tmp/consoleworkskeys\* /etc/TDI licenses/ 274 11. /opt/ConsoleWorks/bin/cw add invo 275 12. Accept the License Terms. 276 13. Press Enter to continue. 277 14. Name the instance of ConsoleWorks. 15. Press Enter to accept default port (5176). 278 279 16. Press N to deny SYSLOG listening. 280 17. Press Enter to accept parameters entered. 281 18. Press Enter to return to /opt/ConsoleWorks/bin/cw\_add\_invo. 282 19. rpm -ivh /tmp/consoleworks/ConsoleWorks\_gui\_gateway-version>.rpm 20. /opt/gui gateway/install local.sh 283 21. /opt/ConsoleWorks/bin/cw start <invocation name created early> 284
- 285 22. service gui gatewayd start

- 286 Usage:
- 287 1. Open a browser and navigate to *https://<ConsoleWorksIP>:5176*.
- 288 2. Log in with Username: *console\_manager*, Password: *Setup*.
- 289 3. Change the default password.
- 290 4. Choose Register Now.

NCCoE chose ConsoleWorks to segregate and limit vendor access to our labs. Our data model groups consoles and graphical connections together into a tag. The *tag* is a collection of equipment that you need to connect to, although a vendor typically owns the equipment. This tag allows us to operate on a group of *consoles* and *graphical connections*. We group users from the same vendor into a *profile* that allows us to operate on the users. An Access Control Rule associates a profile with a tag and defines permissions for a particular component type (typically consoles or graphical connections).

- 297 Initial Configuration of Graphical Gateway
- 298 Use the menu in the sidebar to access all instructions below.
- 299 Configure Graphical Gateway (only required for graphical connections such as virtual network300 computing, VNC; and remote desktop protocol, RDP):
- 301 1. Click on Graphical->Gateways->Add.
- 302 2. Set a name: LOCAL, then set Host as Localhost and port as 5172.
- 303 3. Check the Enabled box and click Save.
- 304 4. Verify that it works by clicking Test in the top-left corner.

GRAPHICAL: Gateways: Edit							
View Graphic	al Gateways 🔀 🛛 LOCAL 🗙						
History							
Name:	LOCAL						
Description:		]					
Host:	localhost						
Port:	5172	(default: 5172)					
	Enabled						
	Encrypt Connection						

- 305
- 306 Create one tag for each vendor company:
- 307 1. Click on Security->Tags->Add.
- 308 2. Set Name, usually the company name.
- 309 3. Click Save.

	SECURITY: Tags: Edit
	History
	Name: CISCO
	Description:
	► Custom Fields
310	
311	Create one profile for each vendor company.
312	1. Click on Users->Profiles->Add.
313	2. Set Name, usually the company name.
314	3. Click Save.
	▼ USERS: Profiles: Edit
	History
	Name: CISCO
	Description:
	► Custom Fields
315	
316	Establish graphical access controls. (Repeat this section for each vendor company.)
317	1. Click on Security->Access Control->Add.
318	2. Set Name to Vendor_Company_Graphical.
319	3. Check Enabled.
320	4. Set Order.
321	5. Set Allow.
322	6. Set Component Type to Graphical Connection.
323	7. Look under <i>Profile Selection</i> ; you should see:
324	<ul> <li>Property Profile Equals *Vendor Company Profile Name* <join>.</join></li> </ul>
325	<ul> <li>Vendor company profile should appear in the box on right.</li> </ul>

	* SECURITY: Acce	SECURITY: Access Control: Edit					
	View Access Control	Rules 🔀 🛛 Edit Ac	cess Control Rule 🗙				
	History						
	Name:	CISCO_GRAPHICAL	4		🗮		
	Description:						
		Enabled					
	Order:	9					
	Allow or Deny:	ALLOW			₹		
		Audit Rule Usag	e				
	Component Type:	Graphical Connection	n		₹		
		tion					
	Simple	Basic Adv	anced		Profiles 📥 🌱		
	Selection: – Property Profile	e Equals CISCO <	join>_		CISCO		
326	+						
227			laation	d			
327	8. LOOK UNDER	Resource se	<i>lection</i> ; you should	d see:			
328	Associa	ated with a Ta	ag that				
329	Proper	ty Tag Equals	*Vendor Compar	ıy Tag name* <join>.</join>			
		action					
	• Resource sere		•		Graphical Connections		
	Selection:	Basic Advar	nced		- The second sec		
	Associated With a Property Tag	<u>i Taq</u> that q Equals CISCO ⊲	ioin>		No Graphical Connections match.		
	··· <u>+ <join></join></u>						
330	<u> </u>						
331	9. Matching G	Graphical Con	soles should then	appear in the box on right. Ur	nder Privileges, check:		
332	<ul> <li>Aware</li> </ul>						
333	<ul> <li>View</li> </ul>						
334	Connee	ct					
	▼ Privileges						
	Component Level:						
	Add						
	Resource Level:						
	Aware			Connect			
				Disconnect			
	Edit			Enable			
	Lock Recordings			Monitor			
	Rename			Unlock Recordings			
	View			View Recordings			
335	View Usage						

336 Console Access Controls (repeat this section for each vendor company):

#### DRAFT

- 337 1. Security->Access Control->Add
- 338 2. Set Name to Vendor\_Company\_Console.
- 339 3. Check Enabled.
- 340 4. Set Order.
- 341 5. Set Allow.
- 342 6. Set Component Type to Console.
- 343 7. Look at *Profile Selection*. You should see:
- Property Profile Equals \*Vendor Company Profile Name\* <join>.
- 345 Vendor company Profile should appear in the box on right.

* SECURITY: Acce	ss Control: Edit		
View Access Control	Rules 🔀 Edit Access Control Rule 🗙		
History			
Name:	CISCO_CONSOLE		🏢
Description:			
	Enabled		
Order:	8		* *
Allow or Deny:	ALLOW		₹
	Audit Rule Usage		
Component Type:	Console		
	tion		
Simple	Basic Advanced	Profiles 📥	9
Selection: <u>Property</u> Profile +	<u>Equals CISCO <ioin></ioin></u>	CISCO	

### 347 8. Look under *Resource Selection*; you should see:

- 348 Associated with a Tag that
- 349

350

346

Property Tag Equals \*Vendor Company Tag name\* <join>

Simple	Basic	Advanced	Consoles 📥	5
election:			IP_ASA_BIOMEDICAL	
<ul> <li>Associated</li> <li>Propert</li> </ul>	<u>vvitna laq</u> that v Taq Equals	CISCO <ioin></ioin>	IP_ASA_BORDER	
+ <join></join>			IP_ASA_CLINICAL_SERVI	ICES
<u>+</u>			IP_ASA_DATABASE	
			IP_ASA_ENTERPRISE	
			IP_ASA_ENTERPRISE_SE	RVIC
			IP_ASA_MEDICAL_DEVIC	ES
			IP_CATALYST_3650	
			IP_DEV_CISCO_ISE	

9. Matching consoles should appear in the box on right. Under Privileges, check:

#### DRAFT

352	<ul> <li>Aware</li> </ul>		
353	<ul> <li>View</li> </ul>		
254	<ul> <li>Connect</li> </ul>		
554	- connect		
	▼ Privileges		
	All		
	Component Level:		
	Add	Disable All	Disable Scan All
	Display All Hidden	Enable All	Enable Scan All
	Hide All		
	Resource Level:		
	Acknowledge		Aware
	Can send break		Connect
	Controlled Connect		Delete
	Disable		Disable Scan
	Disconnect		Display Hidden
	Edit		Edit Event Occurrence
	Enable		Enable Scan
	Exclusive Connect		Expunge
	Hide		Lock Console
	Make Comment in Log		Modify Log Annotation
	Monitor		Purge
	Remediate		Rename
	Send Command		Send File
	Send protected characters		Trigger Event
	Update Baseline Run		View View
	View Baseline Run		View Event Occurrence
	View Log		View Monitored Events
355	View Usage		
356	Users:		
357	Users->Add:		

- 358 **1**. Set *Name*.
- 359 2. Set *Password* and retype password to confirm.
- 360 3. Fill in contact information.
- 361 4. Set *Profile* to the one defined for this user's company.
- 362 **5.** Click Save.

		USE	RS: Add *			⊕_□
	Vi	ew Us	ers 🔀 🛛 Add Us	er * 🗙		
					Find an Example	
			Name:	test		* (1
			Description:	Test Company	CISCO	Add
			Login Expiration:			Remove
			Last Login:			
				Use External Authentication		View
		<b>▼</b> P	assword		► REMEDIATION HISTORY	(0
		_	Password:	•••••	► TAGS	(0
	ſ	Re	type Password:	ange On Next Login		
		Þ Þ	assword Rules			
	i	<b>-</b> C	ontact Info			
			First Name:			
			Last Name:			
			Email:			
			Title:			
			Office Phone:			
			Cell Phone:			
			Audress/Location.			
F	RD	P Gr	aphical Conn	ections		
F	Fol	low	these steps t	o add a RDP graphical connection	on:	
1	1.	Gra	phical->Add			
2	2.	Set	Name for th	e device you are connecting to.		
3	3.	Set	Type to RDP			
Z	4.	Set	Hostname/I	P for the device you are connec	ting to.	
5	5. Set Authentication:					
		•	Username			
		•	Password			
			<i>Domain</i> (op	tional).		
6	6.	Ado	d Graphical G	ateway named Local.		
	7	۵d	d Tags for all	vendor companies that should l	have access	
-		<b></b>		and the second sec		

<b>GRAPHIC</b>	CAL: Edit	+			
View Graphi	cal Connections X IP_DEV_ACTIVE_DIRECTORY X				
History		View Active View Recordings Connec			
	Name: IP_DEV_ACTIVE_DIRECTORY				
Desc	cription: Enterprise Services	LOCAL Add			
	Type: RDP =	Remove			
	Host: 192.168.24.162				
	Port:	View			
	Single Session Connection	► CONSOLES (0)			
	Allow Join with Active Session	TAGS (1			
Max Id	e Time: August (n_400 = disabled)	SYMANTEC Add			
► Reco	rdings	Remove			
→ Auther	entication				
Use	rname: administrator	View			
Pas	sword:	VIEW			
(	Domain: IP				
Security	r Mode: ₹				
-	Disable Authentication				
	Ignore Certificate Errors				
SSH Consol	e Connections				
Follow the	se steps to add a SSH console connection:				
1. Consol	es->Add				
2. Set Na	<i>me</i> for the device you are connecting to.				
3. Set the Connector to SSH Session with Password Connection Details.					
4. Set the	4. Set the Host IP for the device you are connecting to by doing the following:				
a. S	et Port to 22.				
b. S	et Username.				
c. S	et Password.				
d. F	Retype the password.				
	··· ·				

- 5. Add tags for all vendor companies that should have access.
- 6. Click Save.

History		Logs Events N	Ionitored Eve
Name: IP_DEV_BIND_DNS	)	► GROUPS	(0)
Nickname:		► SCANS	(0)
Description: Enterprise Services		► AUTOMATIC ACTIONS	(0)
Status: Restored Communication Dis	sable	► ACKNOWLEDGE ACTIO	ONS (0)
Connector: SSH with Password	₹	► PURGE ACTIONS	(0)
✓ Connection Details		EXPECT.LITE SCRIPTS	(0)
Enable Failover: Unavailable			
Exclusive Connect		MULTI-CONNECT	(0)
Host IP: 192.168.24.163		► REMEDIATION HISTORY	r (0)
Port: 22 (Standard: 22)		► SCHEDULES + EVENTS	(0)
Username: nccoe		▼ TAGS	(1)
Password:		SYMANTEC	Add
Retype Password:			Pomovo
Command:			Remove
Min. Connect Interval: 0 0-20 se	conds)		
Fingerprint: 03:2C:39:2E:1F:A9:D1:4C:C0:CD:2D:ED:B7	:74:5C:B7:F0:AB:83:89		View

# 391 **2.2 Infusion Pump and Pump Server**

# 392 2.2.1 Infusion Pumps

390

- 393 Vendors collaborating with the NCCoE in this use case donated the following pump products.
- 394 Table 2-1: Infusion Pump List

Vendor Name	Product Name	Product Type	Description
B. Braun	SpaceStation	Station for hosting individual pump	Provides centralized power and network connection for pumps stacked on the station
	Infusomat <sup>®</sup> Space large volume infusion pump	Wireless infusion pump	Designed for acute-care facilities for adults and children
	Perfusor <sup>®</sup> Space Syringe Pump	Syringe infusion pump	Can be stacked in SpaceStation and uses SpaceStation for network communication

Vendor Name	Product Name	Product Type	Description
Baxter	Baxter Sigma Spectrum	Wireless infusion pump	Provides large-volume infusion capability for patients.
BD	Alaris PC 8015	Infusion pump core system	Provides a common user interface for programming infusion, network connection, and monitoring modules. The Alaris® 8015 PC Unit is the core of the Alaris® System and provides a common user interface for programming infusion and monitoring modules.
	Alaris Syringe 8110	Syringe infusion pump	Provides syringe infusion capability for patients and it works with Alaris PC unit.
	Alaris Pump 8100	Large-volume infusion pump	Provides large-volume infusion capability for patients and it works with Alaris PC unit.
Hospira	Plum 360	Infusion system	Builds on the air management and secondary delivery features of Plum A+, while expanding its drug library and wireless capability to enable streamlined electronic medical record integration
	Hospira PCA	PCA syringe infusion system	Complements Infusion pump to manage pain
Smiths Medical	MediFusion 4000	Syringe infusion pump	Delivers medication to patients in critical care units
	CADD Solis 2000	Ambulatory infusion pump	Delivers medication to patients in hospital, home care, and alternative care facilities

## 395 *2.2.1.1 Infusion Pump Setup*

In our example solution, we generalized the infusion pump vendors' products and systems as infusion
 pump devices, infusion pump servers, and infusion pump ecosystems. Our first goal was to connect each
 vendor's infusion pump(s) to their corresponding pump server for performing the basic operational

- events, such as registering the devices to the server; pushing/installing the new drug library to the
- 400 pumps; pushing/updating the new version of software to the pumps, and keeping the log of the pump
- 401 usage.
- 402 Each pump vendor has a basic setup that includes configuring the pump to connect to the network and
- 403 the pump server wirelessly. We used *WPA2* security with Advanced Encryption Standard (AES) for
- 404 encryption. In the case of *WPA2-PSK* mode, we assigned all infusion pumps the same access password
- for wireless network authentication. In the case of WPA2-Enterprise/EAP-TLS [11], we configured the
- 406 pumps to use an individual certificate issued by DigiCert for wireless network authentication, using Cisco
- 407 ISE, the enterprise authentication server.
- 408 Because each pump vendor has its own way of connecting, configuring, and setting up its pumps, we
- describe high-level steps in a generic way. The following table summarizes these key configuration steps.
- 410 See <u>Appendix B</u> for the sample configuration files.

Vendo	ors	Infusion Pump Model	Configuration Tool	Connection Methods
Baxte	r	Sigma Spectrum	Uses a PC with an IrDA interface to program multiple pumps with the same configuration Edits the network configuration file (a simple text file) on a PC and send it via the IrDA to a pump	Uses the IrDA Serial Infrared Link to a PC under the IrDA Serial Infrared Link Management Protocol v1.1
B. Bra	aun	Space Station	Connects PC with HiBaSeD Service program to the Space Station using a B. Braun interface cable for pump configuration setting	Uses special B. Braun interface cable
		Infusomat <sup>®</sup> Space large volume infusion pump	Connects PC with HiBaSeD Service program to the Space Station using a B. Braun interface cable for pump configuration setting	Uses special B. Braun interface cable
		Perfusor <sup>®</sup> Space Syringe Pump	Connects PC with HiBaSeD Service program to the Space Station using a B. Braun interface cable for pump configuration setting	Uses special B. Braun interface cable
BD		The Alaris <sup>®</sup> 8015 PC	Uses management system to do the configuration. The Alaris® 8015 PC Unit is	Uses series cable to connect pump to a local computer.

411 Table 2-2: Summary of Infusion Pump Configuration Methods

Vendors	Infusion Pump Model	Configuration Tool	Connection Methods
		the core of the Alaris <sup>®</sup> System and provides a common user interface for programming infusion and monitoring modules.	
Hospira	Hospira PCA	Accesses Web Config utility on Pump through a web browser using the Local IP address of the pump	Uses pump's Ethernet Jack to connect to a LAN or to interface with host computer
	Plum 360	Accesses Web Config utility on Pump through a web browser using the Local IP address of the pump	Uses pump's Ethernet Jack to connect to a LAN or to interface with host computer
Smiths Medical	MediFusion 4000	Pushes configuration text file to pump using the Telnet from a PC connected to the pump with the known IP address	Connects a PC to pump using micro USB-USB cable
	CADD Solis 2000	Uses Smiths Medical Network Configuration Utility to update the pump's configuration parameters	Connects a PC to pump using micro USB-USB cable

## 412 2.2.1.2 Infusion Pump Configuration

- 413 Pre-Conditions:
- 414 You have set up wireless AP with pre-share password SSID
- 415 You have installed and configured infusion pump servers
- 416 You have made available the infusion pump configuration and setup manual available

### 417 Post-Conditions:

- 418 You have connected the infusion pumps to AP
- 419 You have estimated the pump server to discover the pumps to the corresponding pump server
- 420 NCCoE followed the pump vendors' instructions to access to the pump in maintenance/biomedical421 model. We configured the pump as follows:
- 422 For wireless properties
- 423 Enable wireless
- Use DHCP

425		•	Set SSID (IP_Dev or IP_Dev_Cert)
426	1.1	For	wireless security properties
427		•	Set Security Mode (WPA2-PSK or WPA2-Ent)
428		•	Set Encryption Protocol to AES/CCMP
429		•	Enter PSK password or install a PKI certificate
430	1.1	For	pump server properties
431		•	Set Server IP/port
432		•	Set Device Name or ID
433		•	Set Device Type
434	1.1	То	verify connectivity for each infusion pump and the corresponding pump server:
435		•	Connect pumps to AP ( <i>IP_Dev</i> with PSK or <i>IP_Dev_Cert</i> with <i>EAP-TLS</i> )
436		•	Confirm that pump receives an IP address from the DHCP server from the AP
437 438		•	Confirm that the pump server can discover the pumps and display the pump status such connected, in use, or offline.
439	2.2.1.	3	Infusion Pump Hardening
440	Harder	ning	may include the following:
441		dis	abling unused or unnecessary communication ports and services
442		cha	anging manufacture default administrative passwords
443		sec	suring the remote access points if there are any

- 444 confirming the firmware version is up-to-date.
- 445 2.2.2 Infusion Pumps Server Systems
- 446 Table 2-3: Pump Servers used in this Example Implementation

Vendor Name	Product Name	Operating Platform	Description
B. Braun	DoseTrac <sup>®</sup> Infusion Management	Microsoft Windows	Drug library and infusion management system that provides real-time, infusion data reporting and analysis to add safety, efficiency and value
Baxter	Care Everywhere Infusion Pump Management System	Microsoft Windows	Provides interface capability to help hospital biomedical engineering department manage their infusion pump fleet

Vendor Name	Product Name	Operating Platform	Description
			effectively. Drug Library publishing module helps hospital pharmacy distribute and enforce medication safety rules effectively.
BD	Alaris Systems Manager	Compatible with VMWare ESX and VMWare vSphere environment	Virtual server platform that provides two-way wireless communication with Alaris PC units
Hospira	Hospira MetNet Server	Microsoft Windows	Manages drug libraries, firmware updates, and configurations of intravenous pumps
Smiths Medical	PharmGuard Server	Microsoft Windows	Manages drug libraries, firmware updates, and configurations of Hospira intravenous pumps for Smiths Medical Pumps

448 NCCoE installed the pump servers in the network in the VLAN 1400. To do so, we prepared a virtual

449 machine in the VMWare with the operating system and network as specified in the vendor installation

450 manual. Because one or more database is associated with the infusion pump server for storing the data,

451 installation and configuration of the database is part of the pump server installation procedure. After

the installation, we implemented basic configuration: the user account setup, reporting template

453 configuration, security hardening, license installation, pump metadata installation.

454 We have not included the pump server setup because the vendor performs this activity.

## 455 **2.3 Identity Services**

- 456 2.3.1 Cisco Identity Service Engine (ISE)
- 457 The Cisco Identity Services Engine (ISE) enables your organization to:
- 458 Centralize and unify identity and access policy management
- 459 Have visibility and more assured device identification during certificate challenges
- 460 Use business rules to segment access to sections of the network
- 461 Make the user experience seamless during the challenge process, even with more assured and
   462 stronger authentication

- 463 System requirements
- 464 Virtual Hypervisor (VH) capable of housing virtual machines (VMs)
- 465 VM with CPU: Single Quad-core; 2.0 GHz or faster

466 • VM with minimum 4 GB memory

- 467 VM with minimum 200 GB disk space
- 468 NCCoE installed the Cisco ISE 2.1 on a virtual machine using the OVA image provided by Cisco.
- 469 For your organization, follow the guidance from your VM vendor to import the OVA and start the install
- 470 process. Once the system boots up, follow the console display to select one of the installation options.
- 471 The configuration parameter selected for this use case is shown below:
- 472 ! hostname
- 473 ise
- 474 !ip domain-name
- 475 nccoe.lab
- 476 ! ipv6
- 477 enable
- 478 !interface
- 479 GigabitEthernet 0 ip address 192.168.29.159 255.255.255.0 ipv6 address autoconfig ipv6 enable
- 480 ! interface
- 481 GigabitEthernet 1 ip address 192.168.120.159 255.255.255.0 ipv6 address autoconfig ipv6 enable
- 482 !interface
- 483 GigabitEthernet 2 shutdown ipv6 address autoconfig ipv6 enable
- 484 ! interface
- 485 GigabitEthernet 3 shutdown ipv6 address autoconfig ipv6 enable
- 486 ! ip name-server
- 487 8.8.8.8 8.8.4.4
- 488 ! ip default-gateway
- 489 192.168.120.1

ļ

- 490
- 491 ! clock timezone
- 492 EST
- 493 ! ntp server

#### DRAFT

- 494 time.nist.gov
- 495 ! username admin password hash
- 496 \$5\$jNPleEb4\$YxDZH6oDF2Y4.02OqE/jBWxXFumRvtpe8JdNNZm1yj0 role admin
- 497 ! max-ssh-sessions
- 498 5
- 499 ! service sshd
- 500 enable
- 501 ! password-policy
- 502 lower-case-required
- 503 upper-case-required
- 504 digit-required
- 505 no-username
- 506 no-previous-password
- 507 password-expiration-enabled
- 508 password-expiration-days 45
- 509 password-expiration-warning 30
- 510 min-password-length 4
- 511 password-lock-enabled
- 512 password-lock-timeout 15
- 513 password-lock-retry-count 3
- 514 ! logging loglevel
- 515 6
- 516 ! conn-limit 10
- 517 port 9060
- 518 ! cdp timer
- 519 60 cdp holdtime 180 cdp run GigabitEthernet 0
- 520 ! icmp echo
- 521 on
- 522 !

## 523 2.3.1.1 Configure ISE to Support EAP-TLS Authentication

524 Execute your management of the Cisco ISE with a web browser unless you intend to administer via

525 command line. Using a web browser and the Cisco ISE host address, log on to the Cisco ISE

526 Administration Portal. You will use the credentials (username and password) you created during the 527 installation procedure.

## 528 2.3.1.2 Set ISE to Support RADIUS Authentication

529 Use the following steps to set up a communication connection from Cisco ISE to the network device 530 (Access Point) you use as the authentication server during RADIUS [12] authentication:

531 1. Add a Network Recourse

532 From the ISE Admin Portal, navigate to the path: *Administration > Network Resources > Network* 

- 533 *Devices*. Then select *Add*. Fill out the required parameters as indicated in the form:
- 534 The name of the network device
- 535 The IP Address of the device with its subnet mask.
- Select the RADIUS protocol as the selected protocol, and enter the shared secret that is configured
   on the network device.
- Populate the system certificate with CA-signed certificates. We replaced the Cisco ISE default self-signed certificate with the CA-signed certificate issued through DigiCert Certificate Authority. The steps for acquiring the signing certificate from DigiCert are described in the next Section 2.3.2,
   DigiCert Certificate Authority.
- Once the CA-signed certificate for ISE and the Root CA are issued, use the following steps to install
   the certificates to the System.

5. From the ISE Administration Portal, use the navigation path Administration > System > Certificates
 System Certificate to show the installed certificates. Then select Import to open a screen for
 importing Server certificate. Fill in the required information as shown in the following screen shot.

- ilii cis	denti	ty Services E	ngine	Home	► Contex	xt Visibility	Operations	► Policy	<del>▼</del> Admi	nistration	• Work Cent	ers	
	System	▶ Identity Man	agement	Network	k Resources	s Device Po	ortal Managerr	ient pxGrid S	Services	Feed Se	rvice + Pass	siveID	Threat Centric N/
0	)eployment	Licensing	→ Certifica	ites 🕨 L	.ogging 🕨	Maintenance	Upgrade	Backup & Rest	tore 🕨	Admin Acces	s • Settings	;	
			G			* Select Nod	le ise						
<b>~</b> 0	Certificate I	lanagement				* Certificate Fi	le Browse	isecerthydia	icer crt				
	Overview						browse	beccrebying	Jeonere				
	System Ce	rtificates				* Private Key Fi	le Browse	ISECertByD	igiCer.key				
	Endpoint C	ertificates				Passwoi	rd ••••••	,					
	Trusted Ce	rtificates											
	OCSP Clie	nt Profile				Friendly Nam	ie ISE Cert F	rom Digicert			(i)		
	Certificate	Signing Reque	sts		Allow Wildca	ard Certificates	<b>i</b>						
	Certificate	Periodic Check	Setti										
+ C	Certificate /	Authority		vaid	late Certific	ate Extensions							
						llsan	٥						
						ooug	•						
							Admin:	Use certificate	to authen	ticate the ISE	E Admin Portal		
							🗹 EAP Au	thentication: U	se certifica	ate for EAP p	rotocols that us	e SSL/	TLS tunneling
							pxGrid:	Use certificate	for the px	Grid Controll	er		
							Portal:	Use certificate i	TOF SAME 3	signing			
							Submit	Cancel					
6.	Check	the EAP A	uthent	ication	to ena	ble the im	ported co	ertificate	to be ι	used for	EAP Auth	enti	cation.
	Then c	lick the <b>S</b>	u <b>bmit</b> b	utton	to comp	plete the o	certificate	e importir	ng.				
7.	Import	the Digi	Cert Roo	ot CA a	nd sign	ing CA to I	SE Truste	ed Certific	ates. I	From the	e ISE Adm	inist	ration
	Portal,	use the r	avigati	on patl	h <b>Admi</b> ı	nistration	> Systen	n > Certifi	cates	> Truste	d Certific	ate	to show
	the ins	talled cer	tificate	s. Then	n select	Import to	open a s	creen for	impor	ting Dig	iCert Roo	t CA	and the
	Signing		iuualiy.										
	a. <i>i</i>	After imp	orting, i	make s	ure the	certificate	e status is	s Enabled	•				
	b.	Establish t	the OCS	P [13]	client p	rofile fror	n the OC	SP Client I	Profile	page u	nder the		
	1	Administr	ation >	Syster	m > Cer	tificates >	OCSP Cli	ent Profi	le.				
	c.	f OCSP (C	nline C	ertifica	te Stati	us Protoco	ol) is usec	for Certi	ficate	Status V	alidation,	, che	ck
	,	Validate a	gainst (	DCSP S	ervice a	nd enter	the OCSP	service n	ame.				
8.	Set Ide	entity Sou	rce for (	Client C	Certifica	te Authen	tication.	When usi	ng the	e trustec	l certificat	te fo	r EAP-
	TLS cer	rtificate-b	ased au	uthenti	cation \	validation,	, set up tl	ne Certific	cate Au	uthentic	ation Pro	file i	n the
	ISE as i	the extern	ial iden	tity sou	urce. In	stead of a	uthentica	ating via t	ne tra	aitional	username	e and	
	passw	oru, CISCO	ise con device	in thi		the infusio	ale rece	veu nom	the A	LLESS PC	niit to ver	iiy ti	IC

## 547 Figure 2-1: Importing Server Certificate

To create a Certificate Authentication Profile:

566

567 568		1	Use the Administration Portal to navigate to the path Administration > Identity Management > External Identity Sources > Certificate Authentication Profile and click <i>Add</i> .
569 570 571		1	Name the profile as, for example, "Cert_Auth_Profile", then fill out the form with proper parameters. Be sure to select <i>Subject Name</i> as the Principal Username X509 attribute because it is the field that will be used to validate the authenticity of the client.
572 573		1	Select the <i>Identity Resource Sequences</i> tab, in the Certificate Based Authentication, check <i>Select Certificate Authentication Profile</i> and choose the <i>Cert_Auth_Profile</i> from the dropdown list.
574 575 576 577 578 579	9.	Set ide Au Ext EAI Cis	Authentication Protocols. Cisco ISE uses authentication protocols to communicate with external ntity sources. Cisco ISE supports many authentication protocols such as the Password thentication Protocol (PAP), Protected Extensible Authentication Protocol (PEAP), and the ensible Authentication Protocol-Transport Layer Security (EAP-TLS). For this build, we used the P-TLS protocol for user and machine authentication. To specify the allowed protocols services in co ISE:
580 581		1	From the Administration Portal navigate to the path Policy >Policy Elements > Results >Authentication > Allowed Protocols > Add
582 583		1	Select the preferred protocol or list of protocols. In this build, the EAP_TLS is selected as the allowed authentication protocol.
584 585 586	10.	Set sho aut	up Authentication Policy. Define the authentication policy by selecting the protocols that ISE puld use to communicate with the network devices, and the identity sources that it should use for chentication. To specify the authentication policy:
587 588		1	From the Administration Portal navigate to the path <b>Policy &gt;Authentication Policy &gt; Type &gt; Rule</b> <b>Based</b> .
589 590		1	Set "if Protocol is Wireless 802.1x, use the Network Device as defined in Step 1 and the Identity Sequences as defined in Step 8.
F01	2:	2 7	DigiCart Cartificate Authority

## 591 2.3.2 DigiCert Certificate Authority

592 DigiCert is a cloud-based platform designed to provide a full line of SSL Certificates, tools, and platforms 593 for optimal certificate life cycle management. After you set up an account with DigiCert, you can use a 594 DigiCert dashboard and its built-in certificate management tools to issue PKI certificates for network 595 authentication and encryption for data-at-rest or in-transition if needed.

The follow instruction describes the process we used to request a PKI certificate on behalf a wirelessinfusion pump using the DigiCert PKI services:

## 598 2.3.2.1 Create a Certificate Signing Request (CSR)

599 A CSR can be represented as a Base64 encoded PKCS#10 binary format. Many tools and utilities are

available to help to generate a CSR, and the key pair containing the private key and public key is

601 generated in the same time. The CSR identifies the applicant's distinguished name, which must be

digitally signed using the applicant's private key and the information for the public key chosen for the

applicant. In this build, Certificate Utility for Windows (DigiCertUtil.exe) provided by DigiCert is used to

604 generate CSRs for infusion pumps.

- 605 Download and save the DigiCertUtil.exe from <u>https://www.digicert.com/util/csr-creation-microsoft-</u>
- 606 <u>servers-using-digicert-utility.htm</u>.
- 607 **1**. Double-click *DigiCertUtil.exe* to start the utility:

DigiCert Cert	ificate Utility for Window	© RTIFICATE UTILITY ;	for Windows®	<b>\$ 1.80</b> support@c	
-	Code Signing Certific	ates	ز	Check Signature  Create CSR	▲ Import C Refresh
	Issued To	Expire Date	Serial Number	Friendly Name	Issuer
Code Signing					
2					
Tools					
2					
Account					

## 609 2. Click the *Create CSR* link to open a CSR request window.

Certificate Det	ails		Information
Certificate Type:	● SSL ○ Code Signing		Certificate Type (required)
Common Name:	Tester		If you choose SSI then the certificate will be
Subject Alternative Names:		<	saved in the machine certificate store. If you choose <b>Code Signing</b> , then the certificate will be saved in your own certificate store.
Organization:	Your organization		
Department:	Your department		
City:	Your city		
State:	Your state	~	
Country:	USA	$\sim$	
Key Size:	2048	$\sim$	
Provider:	Microsoft RSA SChannel Cryptographic Provider	$\sim$	
	Generate Cancel		

- 613 Common Name: Enter the entity name
- 614 Organization: Enter your company's legally registered name

615		City:	Enter the ci	ty where your	company is leg	gally located	
616		State:	Select the s	tate where you	ur company is	legally locate	ed
617		Country:	Select the c	ountry where	your company	is legally loc	ated
618		Key Size:	In the drop-	down list, sele	ect 2048		
619 620		Provider:	Select <i>Micro</i> specific cryp	osoft RSA SCha otographic pro	<i>innel Cryptogro</i> vider)	aphic Provide	<i>er</i> (unless you have a
621	4. (	Click <b>Generate</b>	to generate a CSR:				
	<b>5</b> D	igiCert Certificate	e Utility for Windows© -	Create CSR		×	
		(	The certificate requ	est has been succes	sfully created		
	MI Ko GO ai m7 aG qK MA TZ AY 3V t6 OR 	ICYDCCAUgCAQA ZIhvcNAQEBBQA aiijQedSRXaH1 2Y3U0vAW11Fkw GBicIr2eWyKyt j2fqP6Td+0e9L VAtxaCDuFVdVV 0GCSqGSIb3DQE D/9x8xDKRudeT PMiLPm/Vgsbdn YkkxmYhUoRtvS 3rim0bjysRML0 hfXBcvIM1Q+VR END NEW CE	wGzELMAkGA1UEBhMCVV DggEPADCCAQoCggEBAL TdJOE+fDiy+gYDdacQj 1gkHAhMOSLAuqPkT290 s/xUrpJb82kWGsTpMwL jYhB5YIVqKqUtaf1CRD ISSrTSLHsK2005tgM6D BBQUAA4IBAQBYw1X56p Qbyt6vigERMLM6QB9S9 1S+5T7pXThr6c71ICn1 6A3L4t17hkZbnERdRme 01U0dAKxLFbfd3E0RS+ f3mi6Vq8pRsSSbBSHwL RTIFICATE REQUEST	MxDDAKBgNVBAM YdBtYAVbuo7ET Cxbfo+40GhEi8 V1y5dur8deGSE +T/PPrGvQAYqv J9VRkwqAO0Yqo xFZaXTPHE3eAk 1cuI10rMzM+Li I28GrYAQWFodz CuigGyy8m1iFw MGwuNHfUjYviy TSf/VkaeWqlcJ 51yTqQtgTAKL0	TA3RlczCCASIwI 3WMeH2e+K8BBO teYWxjg24oaML) 907erhQK4V173/ e5MzK//mBImEUI dwbYIGap1x4aXv d/mbyXf8CAwEAJ TDj7M4qxV0SD2 AZ/VN47X9XLXb5 NTiLzVBdF5neEe AT8JX1yiy9FXJ( 3Gu4Efqb+/da65 j	DQYJ EGoK 412 /CMZ DVRQ weGR AaAA jnb2 5dux ePdR DWeI 507d	
				Copy CSR	Save to File	Close	

This will also generate a corresponding private key in the Windows computer from which the CSR is
 requested. The Certificate Enrollment Request is stored under: (*Console Root\Certificates(Local Computer)\Certificate Enrollment Requests\Certificates*).

- 626 2.3.2.2 Issue Signed Certificates
- 627 5. With a created applicant CSR, request a signed certificate using DigiCert CertCentral portal.
- Login to a DigiCert Dashboard <<u>https://www.digicert.com/account/login.php</u>> with your
   account user name and password.
- Once in the portal, go to **Request a Certificate**, then select *Private SSL* to open a certificate
   request form. Fill in the certificate settings in the fields shown in the form which includes
   pasting the CSR information to the area called *Paste your CSR*.

- 6. After filling in all the required information and scroll down to the bottom of the page and click on
  the "I agree to the Certificate Services Agreement above" check box, click the *Submit Certificate Request* button at the bottom of the form to submit the certificate for signing approval. The
  administrator of the CA authority will use the same portal with different privilege to prove the
  request after reviewing and verifying the submitted request information if needed.
- To download the signed certificate, go to *CERTIFICATES->Orders* to list the ordered signed
   certificates:

Ødigicert <sup>®</sup>   CERTCE	INTRAL®				National Institute of Stand	dards and Technology -		3
request a certificate	Orders							
III DASHBOARD	Orders Report	Download CSV -						
CERTIFICATES								
<b>Orders</b> Requests	Status Active	Search  C Search for	Go Show Ad	vanced Search				
Domains	Order # 👻	Date ≑	Common Name 🌲	Status ≑	Validity 🌲	Product ≑	Expires ≑	
Expiring Certificates	1375546   Quick View	23 Mar 2017	BBraun	Issued	1 year	Private SSL	23 Mar 2018	
Q INSPECTOR	1364007   Quick View	16 Mar 2017	Smiths	Issued	1 year	Private SSL	16 Mar 2018	
∧ MONITOR	1363934   Quick View	16 Mar 2017	Hospira	Issued	1 year	Private SSL	16 Mar 2018	
S FINANCES	1363251   Quick View	16 Mar 2017	Carefusion	Issued	3 years	Private SSL	16 Mar 2018	
ACCOUNT	1361950   Quick View	15 Mar 2017	Baxter	Issued	1 year	Private SSL	15 Mar 2018	
SETTINGS	1361779   Quick View	15 Mar 2017	ISECertByDigiCer	Issued	1 year	Private SSL	15 Mar 2018	
🗲 TOOLS								6 total

- 641 8. Click a specific order number to display the certificate details with a list of actions for you to
   642 perform. Click the *Download Certificate* As to download certificates with signed CA and Root CA
   643 certificates. A variety of certificate formats can be downloaded, such as .crt, .p7b, or .PEM, etc.
- 644 9. Save the downloaded certificate in a location where it can be used for further processing if needed.

## 645 2.3.2.3 Import and Export the Signed Certificate

Using the DigiCert Utility and OpenSSL tool, you can further manipulate the certificates to combine with
the private key and export the signed certificate, or you can convert certificates or keys to the formats
specified for your organization's devices.

- 10. To import a signed certificate, use DigiCert Utility to click the *Import* button to load a downloaded
   file to the utility. The download file was saved in Step 9 above. Click the *Next* button to import.
- 651 11. From the DigiCert Certificate utility for Windows, click *SSL* to list all the imported files.

dig	icert <sup>®</sup> CERT	IFICATE UTILITY f	or Windows®	<b>\$</b> 1.80	0.896.797
	SSL Certificates			Create CSF	
	Issued To	Expire Date	Serial Number	Friendly Name	Issuer
SSI	ISECertByDigiCer	15-MAR-2018	0D3C914518A66C3273FB	ISE Cert By Digicert	DigiCert Inc
de Signing Tools	ISECertDigiCert1	04-MAR-2017	0DA48EA3EFF069507B5C	ISECert8yDigiCert1	DigiCert Inc
ccount					

12. To export the certificate, select the certificate that you want to export as a combined certificate file
and key file in a *.pfx* file or separated as a certificate file and key file, and then click *Export Certificate.*

DigiCert Certificate Utility for Windows®
Certificate Export
This wizard will export a certificate and optionally its private key from the certificate store to disk. You must select the private key option if you wish to install this certificate on
a different computer. Do you want to export the private key with this
<ul> <li>Yes, export the private key</li> <li>pfx file </li> <li>Include all certificates in the certification path if possible</li> </ul>
(a) key file (Apache compatible format)
$\bigcirc$ No, do not export the private key
< Back Next > Cancel

656

657 13. Click the *Next* button and follow the wizard instruction to save the certificate file and private key file658 to a location you desire.



671

676

## 660 2.3.2.4 Certificate and Key File Format Conversion

661 PKI certificates and key files can be in different formats. When PKI certificates are used in medical

662 devices, device manufacturer user guides specify which formats are acceptable in their devices.

Fortunately, many tools can perform format conversion. One utility tool that NCCoE used is the OpenSSLfor Windows. It is open source and can be downloaded from

665 <u>https://www.openssl.org/community/binaries.html</u>. Here are some of the useful convert commands:

- 666 To convert .crt to .pem:
- 667 openssl x509 in mycert.crt -outform PEM out mycert.pem.
- 668 To convert a private key into PEM format:
- 669 openssl rsa -in yourdomain.key -outform PEM -out yourdomain\_pem.key.
- 670 Separate a pfx file into two different .key/.crt files:
  - For a key file: openss1 pkcs12 -in yourfile.pfx -nocerts -out keyfile-encrypted.key.
- For cert file: openss1 pkcs12 -in [yourfile.pfx] -clcerts -nokeys -out [certificate.crt].
- To convert a Cert PEM file to DER:
- 674 openssl x509 -outform der -inform DEM -in certificate.pem -out certificate.der.
- To convert a key PEM file to DER:
  - openss1 rsa -inform DEM -in infile.key -out Outfile.der-outform DER.

## **2.4** Symantec Endpoint Protection and Intrusion Detection

678 NCCoE protected the pump server application in the notional Biomedical Engineering network by using
679 three Symantec cybersecurity products on an enterprise network, with a specific focus on wireless
680 infusion pumps:
- 681 Symantec Data Center Security- Server Advanced
- 682 Symantec Endpoint Protection Manager Server
- 683 Symantec Advanced Threat Protection Server.
- Each product protects components in the enterprise systems at different levels.

# 685 2.4.1 Symantec Data Center Security: Server Advanced

For data center security, Server Advanced provides a policy-based approach to endpoint security and compliance. It includes the management server, the agents, the unified management console, the database, and DCS Security Virtual Appliance (SVA). The agent components working with the server management provide intrusion prevention and detection on endpoint devices; the database is used for storing the policies, agent information, and real time actionable events; and the SVA provides agentless anti-malware protection for VMWare guest VMs running Windows.

- The management server and the console can be installed on one system, and the agents are generally
- 693 deployed to every supported host or endpoint devices. <u>Figure 2-2</u> displays the Data Center Security:
- 694 Server Advanced Environment.
- 695 Figure 2-2: Data Center Security: Server Advanced Environment



696

# 697 2.4.1.1 Installing Data Center Security: Server Advanced Manager

698 **Minimum Hardware Requirement:** Server Advanced includes hardware support x86, EM64T, and 699 AMD64 with 60 GB free disk space (all platforms) 8 GB RAM 4 CPUs.

Minimum Software Requirement: Windows Installer 2.0 or higher, Microsoft SQL Server 2008, .NET
 Framework 4.0 or 4.5.1, PowerShell 2.0, and Windows 2008 or later.

702 Operating the Symantec Data Center Security: Server Advanced installation requires to link to an

instance of SQL Server locally or remotely. All installations allocate approximately 60 GB of space for the

database on SQL Server Enterprise edition. We first installed a new instance of SQL Server that conforms

to the Symantec installation requirements. The SQL Server was installed on the same machine as that

- 706 for the Data Center Security: Server Advanced Manager.
- Follow these steps to install the SQL Server software.

- 708 **1**. Use *SCSP* as the default instance name
- 2. Set authentication configuration to Mixed Mode (Windows authentication and SQL Serverauthentication)
- Set the "sa" with a password when you set Mixed Mode authentication. You will need this password
   when you install Data Center.
- 4. After installing the instance of SQL Server, select to authenticate using SQL Server credentials.
- 714 5. Register the instance. Registering the instance also starts the instance.
- Follow these steps to install Data Center Security: Server Advanced:
- 1. Double click *server.exe*, then in the Welcome panel, click *Next* and accept the license agreement
- In the Installation Type panel, click Evaluation Installation, then click Use an Existing MSSQL
   Instance, and then click Next.
- Follow the instructions and select the parameters suitable for your organization to complete theinstallation.
- See Symantec<sup>™</sup> Data Center Security: Server, Monitoring Edition, and Server Advanced 6.7 MP1 Planning
   and Deployment Guide for further details:
- https://symwisedownload.symantec.com//resources/sites/SYMWISE/content/live/DOCUMENTATION/9
   000/DOC9394/en\_US/DCSSA\_Planning\_Deployment\_Guide.pdf?\_gda\_=1494398285\_572b0ff3499793
   59e0cc9342b337f3bb
- 726 2.4.1.2 Configuration of Data Center Security: Server Advanced Manager
- After you install the Management Server, the Server Configuration Wizard lets you configure variousparameters of the installation.
- 729 One purpose of these configuration settings is to use the policy-based least privilege access control
- provided by DCS to lock down the configuration settings, files, and file systems in the pump for
- restricting application and operating system behavior and protecting the files and systems from
- 732 tampering.
- To enable a policy in DCS Management Server, follow these steps:
- 734 1. Login to the DCS console.
- 735 2. Create a policy folder.
- 736 3. In the Java console, click *Policies*.
- 737 4. Under the *Policies* tab, click *Prevention* or *Detection*.
- 5. On the Policies page, in the *Workspace Folders*, select the *Workspace* folder and then right-click *Add Folder*. Look for a new policy folder with the name *New Folder*. Rename this folder as *Pump Server*.
- 740 6. Copy an existing policy to the Pump Server folder.
- 741 7. From the default Symantec folder, find a proper policy example and copy it to the Pump Server.
- 742 8. Using the *Move To* command. In the *Workspace* pane, select a policy (e.g., "windows-baseline-
- detection" policy in *Symantec folder* for *Detection*), and then right-click *Move To*. In the *MoveFolder*dialog box, select *Pump Server* to receive the policy, and then click *MoveTo*.
  - NIST SP 1800-8C: Securing Wireless Infusion Pumps

- 745 9. To edit a policy, right-click a policy, and then click *Edit Policy*. Configure the setting based on your
   746 security protection needs.
- 747 DCS Advanced Server provides a variety of configurable protection from application data protection,

application protection to network protection. For example, the Windows prevention policies have a

Protected Whitelisting strategy that lets you specify an application to which you always want to allow

access or give permission to run. When you whitelist a process or an application, all the other processes

- and applications that are not included in the list are denied access.
- To allow a program to run by using the Protected Whitelisting strategy, follow these steps:
- 10. In the management console, click the *Policies* tab and then click *Prevention*.
- 11. In the *Policies* workspace, click *Add*.
- 12. In the Select a Prevention Policy Builder wizard, in the New Policy Builder section, click Launch.
- 13. In the *Policy Name* panel, from the *Policy Pack* drop-down list, select the policy pack that you want
  to use as the baseline for the new custom policy.
- 14. In the *Name* text box, enter a name for the policy that you create. In this build, we use "Windows
   Prevention Policy 6.0 Reference 31 Protected Whitelisting strategy."
- 760 15. Check Create a custom prevention policy, and then click Next.
- 761 16. In the Protection Strategy panel, use the slider to select Protected Whitelisting.
- 17. In the *Trusted Updaters* panel, click *Add*, and then in the *Select Type* dialog box, select the type of
   updater that you want to add. The Trusted Updaters list is populated through the agent data
   retriever. You can edit or delete an updater that you have already added to the list.
- 765 **18**. Click *Next*.
- 19. In the *Application Rules* panel, click *Add*, and then in the *Select Type* dialog box, select the type of
   rules that you want to add. You can edit or delete a rule that you have already added to the list.
- 768 20. In the *Global Policy Options* panel, click *Configure* to configure the global policy settings, and then769 click *Next*.
- 770 21. In the *Summary* panel, click *Save*.

# 771 2.4.1.3 Installing Data Center Security: Server Advanced Agent

- Use agent.exe to install the agent software on computers that run supported Windows operatingsystems. To install the Windows agent software, follow these steps:
- 1. On the installation package, double-click *agent.exe*.
- 775 2. In the *Welcome* panel, click *Next*.
- 3. In the License Agreement panel, select I accept the terms in the license agreement, and then click
   Next.
- 4. In the *Destination Folder* panel, change the folders if necessary, and then click Next.
- In the Agent Configuration panel, accept or change the default settings, and then click Next. Ensure
   that Enable Intrusion Prevention is checked.

- 6. In the *Management Server Configuration* panel, in the Primary Management Server box, type the
   fully qualified host name or IP address of the primary server that is used to manage this agent. If you
   changed the Agent Port setting during management server installation, in the Agent Port box, type a
   port number that matches.
- 785 7. (Optional) In the *Management Server Configuration* panel, in the Alternate Management Servers
   786 box, type the fully qualified host name or IP address of the alternate servers that are used for
   787 failover for this agent. Type the servers in a comma-separated list.
- 8. In the *Management Server Configuration* panel, accept the directory for the SSL certificate *Agent-cert.ssl*, or click *Browse* to browse to and locate *Agent-cert.ssl*. Access to a copy of the SSL certificate
   *Agent-cert.ssl* is required to connect to the management server. All primary and alternate
   management servers must use the same certificate.
- 792 9. In the Management Server Configuration panel, click Next.
- 10. (Optional) In the Agent Group Configuration panel, in the group boxes, type the group names that
   you created with the Java console. You may add multiple detection policy group names separated
   with commas. You may include the name of an existing detection policy domain in the group
   path/name.
- 797 11. In the Agent Group Configuration panel, click Next.
- 12. In the Service User Configuration panel, accept the default Local System account, and then click
   Next.
- 13. In the *Ready to Install the Program* panel, confirm the installation parameters, and then click Install.
- 801 14. When the installation completes, click *Finish*.
- 802 Agent installation configures the appropriate networking for the environment. The agent installation
- 803 configuration includes which Data Center Security: Server Advanced Management Servers to
- 804 communicate with, which ports to use, and how often to poll for changes. The initial Data Center
- 805 Security: Server Advanced installation also determines whether key product features are enabled or not.
- 806 Particular key agent features can be installed, and each provides different protection:
- 807 Enabling the intrusion prevention feature
- 808 Enabling the real-time file integrity monitoring feature in intrusion detection
- 809 Enabling the real-time file integrity monitoring feature in intrusion detection
- 810 Creating agent registration groups.
- 811 See the Symantec Data Center Security: Server, Monitoring Edition, and Server Advanced 6.7 MP1 Planning and
- 812 Deployment Guide for details: <u>http://help.symantec.com/cs/DCS6.7/DCS6\_7/v118490468\_</u>
- 813 v110163010/Installing-Data-Center-Security:-Server-Advanced-6.7-or-6.7-MP1/?locale=EN\_US.

# 814 2.4.2 Symantec Endpoint Protection Manager

- 815 Minimum Hardware Requirement: 2 GB RAM as minimum; 8 GB or more available recommended. Hard
- 816 drive should be 40 GB as minimum (200 GB recommended) for the management server and database
- 817 with a remote SQL Server database.

818 Minimum Software Requirement: Windows Installer 2.0 or higher, Microsoft SQL Server 2008, .NET

Framework 4.0 or 4.5.1, PowerShell 2.0, and Windows 2008 Server or later. Intel Pentium Dual-Core or equivalent minimum, 8-core or greater is recommended.

The Symantec Endpoint Protection Manager includes an embedded database. You may instead choose to use a database from one of the following versions of Microsoft SQL Server: SQL Server 2008, SP4 up

823 to SQL Server 2016.

# 824 2.4.2.1 Installing Symantec Endpoint Manager

- Download the product, extract the entire installation file to a physical disk, such as a hard disk. Run
   *Setup.exe.* The installation should start automatically.
- 827 2. Follow the screen instruction and accept the license agreement.
- Solution 3. Continue the installation until it is finished. After the initial installation completes, configure theserver and database.
- 830 4. Click *Next*. The Management Server Configuration Wizard starts.
- 831 5. Select *Default Configuration*, and then click *Next*.
- 6. Enter company name, a password for the default administrator admin, and an email address.
- 833 7. If you run *LiveUpdate* as part of a new installation, content is more readily available for the clients834 you deploy.
- 835 8. If you want Symantec to receive anonymous data, click *Next* to begin the database creation.
- 836 9. When the database creation completes, click *Finish* to complete the Symantec Endpoint Protection837 Manager configuration.

# 838 2.4.2.2 Installing the Client

- After installing Symantec Endpoint Protection Manager, install the Symantec Endpoint Protection client to the endpoint host with the Client Deployment Wizard. Of the several installation methods, we
- 841 recommend using the *Save* package. This installation option creates an executable installation package
- that you save on the management server and then distribute to the client computers. Follow thesesteps:
- Make your configuration selections as you install the Symantec Endpoint Protection Manager and
   then create the client installation packages.
- Save the installation package to a folder on the computer that runs Symantec Endpoint Protection
   Manager.
- 848 3. Copy this package to a client machine where you have an administrator privilege.
- 849 4. The installation package comprises one setup.exe file. Click the executable file to start the850 installation. Follow the wizards to complete the installation.

# 851 2.4.3 Symantec Advanced Threat Protection: Advanced Threat Protection:852 Network

- 853 With Advanced Threat Protection: Network (ATP:N) installed on the network, it can provide Network-
- based protection of medical device subnets via monitor internal inbound and outbound internet traffic.

- 855 We integrate Symantec Advanced Threat Protection (ATP) with Symantec Endpoint Protection, it will
- allow ATP to monitor and manage all network traffic from the endpoints and provide threat assessment
- 857 for dangerous activity to secure the medical devices on an enterprise network.
- 858 Minimum Hardware Requirement: 32 GB RAM; 4 CPUs. Hard drive should be at least 500 GB.
- 859 **Minimum Software Requirement**: ESXi 5.5 and 6.0, ATP virtual appliance includes an Integrated Dell
- 860 Remote Access Controller (iDRAC). The iDRAC console requires the latest version of the Java Runtime
- 861 Environment (JRE) installed on the administrative client.

# 862 2.4.3.1 ATP-N Installation

- The installation of the ATP-N involves the deployment of the OVA template on the VMware ESXi Server.A sample installation steps are shown below:
- Deploy the OVA. During the Deploying procedure, the Deploy OVA Template wizard prompts
   you to map the Source Network adapters, which are built into the APT OVA with Destination
   Networks that you already configured on your network.
- 2. In VMware vSphere Client, start the newly-created virtual appliance.
- 3. Open a console to the appliance and logon with the user name admin and the proper password
  to start the bootstrap.
- From a computer that is on the same subnet as the appliance management port, use a browser
  to connect to the APT Manager using the ATP IP address. The user name is setup and the
  password is *Symantec*.

# 874 2.4.3.2 Integrating APT with Symantec Endpoint Protection

- To integrate the Symantec Advanced Threat Protection (ATP) with Symantec Endpoint Protection allows
  us to Correlation of event data from Symantec Endpoint Protection Manager to ATP. To do the
  integration, follow these steps:
- 877 Integration, follow these steps:
- On Symantec Endpoint Protection Manager, prepare the database for log collection to allow ATP to access the database using DB administrator (sa) credentials.
- Enable Symantec Endpoint Protection Correlation option by checking in the Settings > Global >
   Synapse area of ATP Manager.
- 3. In ATP Manager, configure the connection to Symantec Endpoint Protection Manager instances.
- 4. In Symantec Endpoint Protection Manager, configure host integrity and quarantine firewall
   policies, if not already enabled.
- In Symantec Endpoint Protection Manager, configure endpoints to send information to the ATP
   management node.
- 887
   6. In ATP Manager, add SSL certificates for secure communication between endpoints and ATP, if
   888 needed.
- 889 More detail about integrating ATP and Symantec Endpoint Protection can be found from the following
- 890 reference: http://help.symantec.com/cs/ATP 2.2/ATP/v102658999 v117970559/About-integrating-
- 891 <u>ATP-with-Symantec-Endpoint-Protection?locale=EN\_US</u>.

# 892 2.5 Risk Assessment Tools

#### 893 2.5.1 Clearwater IRM | Analysis<sup>™</sup> Software

We used Clearwater IRM | Analysis<sup>™</sup> Software-as-a-Service (SaaS) application, a control-based risk tool
for conducting a risk assessment with a focus on the Healthcare Delivery Organization (HDO) enterprise.
In our environment, we built the enterprise network to simulate a typical HDO environment. Clearwater
Compliance created an account for NCCoE under their cloud based tool, IRM | Analysis<sup>™</sup>. The software is
based on the construct of an "Information Asset" which creates, maintains, receives or transmits
electronically Protected Health Information (ePHI.) This can be a software application, information
system, medical device system, etc.

- This section does not show you how to conduct a risk assessment. Instead, we present some basic steps for using the IRM|Analysis<sup>™</sup> tool to conduct the risk assessment:
- 903 1. Login to IRM | Analysis<sup>™</sup>.
- 904 2. Import Inventory of Information Assets or enter the data through the Asset Inventory Form.
- 905 3. Establish conformance with the NIST-based Security Controls.
- 906 4. Determine the Risk Rating predicated on a 5x5 matrix of likelihood x impact.
- 907 5. Identify those risks that are exceed the established "risk threshold."
- 908 6. Document "Risk Response" and associated tasks necessary to mitigate, transfer, avoid or accept the
   909 risk in the IRM | Analysis<sup>™</sup> software.
- 910 7. Leverage Dashboard and Reporting functionality to provide documentation and evidence of a911 credible and bona fide risk analysis.
- 912 2.5.1.1 Login to IRM/Analysis™
- 913 1. From a browser, type https://software.clearwatercompliance.com/login.
- 914 2. On the Login page (see Figure 2-3), enter the appropriate email and password.
- 915 3. Click on Sign In.
- 916 Figure 2-3: IRM | Analysis<sup>™</sup> Login Page

Please select a product and sign in below. IRM Analysis™ -
Email Address

# 918 2.5.1.2 Enter Asset Inventory

- 919 We used the *New Asset* page to add the assets to the system and the *Edit Asset* page to update the
- 920 record. After all assets are entered, an analysis is conducted to determine if media (i.e., devices)
- associated with different assets can be grouped together based on a similar risk profile. For instance: all
- 922 servers are virtual machines using the same Storage Area Network and identical Operating Systems. If
- 923 you have 10 assets that have server selected and they are all the same, they can be grouped and
- 924 evaluated as one. The Media/Asset Group is the logic group for organizing media into classes to reduce
- 925 the number of identical security control assessments.
- 926 To add a new asset:
- 927 1. On the IRM | Analysis<sup>™</sup> tool, expand *Assets* on the left menu bar.
- 928 2. Under Assets, click on Asset Inventory List.
- 929 3. On the Asset Inventory List page (see Figure 2-4), click on the New button.
- 930 4. On the *New Asset* form (see <u>Figure 2-5</u>), enter the required information and click on the *Save*931 button.
- 932 Figure 2-4: Asset Inventory List

$\leftarrow \rightarrow$	C Secure   https://	/software.cl	learwaterco	mpliance.com/assets					९ 🕁 🖸	
IF	RM Pro	0	≡		🖨 🗘 IRM Analysis 🗸	National Cybersecurity Cer	nter of Excellence	e (NCCoE) 👻	5. <sup>3</sup>	Ŧ
	Hello,		III /	Asset Inventory List				Assets > Asset	. Inventory List	
e	Dashboard	,	To add ar	n Asset click the New button. To edit	select a row and click the Edit Button		9 assets used out	of unlimited ass	ets available	
<ul> <li>•</li> <li>•</li> </ul>		>	+ New	✓ Sedit Delete		Sear	ch:		🖶 Export	l
⊕	Assets	~	ld 🚽	Asset name 🗢	Asset description 🗢	# records	Created \$	Modified 🖨		
4	Asset Inventory List		75126	InfusionPumpSystem_1 Model 1	Wireless IV medical infusion pump system - 1, Model 1 (wire or wireless)	0	2016-12-20 13:11	2017-02-01 11:25		
			75127	InfusionPumpSystem_1 Model 3	Wireless IV infusion pump system -3	0	2016-12-20 13:16	2017-01-20 09:26		
		>	75191	InfusionPumpSystem_1 Model 2	Wireless IV medical infusion pump system - 1, Model 2 (wireless only)	0	2016-12-20 14:01	2017-01-20 09:27		
	Risk Response	>	78382	Workstation Applications	Workstations associated with configuring or controlling a wireless IV medical infusion pump	0	2017-01-19 08:03	2017-01-20 09:10		
		>	78383	InfusionPump_2-1	Wireless IV medical infusion pump system - 2, Model 1 (wireless)	0	2017-01-19 09:23	2017-01-20 09:26		
<b>\$</b>		>	78384	InfusionPump_2-2	Wireless IV medical infusion pump system - 2, Model 2 (wireless)	0	2017-01-19 09:24	2017-01-20 09:28		
ascriptiv	roid(0);	(h)	78385	InfusionPump_3	Wireless IV medical infusion pump system - 3, Model 1 (wireless only)	0	2017-01-19 09:26	2017-01-20 09:28		

#### 934 Figure 2-5: New Asset

← → C 🔒 Secure   h	ttps://software.clearwatercomp	bliance.com/assets/create		९ 🕁 🖸
IRM Pro	≡		🖨 🋕 IRM[Analysis - National Cybersecur	ity Center of Excellence (NCCoE) -
Hello, 👘 👘 👘	Asset		Asset Details	
	Asset name *		Source of the sensitive information	A
🗘 Assets 🗸	Asset description		Where or to whom the data is shared or sent	
			Physical Location of Asset	
	Select all items that cre	eate, receive, store, transmit or view sensitive information	Number of end users and	h
Risk Response     >       Risk Response     >       Documents     >       Reports     >       Manage Account     >       Support     >	Devices * 📀	ackup Media betacp bet	Administrators Importance of asset Select Approximate # of sensitive records stored on this asset Asset Business Owner	×
		Smartphone @ Storage Area Network @ Tablet @ USB key or flash drive @	First name	
	Third Parties 🧿	Contractors / Consultants ? Platform-as-a-Service ? Software-as-a-Service ?	* Indicates a requi	ed field

935

936 To update an asset:

- 937 1. On the IRM | Analysis<sup>™</sup> tool, expand *Assets* on the left menu bar.
- 938 2. Under Assets, click on Asset Inventory List.
- 939 3. On the Asset Inventory List page (see Figure 2-4), select the asset you want to edit, then click on the
   940 Edit button.
- 941 4. On the *Edit Media/Asset Groups* page (see <u>Figure 2-7</u>), enter the necessary information and click on
   942 the *Save* button.
- 943 To view and manage media/asset groups:
- 944 1. On the IRM | Analysis<sup>™</sup> tool, expend *Assets* on the left menu bar.
- 945 2. Under Assets, click on Media/Asset Groups.
- 946 3. On the *Media/Asset Groups* (see Figure 2-6), scroll up and down to view the groups and select a
   947 group by clicking on the *Edit* button.
- 948 4. On the *Edit Media/Asset Groups page* (see <u>Figure 2-7</u>), enter the necessary information and click on
  949 the *Save* button.

950 Figure 2-6: Media/Asset Groups

IRM Pro	⊃ ≡			IRM Analysis - National Cybersect	urity Center of Excellence (NCCoE) -
Hello, 1. 1	Electronic	c Medical Device			
Dashbaard	Media ID	Media	Label	Information Assets	Action
Dashboard	45.1	Electronic Medical Device	Default Passcode	InfusionPumpSystem_1 Model 1	✓ Edit
Framing/Governance	<u> </u>			InfusionPumpSystem_1 Model 2	
Assets	~			InfusionPumpSystem_1 Model 3	
				InfusionPump_2-1	
- Media/Asset Groups				InfusionPump_2-2	
Bisk Determination	->			InfusionPump_4	
a Risk Response	× .			InfusionPump_5	
Documents					
Reports	> 45.2	Electronic Medical Device	Contains ePHI; default	InfusionPump_3	
	->:				
	>				
	Laptop				
	Media ID	Media	Label	Information Assets	Action
	46.1	Laptop		Workstation Applications	🖋 Edit 👘 Delete

951

952 Figure 2-7: Edit Media/Asset Group

IRM Pro	≡				<b>O</b> 4	IRM Analysis - National Cybersecurit	ty Center of Excellence (NCCoE) -
Hello, S. Hello,	🚠 Eo	dit your Media/As	set Group				Media/Asset Groups > Edit your Media/Asset Group
	Organize yo	our assets into unique media	a groups				
Framing/Governance >		rag an Information Asset to the ssets down to add more to that	box on the right to create a ne new group. Drag other Inform	ew Media/Asset group. Th ation Assets up or down t	e new Media/Asset group will o regroup.	I appear at the bottom of the list. Drag additional	Create new Media/Asset Group
Assets ~	Media Id	Media Name	Label	Risk Analyst	Due Date	Information Assets	Drag Information Assets Into this box to make a new Media/Asset Group.
- Asset Inventory Import	45.1	Electronic Medical Device	Default Passcode	Select	¥	InfusionPumpSystem_1 Model 1	
Media/Asset Groups     Risk Determination >						InfusionPumpSystem_1 Model 2	
Risk Response >						InfusionPumpSystem_1 Model 3	
Documents						+ InfusionPump_2-1	
■ Reports >						✤ InfusionPump_2-2	
<b>6</b> ¢¢ Manage Account →						♣ InfusionPump_4	
? Support >							
	45.2	Electronic Medical Device	Contains ePHI; defai	Select	· ·	InfusionPump_3	Copy Risk Determination Information from the source group ?
	Save					Cancel	
<u>р</u> ტ							

953

# 954 *2.5.1.3 Risk Determination*

- The IRM | Analysis<sup>™</sup> tool uses different methods to determine risk. In this section, we show two ways to
- 956 use the tool: Controls Global/Media screen to document the status of a control; and the Risk
- 957 Questionnaire List to select a given Media/Asset group.
- 958 To use the Risk Determination at Global/Media level:
- 959 1. On the IRM|Analysis<sup>™</sup> tool, expand *Risk Determination* on the left menu bar.
- 960 2. Under Risk Determination, click on Controls Global/Media.

- 961 3. On the *Controls Global/Media* page (see <u>Figure 2-8</u>), scroll up and down to view the controls. For
   962 each control, select one of the responses (i.e., Yes, In Progress, No, and N/A) to indicate the
   963 response status.
- 964 Figure 2-8: Controls Global/Media

IRM Pro	E IRMAnatysis	• N	ational Cyber	security	Center of Excel	lence (NCCo	E) -	Ŧ
Hello, .	Controls - Global/Media				Risk De	termination >	Controls - G	ilobal/Media
	Is the organization actively maintaining and enforcing the controls listed below?			Filte Asset R	r Control Type elated Controls	Filter All o	New	Ø 🗗
Dashboard >	100.0% 🖶 Control		Select Or	ie Resp	onse	Clear		B
Framing/Governance >	100% Testing of Password Strengths 🥹 NIST	Yes	In Progress	No	N/A	(3)	0	0 🗈
Assets >	100% E Training for the Security Workforce 💡 NIST	Yes	In Progress	No	N/A	(8)	1.	0 🗈
Bisk Determination	100% 🕂 Two-man Rule 😢 NIST	Yes	In Progress	No	N/A	(*)	0	0 🖬
- Controls - Global/Media	100%  Uninterruptable power supply (UPS)  NIST	Vae	In Progress	No	N/A	@	0	0 🖹
Risk Questionnaire List     Controls Review	100% Cuser Account Management 📀 NIST	Vee	In Progress	No	NUA	0		0 8
- Rating Review		Yes	In Progress	NO	N/A	0		
- Custom Controls		Yes	In Progress	No	N/A			U B
Risk Response >	Turne 😋 User Permissions Reviews 🧭 NIST	Yes	In Progress	No	N/A	(8)	0	0 🖻
Documents	100% Visitor Access Control 🔗 NIST	Yes	In Progress	No	N/A	8	10	0
Reports >	100% Wipe, Erase, or Destroy Disks (Hard Drives, etc.) 🔗 NIST	Yes	In Progress	No	N/A	(3)	0 🔜	0 🖹
Manage Account >	100% Urreless access restrictions 😧 NIST	Yes	In Progress	No	N/A	۲	10	0 🖺
7 Support	100% Wireless Encryption 💡 NIST	Yes	In Progress	No	N/A	8	0 🔜	0
	100% Wireless Link Protection 🔗 NIST	Yes	In Progress	No	N/A	(3)	0 🗾	0 🖺
	100% Ureless Security Policy and Procedures 🤣 NIST	Yes	In Progress	No	N/A	۲	12	0 🗈

965

- 966 To use the Risk Determination at the Asset/Media group level:
- 967 1. On the IRM | Analysis<sup>™</sup> tool, expand *Risk Determination* on the left menu bar.
- 968 2. Under Risk Determination, click on Risk Questionnaire List.
- 969 3. On the *Risk Questionnaire List* page (see <u>Figure 2-9</u>), scroll up and down to view the media/asset
   970 groups.
- For each relevant media/asset group, select the *Risk Analyst*, fill in the *Due Date* and click on the *Continue* button to get in the Risk Questionnaire Form (see Figure 2-10 part 1 and Figure 2-11 part 2).
- 5. For each control, select one of the responses (i.e., *Yes, In Progress, No*, and *N/A*) to indicate the
  response status (example shown in part 1), if it was already noted on the Controls Global/Media
  page.
- 6. Controls can be set globally or for individual Media/Asset Groups. The plus sign will expand the
  control to reveal the Media/Asset Groups so the control can be set individually. To illustrate, a
  global control can be set for Training for the Security Workforce but an individual control would be
  set for each of the Media/Asset groups associated with the User Activity Review since only a subset
  of assets may undergo a User Activity Review.
- 7. Then determine and select the Risk Likelihood and Risk Impact for the selected risk scenario(example shown in part 2) to populate the Risk Rating.
- You may select the question mark for more information on the control and the NIST symbol for a
   quick reference to NIST SP800-53.

#### 986 Figure 2-9: Risk Questionnaire List

IRM Pro	≡			D 🗘 IR	M Analysis 👻 N	ational Cybersecuri	ty Center of Excellen	ce (NCCoE) 👻 🗸
Hello, Thurst Hello,	🐴 Risk	Questionnaire List					Risk Determ	ination > Risk Questionnaire List
	Click Continue to	complete the Risk Questionnaire Form for	a risk or click Review to see the completed f	form			Med	dia/Assets ▼ 🖉 🖨 - 🖽 -
Framing/Governance >	100.0%	Media/Label \$	Information Assets \$	Total Sensitive Records	Risk Analyst ≎	Due Date 🗢	Action	•
Assets >     Risk Determination >	100.0%	Electronic Medical Device / Default Passcode	InfusionPumpSystem_1 Model 1, InfusionPumpSystem_1 Model 2, InfusionPumpSystem 1 Model 3	0	Select	¥	Review	
- Controls - Global/Media			InfusionPump_2-1, InfusionPump_2-2, InfusionPump_4, InfusionPump_5					
Risk Questionnaire List     Controls Review	100.0%	Electronic Medical Device / Contains ePHI; default	InfusionPump_3	0	Select	Y	Review	
- Rating Review								
- Custom Controls								
Risk Response >     Documents				1 25 🔻				

987

#### 988 Figure 2-10: Risk Questionnaire Form (part 1)



989

#### 990 Figure 2-11: Risk Questionnaire Form (part 2)

IRM Pro	≡		D IRM Analysis	- National Cybersecurity Ce	enter of Excellence (NCCoE) +
Hello, He	Controlled access to	o areas with mobile devices	3 c, PE-3 d, PE-3 e, PE-3 f, PE-3 g NIST	Yes In Progress No N	V/A 🏵 🗹 💶 📭
© Dashboard >	+ Inventory Control Pr	rocess 📀	MA-2 a, MA-2 b, MA-2 c, MA-2 CE1, MA-2 CE2, MA-2 d, MA 2 e <b>NIST</b>	Yes In Progress No N	V/A 🕄 🔽 10 🗈
Framing/Governance >	+ Physical Access M	onitoring 😮	PE-6 a, PE-6 b, PE-6 c NIST	Yes In Progress No N	VA 🏵 🔽 💶 📭
The Assets the Assets the Assets the Assets the Asset of	+ Physical Security P	olicy and Procedures 💡	PE-1 a, PE-1 b NIST	Yes In Progress No	VA 🕄 🔽 📭 📭
Risk Determination      Controls - Global/Media	+ Physically Securing	Devices or Systems When Not in Use 💡	PE-1 a, PE-1 b, PE-2 a, PE-2 b, PE-2 c, PE-3 a, PE-3 b, PE- 3 c, PE-3 d, PE-3 e, PE-3 f, PE-3 g NIST	Yes In Progress No N	N/A 🏽 🕐 💶 💵
- Risk Questionnaire List	+ Security/privacy Aw	areness and Training 🔞	AT-1 a, AT-1 b, AT-2, AT-3, AT-4 a, AT-4 b NIST	Yes In Progress No N	VA 🙁 🔽 💶 📭
Curring Review	Add a Custom Control o	or Recommendation	_		
Risk Response >	Risk Rating for this	Threat/Vulnerability for the Media/A	sset(s) Listed Above		
Documents		Description			Risk Rating 🍘 Risk Notes 🤗
Reports >	Risk Likelihood 💡	What is the <b>probability</b> (likelihood) of an advers given predisposing conditions, the controls lister	e impact to the organization considering the ability of this threat to exploi d above and other significant controls in place for this media/asset? 💡	it this vulnerability Ran	e 🕶 🛛 📰
Support     Support	Risk Impact 💡	What is the magnitude of harm (impact) that c: were to exploit this vulnerability given the predis media/asset?	an be expected to the confidentiality, integrity or availability of sensitive in posing conditions, controls given above and other significant controls in	formation if this threat place for this Mode	3 rate =
			Return to Risk Questionnaire List	Go to the r	text Threat/Vulnerability for this Media

991

#### 992 2.5.1.4 Risk Response

The IRM|Analysis<sup>™</sup> tool enables users to try different methods of reviewing risk scenarios, acquiring a
 risk rating, and seeing progress in a risk response workflow. The basics of using the tool follow.

995 Consider following these risk response steps:

- 1. In the IRM|Analysis<sup>™</sup> tool, expand *Risk Response* in the left menu bar.
- 997 2. Under Risk Response, click on Risk Response List.
- Only those risks which exceed the risk threshold established under *Framing/Governance* in the left
   menu bar will move to the Risk Response portion of the software.
- 4. On the *Risk Response List* page (see <u>Figure 2-12</u>), scroll up and down to view the Medial/Asset
   Groups along with the associated threat source, vulnerability, and risk rating.
- For each relevant risk response, click on the button under the Treatment column to enter the *Risk Treat and Evaluate Form* page of that risk (see Figure 2-13).
- 6. On the *Risk Treat and Evaluate Form* page, perform the risk response analysis by selecting the risk treatment type; evaluate the control or recommendation; select risk owner; put risk notes, and so on.

#### 1007 Figure 2-12: Risk Response List - Risk Registry



1008

1009 Figure 2-13: Risk Treat and Evaluate Form

IRM	Pro	=		<b>Q</b> 4	IRM Analysis 🗸	National Cybersecurity Ce	enter of Excellence (NCCoE) -	
Hello,	a te	Risk Treat and Evaluate	e Form				Risk Response > Risk Treat and	I Evaluate Form
Dashboard		Select Risk Treatment, Alternatives, Residual	Risk and Status'					
Framing/Gov		Risk Analysis Findings					Select a Treatment	lype 💡
Assets		Media/Label         Information Assets           Electronic Medical Device / Contains         InfusionPump_3		Threat Source Careless IT Personnel	Threat Event	Vulnerability Risk Destruction/Disposal	Rating ? Risk Treatment Ty	pe
Risk Determi		errin, deladut			OF MEDIA	vumeralumoes	Midgat	e •
Risk Respons		Evaluate alternatives that would prevent this the	eat from exploiting the vulnerabilities listed	above			0	н
- Risk Respons		Control or Recom	mendation Control Response	Effectiveness * Esti	imated Cost Feasibility*	Global Action * ?	Clear	8 9
- Risk Action P		+ 100% Device Re-use and Disposal Policy and	Procedures 🕜 NIST No	Highly Effective -	0 Highly Feasible	Enhance 🕶	12 01 3	12 M
- Risk Reconcil		+ 100% Security/privacy Awareness and Training	NIST No	Select - \$	0 Select -	Not applicable	1. 01 8	2
Reports		O% Training for the Security Workforce	NIST No	Select - \$	0 Select -	Select -	1. 🗈 🏵	
Manage Acco		Add a Custom Control or Recommendation					* Indicates a required field	
? Support								
		Select a Risk Owner ?	Risk Notes	12 8	Select the Residual Risk	8 0	Select a Status	
		Risk Owner	Risk Note		Risk Threshold	15		
		Select -			Risk Likelihood 🔞			
:ps://software.clearwaterco	ch ompliance.com/dashbc	Send Email Notification			Risk Impact 🕜	Impact	Approval 🕜	

1010

# 1011 2.5.1.5 Dashboard and Report

1012 The IRM|Analysis<sup>™</sup> tool enables users to review their risk analyses with a dashboard or report format.

1013 To access the dashboard views, follow these steps:

- 1014 1. On the IRM|Analysis<sup>™</sup> tool, expand *Dashboard* on the left menu bar
- 1015 2. Under Dashboard, click on Rating Distribution by Asset

- 1016 3. Example Dashboard: Rating Distribution by Asset page (see Figure 2-14 below)
- 1017 You can also view other types of dashboards, such as *Risk Rating Trends* and *Risk Rating Averages*.
- 1018 Figure 2-14: Dashboard Example



1019 1020

- 1021 For report views, follow these steps:
- 1022 1. On the IRM|Analysis<sup>™</sup> tool, expand *Reports* on the left menu bar
- 1023 2. Under Reports, click on Risk Rating Report
- 1024 3. Example Report: *Risk Rating Report* page is showing (see Figure 2-15 below)
- 1025 You can also view other types of dashboards, such as *Risk Rating Trends* and *Risk Rating Averages*.

#### 1026 Figure 2-15: Report Example

IRM Pro	≡	D 🗘 IRM	I Analysis - National Cybe	rsecurity Center of Excellent	ce (NCCoE) 👻	
Hello, for the second	Risk Rating Report				Reports > Risk	Rating Report
	View or print documentation of the Risk Analysi	s 9 00			History current -	r 🖶 - 🛛 -
Dashboard >     Framing/Governance >	Media / Label \$	Asset Name(s) ≑	Threat Source/Event \$	Vulnerability 🗢	Likelihoo d Impact <del>(</del>	¢ Rating ≑
Assets     Assets     Assets	Electronic Medical Device / Contains ePHI; default	InfusionPump_3	Malware / Theft of Sensitive Data	Anti-malware Vulnerabilities 3	3 3	9
Risk Response >	Laptop	Workstation Applications	Malware / Theft of Sensitive Data	Anti-malware Vulnerabilities	3	3
Documents	Laptop / Vendor Supplied	InfusionPump_3	Malware / Theft of Sensitive Data	Anti-malware Vulnerabilities	3	3
Reports     Risk Rating Report	Server	InfusionPumpSystem_1 Model 1, InfusionPumpSystem_1 Model 2, InfusionPumpSystem_1 Model 3, InfusionPump_2-1, InfusionPump_2-2, InfusionPump_3, InfusionPump_4, InfusionPump_5	Malware / Theft of Sensitive Data	Anti-malware Vulnerabilities	3	3
Risk Rating Detail Report     Asset Inventory Report	Disk Array	InfusionPumpSystem_1 Model 1, InfusionPumpSystem_1 Model 2, InfusionPumpSystem_1 Model 3, InfusionPump_2-1, InfusionPump_2-2, InfusionPump_3, InfusionPump_4, InfusionPump_5	Careless User / Information L eakage	Destruction/Disposal Vulnerabilities 3 ?	5 5	15
<ul> <li>Risk Response Detail Report</li> <li>Enterprise Extracts</li> </ul>	Disk Array	InfusionPumpSystem_1 Model 1, InfusionPumpSystem_1 Model 2, InfusionPumpSystem_1 Model 3, InfusionPump_2-1, InfusionPump_2-2, InfusionPump_3, InfusionPump_4, InfusionPump_5	Careless IT Personnel / Impr oper Destruction, Disposal or Reuse of Media	Destruction/Disposal Vulnerabilities 4	5	20
Version History      Anage Account >	Electronic Medical Device / Contains ePHI; default	InfusionPump_3	Careless User / Information L eakage	Destruction/Disposal Vulnerabilities 2	2 4	8
? Support >	Electronic Medical Device / Contains ePHI; default	InfusionPump_3	Careless IT Personnel / Impr oper Destruction, Disposal or Reuse of Media	Destruction/Disposal Vulnerabilities 4	4	16
	Laptop	Workstation Applications	Careless User / Information L eakage	Destruction/Disposal Vulnerabilities 1	5	5

# 1028 2.5.2 MDISS MDRAP

1027

- We used MDISS's cloud-based Medical Device Risk Assessment Platform (MDRAP), a questionnaire based risk assessment tool to conduct the assessment on the medical devices. In our environment, we
   set up and configured wireless infusion pump systems from five manufactures and built the enterprise
   network to simulate a typical HDO environment.
- Please note, this section does not show you how to conduct a risk assessment. Instead, we show thesebasic steps for using the MDRAP tool:
- 1035 Login to MDRAP
- 1036 Conduct Device Inventory
- 1037 Risk Assessment
- 1038 Dashboard and Reports.

#### 1039 2.5.2.1 Login to MDRAP

- 1040 1. Within a browser, type https://mdrap.mdiss.org/ and click on Log In
- 1041 2. On the Login page (see Figure 2-16), enter the appropriate email and password
- 1042 3. Click on Submit.

1043 Figure 2-16: MDRAP Login Page

MADRAP		
Log i	n.	
Email		
Password		
Remember M     SUBMIT	Ne? REGISTER AS A NEW USER	FORGOT YOUR PASSWORD?

1044

1045 2.5.2.2 Conduct Device Inventory

We use the Device Inventory module of MDRAP to keep track all the infusion pumps and servers in our
sample implementation. Add Device, per its name, enables us to add individual devices, while Bulk
Import enables us to add a group of devices. Steps for using both methods follow.

- On the Welcome to MDRAP page (see Figure 2-17), click on Device Inventory on the menu bar or on the View Device Inventory link on the page.
- On the Device Inventory page (Figure 2-18), add an individual device, or edit a device, or bulk import
   a group of devices.

1054 1055

1056 1057

1058

#### 1053 Figure 2-17: MDRAP Welcome page

	Welcome to MDRA	P!		
	MDRAP, a product of the MDISS c	ommunity, is the Medical Device F	Risk Assessment Platform wh	nich
	assists healthcare systems and de security risks of their medical devi	evice manufacturers in understan-	ding, analyzing and mitigating	j the
	Use the menu above to navigate.			
	View Device Inventory	View MDS2 Library	Go to Assessments	
	Lo	•		
	Review Device Inventory	MDS2 Library	View Existing Assessmen	nts
	Review the devices assigned to your institution/company and add additional devices from the master FDA list. Once you have devices in your inventory, you can perform Risk Assessments on them.	Online Library of MDS2 Manufacturer's Disclosure Statements; Searchable by Device Manufacturer & Name.	View the list of Assessments that have been o and/or completed by you on the devices in inventory.	created your
ure 2-18: [ evice Inver	Device Inventory List	clicking on the title. To add a new Device,	click the Add Device button.	
ure 2-18: [ evice Inver is is your Device I	Device Inventory List	clicking on the title. To add a new Device, (	Click the Add Device button.	BULK IMPORT 1
ure 2-18: I evice Inver is is your Device I	Device Inventory List htory nventory. You may view/edit any of these by o	clicking on the title. To add a new Device, d	Click the Add Device button.	BULK IMPORT 1
ure 2-18: I evice Inver is is your Device I	Device Inventory List htory nventory. You may view/edit any of these by o	clicking on the title. To add a new Device, o	Click the Add Device button.	BULK IMPORT t
ure 2-18: E evice Inver is is your Device I	Device Inventory List htory nventory. You may view/edit any of these by o tory	clicking on the title. To add a new Device, d	Click the Add Device button.	BULK IMPORT 1
ure 2-18: I evice Inver is is your Device I Search Inver	Device Inventory List ntory nventory. You may view/edit any of these by o tory INVENTORY	clicking on the title. To add a new Device, o	Click the Add Device button. ADD DEVICE + PRE-PROCUREMENT	BULK IMPORT 1 ADVANCED
ure 2-18: I         evice Inver         is is your Device I         Search Inver         NCCOE-P1         InfusionPump_         located at Test E         Class 2 device	Device Inventory List htory nventory. You may view/edit any of these by o tory INVENTORY  1-1 nvironment (Test Room)	clicking on the title. To add a new Device, o	Click the Add Device button. ADD DEVICE + PRE-PROCUREMENT In Service Date: 02/07/2017	BULK IMPORT 14 ADVANCED (14 devi
ure 2-18: I         evice Inver         is is your Device I         Search Inver         Search Inver         NCCOE-P1         InfusionPump_         located at Test E         Class 2 device         (FRN) Pump, Infu	Device Inventory List htory nventory. You may view/edit any of these by o tory INVENTORY  1-1 nvironment (Test Room) usion	clicking on the title. To add a new Device, o	Click the Add Device button.          ADD DEVICE       +         PRE-PROCUREMENT         In Service Date:         02/07/2017	BULK IMPORT 1 ADVANCED (14 devi
NCCoE-P1         InfusionPump_         located at Test E         Class 2 device         (FRN) Pump, Infu	Device Inventory List htory nventory. You may view/edit any of these by of tory INVENTORY 1-1 nvironment (Test Room) 1-2 nvironment (Test Room)	clicking on the title. To add a new Device, o	Click the Add Device button. ADD DEVICE + PRE-PROCUREMENT In Service Date: 02/07/2017 In Service Date: 02/07/2017	BULK IMPORT 1 ADVANCED (14 devi
NCCoE-P1 InfusionPump_ located at Test E Class 2 device ( <i>FRN</i> ) Pump, Infu	Device Inventory List htory nventory. You may view/edit any of these by of tory INVENTORY I-1 nvironment (Test Room) usion I-2 nvironment (Test Room)	clicking on the title. To add a new Device, o	Click the Add Device button. ADD DEVICE + PRE-PROCUREMENT In Service Date: 02/07/2017 In Service Date: 02/07/2017	BULK IMPORT 1 ADVANCED (14 devi

1059 2. On Add Device page (see <u>Figure 2-19</u> below), locate the device from the Category List, then click on ADD.

# 1061 Figure 2-19: Add Device

(414 d
(414 d
_
of the Alaris®
d monitoring
-
_
ADD

1063 Edit a device:

1062

- On the Device Inventory page (see Figure 2-18 above), locate the device from the list, click on the product name link or the Edit icon.
- 1066 2. On the Edit Inventory page (see <u>Figure 2-20</u> below), update the data and click on Save.

# 1067 Figure 2-20: Edit Device

DETAILS			ATTACHMENTS	
Device Name		(i) ×	Assessment Phase	
Search for a Device			Inventory	$\sim$
Inventory Name InfusionPump_1-1				
Location	Care Delivery Area			
Test Environment	/ Test Room	~		
Serial #	Asset Tag #		In Service Date 02/07/2017	
Notes				
ulk Import a group of c On the Device Inve button.	levices: ntory page (see <u>F</u>	-igure 2-18:	CANCEL	List above), click on BUL
<ul> <li>Bulk Import a group of c</li> <li>On the Device Invebutton.</li> <li>On Inventory Bulk Inthe template.</li> <li>Follow the instruction</li> </ul>	levices: ntory page (see <u>F</u> nport page (see <u>F</u> on to upload and	Figure 2-18: Figure 2-21 import the	Device Inventory below), download devices by using	List above), click on BUL d the template, fill-in the the template (see <u>Figure</u>
<ul> <li>Sulk Import a group of c</li> <li>On the Device Invebutton.</li> <li>On Inventory Bulk In the template.</li> <li>Follow the instruction</li> <li>igure 2-21: Inventory E</li> </ul>	levices: ntory page (see <u>F</u> nport page (see <u>F</u> on to upload and Bulk Import	Figure 2-18: Figure 2-21 import the	CANCEL Device Inventory below), download devices by using	List above), click on BUL d the template, fill-in the the template (see Figure
<ul> <li>Bulk Import a group of c</li> <li>On the Device Invebutton.</li> <li>On Inventory Bulk In the template.</li> <li>Follow the instruction</li> <li>Foll</li></ul>	levices: ntory page (see <u>F</u> nport page (see <u>F</u> on to upload and Bulk Import	Figure 2-18: Figure 2-21 import the	Device Inventory below), download devices by using	List above), click on BUL d the template, fill-in the the template (see Figure
<ul> <li>Julk Import a group of c</li> <li>On the Device Invebutton.</li> <li>On Inventory Bulk In the template.</li> <li>Follow the instruction</li> <li>igure 2-21: Inventory Bulk Import</li> <li>Bulk Upload is a facilitated activity. your inventory on a new row. The template is a second second</li></ul>	levices: ntory page (see <u>F</u> nport page (see <u>f</u> on to upload and <b>Bulk Import</b>	Figure 2-18: Figure 2-21 import the nload the MDRAP uired columns and	CANCEL Device Inventory below), download devices by using	SAVE List above), click on BUL d the template, fill-in the the template (see Figure
<ul> <li>Bulk Import a group of control of the Device Inversion button.</li> <li>On Inventory Bulk Inthe template.</li> <li>Follow the instruction</li> <li>Follow the instruction</li> <li>Follow the instruction</li> <li>Bulk Upload is a facilitated activity. your inventory Bulk Import</li> <li>Bulk Upload is a facilitated activity. your inventory on a new row. The template of the inventory is loaded into M</li> </ul>	levices: ntory page (see <u>F</u> nport page (see <u>F</u> on to upload and <b>Bulk Import</b> To get started, please dow emplate will notate any requ our inventory, send your file DRAP.	Figure 2-18: Figure 2-21 import the nload the MDRAP uired columns and a to MDRAP custor	Device Inventory below), download devices by using Device Inventory template f formatting guidelines. mer support at support@md	SAVE List above), click on BUL d the template, fill-in the the template (see Figure the template (see Figure

# 1078 Figure 2-22: Device inventory Template Sample

	MDRAP Device Inventory Template							
								version 1.0.0 last updated 6/29/2016
* Required								
** Enter a custom	name as you refer to	the device in y	our Organization; otherwise, le	ave it blank and it will	default to ti	he Device Nan	пе	
Device Name * 💌	Manufacturer * 💌	Location * 💌	Department / Care Area * 💌	Custom Name ** 💌	Serial # 💌	Asset Tag 💌	In Service On 💌	Notes
InfusionPump_1-1	NCCoE-P1	NCCoE	Health Lab	CoE User				
PumpServer_1	NCCoE-P1	NCCoE	Health Lab	NCCoE User				
InfusionPump_1-2	NCCoE-P1	NCCoE	Health Lab	NCCoE User				
NetworkSetup_1	NCCoE-P1	NCCoE	Health Lab	NCCoE User				
InfusionPump_2-1	NCCoE-P2	NCCoE	Health Lab	NCCoE User				
InfusionPump_2-2	NCCoE-P2	NCCoE	Health Lab	NCCoE User				
PumpServer_2	NCCoE-P2	NCCoE	Health Lab	NCCoE User				
InfusionPump_3	NCCoE-P3	NCCoE	Health Lab	NCCoE User				
PumpServer_3	NCCoE-P3	NCCoE	Health Lab	NCCoE User				
NetworkSetup_3	NCCoE-P3	NCCoE	Health Lab	NCCoE User				
InfusionPump_4	NCCoE-P4	NCCoE	Health Lab	NCCoE User				
PumpServer_4	NCCoE-P4	NCCoE	Health Lab	NCCoE User				
InfusionPump_5	NCCoE-P5	NCCoE	Health Lab	NCCoE User				
PumpServer_5	NCCoE-P5	NCCoE	Health Lab	NCCoE User				
Invento	ry Locations	Departments	+			1	1	: 4

# 1080 2.5.2.3 Risk Assessment

1081 We created a risk assessment for each device by responding to the MDRAP's built-in questionnaire. The1082 basic steps of creating a risk assessment for a given device follow:

1083 1. Create assessment

1079

- 1084d. On the Welcome to MDRAP page (see Figure 2-17 above), click on Assessments on the menu1085bar or Go to Assessments link on the page.
- 1086 e. On *Create Assessment* page 1(see Figure 2-23), select a device.
- 1087f.On Create Assessment page 2 (see Figure 2-24), select Questionnaire type (i.e., MDISS1088Questionnaire).
- 1089g. Answer the questions and then click Next button (see example questionnaire pages in Figure10902-25 and Figure 2-26).

1091	Figure 2-23: Create Assessment (part 1)							
	Create Assessment							
	To add a new Assessment, first select a Device in your Inventory.							
	Q Search Inventory	ADVANCED						
		(14 devices)						
	NCCoE-P1 InfusionPump_1-1 located at Test Environment (Test Room) Class 2 device <i>(FRN) Pump, Infusion</i> In Service Date: 02/07/2017							
	NCCoE-P1 InfusionPump_1-2 located at Test Environment (Test Room) Class 2 device (FRN) Pump, Infusion In Service Date: 02/07/2017							
1092		CANCEL ADD						

# 1093 Figure 2-24: Create Assessment (part 2)

Create Assessment	
To add a new Assessment, first select a Device in your Invent	ory.
InfusionPump_1-2	
Assessment Title	
MDISS Assessment for InfusionPump_1-2	
Select the Risk Assessment Questionnaire form to use	
MDISS Questionnaire $\vee$	The MDISS Questionnaire risk assessment form is based of the MDS2 Manufacturer's Disclosure form and includes
The MDISS questionnaire is the recommended default for risk assessment and scoring.	some additional details. It is designed to be compatible wit the MDISS risk scoring analytics model and is the preferred and recommended risk assessment form for use with MDRAP.

1094

Management of Private Data		#1/	/4
Can this device store, display, transmit or maintain Private Data O Yes O No	(including electronic Protected Health Information (ePHI))?	A.01	
⊙ Add ©omment			
PREVIOUS Figure 2-26: Assessment Ste MDISS Assessment for	p (example 2)	NEXT >	Back to Asssess
C PREVIOUS Figure 2-26: Assessment Ste MDISS Assessment for InfusionPump_1-2 MDISS 8.3 % completed Assessment last updated on 04/07/2017 15:10.09	p (example 2) NCCOE-P1 InfusionPump_1-2	NEXT >	Back to Asssess Sum Q
PREVIOUS  Figure 2-26: Assessment Ste  MDISS Assessment for InfusionPump_1-2 MDISS 8.3 % completed Assessment last updated on 04/07/2017 15:10.09	p (example 2)		Back to Assession Sum Q 11 
PREVIOUS  Figure 2-26: Assessment Ste  MDISS Assessment for InfusionPump_1-2 MDISS Assessment last updated on 04/07/2017 15:10.09  Other Questions Affecting Exposure	p (example 2)		Back to Asssess Sumi Q 11 83%
PREVIOUS  Figure 2-26: Assessment Ste  MDISS Assessment for InfusionPump_1-2 MDISS Assessment last updated on 04/07/2017 15:10.09  Cother Questions Affecting Exposure  Does the device or app run behind a subnet (e.g. departn  Yes No No	nent) firewall?		Back to Assessor Sumr Q II asw # B.0

# 1095 Figure 2-25: Assessment Step (example 1)

1099 2.5.2.4 Dashboard and Reports

1100 MDRAP computes assessment results based on the responses to the questionnaires. For a given

assessment (complete or partially complete), the assessment result is available for view as a dashboard
 (see Figure 2-27) or report (see Figure 2-28).

1104

1106

#### 1103 Figure 2-27: Assessment Result (dashboard example)





Category	Level of Effort	Likelihood	Risk	Notes
Audit Controls	1	3.367	5.25	* Patient identity not captured.
Authorization	1	5.5	3.75	<ul> <li>* Authorization can be bypassed using an API.</li> <li>* Operator can acquire root-level privilege.</li> <li>* Root-level privilege is the only authorization mode.</li> </ul>
Automatic Logoff	1	0.7	6	
Cyber Security Product Upgrades	1	1.295	1.175	* Device OS is not supported by the OS manufacturer.
Malware Detection / Protection	1	5.5	4	* No Virus Protection
Other Scoreable MDS2 Security Categories	1	2.375	0.453	* No encrypption of data at rest. * No Fuzz-testing performed * Some device storage components not physically secured.
Other Security Considerations - Remote Access	1	1	3.275	* Maintenance users require root privilege.
Person Authentication	1	0.4	5.6	* Device does not store, display, transmit, or maintain ePHI. * Passwords cannot be set to expire. * Person authentication is not supported.
System and Application Hardening	1	4.32	1.907	<ul> <li>* Device transmits data in the clear on shared networks.</li> <li>* System does not allow file-level access controls.</li> <li>* Unnecessary services active.</li> </ul>
Transmission Confidentiality &	1	0.28	2.118	

# Appendix A Baseline Configuration File

# A.1 Baseline Configuration File

```
ASA Version 9.6(1)
!
interface Management0/0
ip address 192.168.29.149 255.255.255.0
ļ
! optional, SSH, version is important as v1 is insecure and on by default, also set your own password!
aaa authentication ssh console LOCAL
! set to network and interface you want to manage from, can be WAN
ssh 192.168.29.0 255.255.255.0 management
ssh version 2
l
hostname internal-kmcfadde
I
! Configure network interfaces
interface GigabitEthernet0/0
nameif WAN
security-level 50
ip address 192.168.100.149 255.255.255.0
no shutdown
! optional, authenticated OSPF for excellence
ospf authentication-key [L]N]@Uv
ospf authentication message-digest
ļ
interface GigabitEthernet0/1
nameif LAN
security-level 100
ip address 192.168.150.1 255.255.255.0
```

```
no shutdown
ļ
! optional, DHCP Server
dhcpd address 192.168.150.220-192.168.150.250 LAN
dhcpd dns 8.8.8.8 8.8.4.4
dhcpd option 3 ip 192.168.150.1
dhcpd enable LAN
!
! optional, OSPFv2
router ospf 1
network 192.168.100.0 255.255.255.0 area 0
redistribute connected subnets
redistribute static subnets
ļ
! Configure DNS resolution here, required for license activation
dns domain-lookup WAN
dns server-group DefaultDNS
name-server 8.8.8.8
name-server 8.8.4.4
!
license smart
feature tier standard
throughput level 1G
names
!
! optional, Configure time zone and NTP here
clock timezone EST -5
clock summer-time EDT recurring
ntp server 10.97.74.8
ļ
```

```
! Allow ping through LAN to WAN
policy-map global_policy
class inspection default
inspect icmp
inspect icmp error
!
! Show up in traceroute
policy-map global_policy
class class-default
set connection decrement-ttl
1
! Make ICMP/UDP traceroute work from LAN to WAN
object-group icmp-type PING-REPLIES
icmp-object echo-reply
object-group icmp-type TRACEROUTE-REPLIES
icmp-object time-exceeded
icmp-object unreachable
group-object PING-REPLIES
access-list 101 extended permit icmp any any object-group TRACEROUTE-REPLIES
access-list 101 extended permit icmp any any object-group PING-REPLIES
!
! Allow ICMP ping/traceroute from WAN to LAN
object-group icmp-type PING
icmp-object echo
access-list 101 extended permit icmp any any object-group PING
1
! Allow UDP traceroute from WAN to LAN
object-group service TRACEROUTEUDP
service-object udp destination gt 33434
access-list 101 extended permit object-group TRACEROUTEUDP any any
```

! ! example, allow a specific port on a host ! access-list 101 extended permit tcp any host 192.168.140.XXX eq www ! ! Add firewall rules we created to WAN interface access-group 101 in interface WAN ļ ! Example, set a static route ! route WAN 192.168.140.0 255.255.255.0 192.168.100.111 ļ ! SNMP object network SNMPHOSTS subnet 192.168.29.0 255.255.255.0 snmp-server enable snmp-server community public snmp-server host-group management SNMPHOSTS

# A.2 External Firewall and Guest Network ASA Configuration File

```
: Saved
:
: Serial Number: 9AK64JT2D2M
: Hardware: ASAv, 2048 MB RAM, CPU Xeon E5 series 2200 MHz
:
ASA Version 9.6(1)
!
hostname border-kmcfadde
enable password 8Ry2YjIyt7RRXU24 encrypted
xlate per-session deny tcp any4 any4
xlate per-session deny tcp any4 any6
xlate per-session deny tcp any6 any4
xlate per-session deny tcp any6 any6
xlate per-session deny udp any4 any4 eq domain
xlate per-session deny udp any4 any6 eq domain
xlate per-session deny udp any6 any4 eq domain
xlate per-session deny udp any6 any6 eq domain
ļ
license smart
feature tier standard
throughput level 1G
names
!
interface GigabitEthernet0/0
nameif WAN
```

security-level 0 ip address 10.32.3.10 255.255.255.0 !

interface GigabitEthernet0/1 nameif LAN security-level 100 ip address 192.168.100.101 255.255.255.0 ospf authentication-key \*\*\*\*\* ospf authentication message-digest ļ interface GigabitEthernet0/2 nameif GUEST security-level 100 ip address 192.168.170.1 255.255.255.0 ļ interface GigabitEthernet0/3 shutdown no nameif no security-level no ip address ! interface GigabitEthernet0/4 shutdown no nameif no security-level no ip address ļ interface GigabitEthernet0/5 shutdown no nameif no security-level no ip address ļ

interface GigabitEthernet0/6

shutdown

no nameif

no security-level

no ip address

ļ

interface GigabitEthernet0/7

shutdown

no nameif

no security-level

no ip address

ļ

interface GigabitEthernet0/8

shutdown

no nameif

no security-level

no ip address

ļ

interface Management0/0

management-only

nameif management

security-level 0

ip address 192.168.29.147 255.255.255.0

ļ

ftp mode passive

clock timezone EST -5

clock summer-time EDT recurring

dns domain-lookup WAN

dns server-group DefaultDNS

name-server 8.8.8.8

name-server 8.8.4.4 object network LAN-SUBNETS subnet 192.168.0.0 255.255.0.0 object network SNMPHOSTS subnet 192.168.29.0 255.255.255.0 object-group icmp-type PING-REPLIES icmp-object echo-reply object-group icmp-type TRACEROUTE-REPLIES icmp-object time-exceeded icmp-object unreachable group-object PING-REPLIES object-group icmp-type PING icmp-object echo object-group service TRACEROUTEUDP service-object udp destination gt 33434 access-list 101 extended permit icmp any any object-group TRACEROUTE-REPLIES pager lines 23 mtu WAN 1500 mtu LAN 1500 mtu management 1500 mtu GUEST 1500 no failover no monitor-interface service-module icmp unreachable rate-limit 1 burst-size 1 no asdm history enable arp timeout 14400 no arp permit-nonconnected 1 object network LAN-SUBNETS nat (LAN,WAN) dynamic interface

access-group 101 in interface WAN ! route-map DEFAULT permit 10 match interface WAN

```
ļ
```

router ospf 1 network 192.168.100.0 255.255.255.0 area 0 log-adj-changes redistribute connected subnets redistribute static subnets default-information originate ! route WAN 0.0.0.0 0.0.0.0 10.32.3.1 1 timeout xlate 3:00:00 timeout pat-xlate 0:00:30 timeout conn 1:00:00 half-closed 0:10:00 udp 0:02:00 sctp 0:02:00 icmp 0:00:02 timeout sunrpc 0:10:00 h323 0:05:00 h225 1:00:00 mgcp 0:05:00 mgcp-pat 0:05:00 timeout sip 0:30:00 sip media 0:02:00 sip-invite 0:03:00 sip-disconnect 0:02:00 timeout sip-provisional-media 0:02:00 uauth 0:05:00 absolute timeout tcp-proxy-reassembly 0:01:00 timeout floating-conn 0:00:00 user-identity default-domain LOCAL aaa authentication ssh console LOCAL snmp-server host-group management SNMPHOSTS poll community \*\*\*\*\* no snmp-server location no snmp-server contact snmp-server community \*\*\*\*\* crypto ipsec security-association pmtu-aging infinite crypto ca trustpoint \_SmartCallHome\_ServerCA

no validation-usage

crl configure

crypto ca trustpool policy

auto-import

crypto ca certificate chain \_SmartCallHome\_ServerCA

certificate ca 6ecc7aa5a7032009b8cebcf4e952d491

308205ec 308204d4 a0030201 0202106e cc7aa5a7 032009b8 cebcf4e9 52d49130 0d06092a 864886f7 0d010105 05003081 ca310b30 09060355 04061302 55533117 30150603 55040a13 0e566572 69536967 6e2c2049 6e632e31 1f301d06 0355040b 13165665 72695369 676e2054 72757374 204e6574 776f726b 313a3038 06035504 0b133128 63292032 30303620 56657269 5369676e 2c20496e 632e202d 20466f72 20617574 686f7269 7a656420 75736520 6f6e6c79 31453043 06035504 03133c56 65726953 69676e20 436c6173 73203320 5075626c 69632050 72696d61 72792043 65727469 66696361 74696f6e 20417574 686f7269 7479202d 20473530 1e170d31 30303230 38303030 3030305a 170d3230 30323037 32333539 35395a30 81b5310b 30090603 55040613 02555331 17301506 0355040a 130e5665 72695369 676e2c20 496e632e 311f301d 06035504 0b131656 65726953 69676e20 54727573 74204e65 74776f72 6b313b30 39060355 040b1332 5465726d 73206f66 20757365 20617420 68747470 733a2f2f 7777772e 76657269 7369676e 2e636f6d 2f727061 20286329 3130312f 302d0603 55040313 26566572 69536967 6e20436c 61737320 33205365 63757265 20536572 76657220 4341202d 20473330 82012230 0d06092a 864886f7 0d010101 05000382 010f0030 82010a02 82010100 b187841f c20c45f5 bcab2597 a7ada23e 9cbaf6c1 39b88bca c2ac56c6 e5bb658e 444f4dce 6fed094a d4af4e10 9c688b2e 957b899b 13cae234 34c1f35b f3497b62 83488174 d188786c 0253f9bc 7f432657 5833833b 330a17b0 d04e9124 ad867d64 12dc744a 34a11d0a ea961d0b 15fca34b 3bce6388 d0f82d0c 948610ca b69a3dca eb379c00 48358629 5078e845 63cd1941 4ff595ec 7b98d4c4 71b350be 28b38fa0 b9539cf5 ca2c23a9 fd1406e8 18b49ae8 3c6e81fd e4cd3536 b351d369 ec12ba56 6e6f9b57 c58b14e7 0ec79ced 4a546ac9 4dc5bf11 b1ae1c67 81cb4455 33997f24 9b3f5345 7f861af3 3cfa6d7f 81f5b84a d3f58537 1cb5a6d0 09e4187b 384efa0f 02030100 01a38201 df308201

db303406 082b0601 05050701 01042830 26302406 082b0601 05050730 01861868 7474703a 2f2f6f63 73702e76 65726973 69676e2e 636f6d30 12060355 1d130101 ff040830 060101ff 02010030 70060355 1d200469 30673065 060b6086 480186f8 45010717 03305630 2806082b 06010505 07020116 1c687474 70733a2f 2f777777 2e766572 69736967 6e2e636f 6d2f6370 73302a06 082b0601 05050702 02301e1a 1c687474 70733a2f 2f777777 2e766572 69736967 6e2e636f 6d2f7270 61303406 03551d1f 042d302b 3029a027 a0258623 68747470 3a2f2f63 726c2e76 65726973 69676e2e 636f6d2f 70636133 2d67352e 63726c30 0e060355 1d0f0101 ff040403 02010630 6d06082b 06010505 07010c04 61305fa1 5da05b30 59305730 55160969 6d616765 2f676966 3021301f 30070605 2b0e0302 1a04148f e5d31a86 ac8d8e6b c3cf806a d448182c 7b192e30 25162368 7474703a 2f2f6c6f 676f2e76 65726973 69676e2e 636f6d2f 76736c6f 676f2e67 69663028 0603551d 11042130 1fa41d30 1b311930 17060355 04031310 56657269 5369676e 4d504b49 2d322d36 301d0603 551d0e04 1604140d 445c1653 44c1827e 1d20ab25 f40163d8 be79a530 1f060355 1d230418 30168014 7fd365a7 c2ddecbb f03009f3 4339fa02 af333133 300d0609 2a864886 f70d0101 05050003 82010100 0c8324ef ddc30cd9 589cfe36 b6eb8a80 4bd1a3f7 9df3cc53 ef829ea3 a1e697c1 589d756c e01d1b4c fad1c12d 05c0ea6e b2227055 d9203340 3307c265 83fa8f43 379bea0e 9a6c70ee f69c803b d937f47a 6decd018 7d494aca 99c71928 a2bed877 24f78526 866d8705 404167d1 273aeddc 481d22cd 0b0b8bbc f4b17bfd b499a8e9 762ae11a 2d876e74 d388dd1e 22c6df16 b62b8214 0a945cf2 50ecafce ff62370d ad65d306 4153ed02 14c8b558 28a1ace0 5becb37f 954afb03 c8ad26db e6667812 4ad99f42 fbe198e6 42839b8f 8f6724e8 6119b5dd cdb50b26 058ec36e c4c875b8 46cfe218 065ea9ae a8819a47 16de0c28 6c2527b9 deb78458 c61f381e a4c4cb66

quit

telnet timeout 5

ssh stricthostkeycheck

ssh 192.168.29.0 255.255.255.0 management

ssh timeout 5

ssh version 2

```
ssh key-exchange group dh-group1-sha1
console timeout 0
dhcpd dns 8.8.8.8 8.8.4.4
dhcpd option 3 ip 192.168.170.1
ļ
dhcpd address 192.168.170.220-192.168.170.250 GUEST
dhcpd enable GUEST
!
dynamic-access-policy-record DfltAccessPolicy
username cisco password YBYvHe595IIMVg7Y encrypted
!
class-map inspection_default
match default-inspection-traffic
ļ
İ
policy-map type inspect dns migrated_dns_map_1
parameters
message-length maximum client auto
message-length maximum 512
policy-map global_policy
class inspection_default
inspect dns migrated_dns_map_1
inspect ftp
inspect h323 h225
inspect h323 ras
inspect ip-options
inspect netbios
inspect rsh
inspect rtsp
inspect skinny
```
ļ

inspect esmtp inspect sqlnet inspect sunrpc inspect tftp inspect sip inspect xdmcp inspect icmp inspect icmp error class class-default set connection decrement-ttl service-policy global\_policy global prompt hostname context no call-home reporting anonymous call-home profile CiscoTAC-1 no active destination address http https://tools.cisco.com/its/service/oddce/services/DDCEService destination address email callhome@cisco.com destination transport-method http subscribe-to-alert-group diagnostic subscribe-to-alert-group environment subscribe-to-alert-group inventory periodic monthly subscribe-to-alert-group configuration periodic monthly subscribe-to-alert-group telemetry periodic daily profile License destination address http https://tools.cisco.com/its/service/oddce/services/DDCEService destination transport-method http Cryptochecksum:9ffa4947d875e0c501e036c54e80ee93

: end

# A.3 Enterprise Services ASA Configuration File

```
: Saved
```

```
: Serial Number: 9AEHKLC171M
```

```
: Hardware: ASAv, 2048 MB RAM, CPU Xeon E5 series 2200 MHz
```

:

•

```
ASA Version 9.6(1)
```

```
ļ
```

hostname enterprise-services-kmcfadde enable password 8Ry2Yjlyt7RRXU24 encrypted xlate per-session deny tcp any4 any4 xlate per-session deny tcp any4 any6 xlate per-session deny tcp any6 any4 xlate per-session deny tcp any6 any6 xlate per-session deny udp any4 any4 eq domain xlate per-session deny udp any4 any6 eq domain xlate per-session deny udp any6 any4 eq domain xlate per-session deny udp any6 any6 eq domain xlate per-session deny udp any6 any6 eq domain xlate per-session deny udp any6 any6 eq domain

```
license smart
```

feature tier standard

throughput level 1G

names

```
ļ
```

interface GigabitEthernet0/0

nameif WAN

security-level 50

ip address 192.168.100.154 255.255.255.0

ospf authentication-key \*\*\*\*\*

ospf authentication message-digest

```
DRAFT
```

```
ļ
```

interface GigabitEthernet0/1

nameif LAN

security-level 100

ip address 192.168.120.1 255.255.255.0

ļ

interface GigabitEthernet0/2

shutdown

no nameif

no security-level

no ip address

ļ

interface GigabitEthernet0/3

shutdown

no nameif

no security-level

no ip address

ļ

interface GigabitEthernet0/4

shutdown

no nameif

no security-level

no ip address

!

interface GigabitEthernet0/5

shutdown

no nameif

no security-level

no ip address

ļ

interface GigabitEthernet0/6

shutdown

no nameif

no security-level

no ip address

ļ

interface GigabitEthernet0/7

shutdown

no nameif

no security-level

no ip address

ļ

interface GigabitEthernet0/8

shutdown

no nameif

no security-level

no ip address

ļ

interface Management0/0

management-only

nameif management

security-level 0

ip address 192.168.29.154 255.255.255.0

ļ

ftp mode passive

clock timezone EST -5

clock summer-time EDT recurring

dns domain-lookup WAN

dns server-group DefaultDNS

name-server 8.8.8.8

name-server 8.8.4.4 object network SNMPHOSTS subnet 192.168.29.0 255.255.255.0 object-group service DNS service-object tcp-udp destination eq domain object-group service SYMANTEC-DCS service-object tcp destination eq 4443 service-object tcp destination eq https service-object tcp destination eq 8443 service-object tcp destination eq 2222 access-list 101 extended permit icmp any any time-exceeded access-list 101 extended permit icmp any any unreachable access-list 101 extended permit icmp any any echo-reply access-list 101 extended permit icmp any any echo access-list 101 extended permit udp any any gt 33434 access-list 101 extended permit object-group DNS 192.168.140.0 255.255.255.0 host 192.168.120.162 access-list 101 extended permit object-group DNS 192.168.140.0 255.255.255.0 host 192.168.120.163 access-list 101 extended permit tcp any host 192.168.120.166 eq 8114 access-list 101 extended permit object-group SYMANTEC-DCS any host 192.168.120.167 pager lines 23 mtu management 1500 mtu WAN 1500 mtu LAN 1500 no failover icmp unreachable rate-limit 1 burst-size 1 no asdm history enable arp timeout 14400 no arp permit-nonconnected access-group 101 in interface WAN router ospf 1

network 192.168.100.0 255.255.255.0 area 0 log-adj-changes redistribute connected subnets redistribute static subnets L timeout xlate 3:00:00 timeout pat-xlate 0:00:30 timeout conn 1:00:00 half-closed 0:10:00 udp 0:02:00 sctp 0:02:00 icmp 0:00:02 timeout sunrpc 0:10:00 h323 0:05:00 h225 1:00:00 mgcp 0:05:00 mgcp-pat 0:05:00 timeout sip 0:30:00 sip media 0:02:00 sip-invite 0:03:00 sip-disconnect 0:02:00 timeout sip-provisional-media 0:02:00 uauth 0:05:00 absolute timeout tcp-proxy-reassembly 0:01:00 timeout floating-conn 0:00:00 user-identity default-domain LOCAL aaa authentication ssh console LOCAL snmp-server host-group management SNMPHOSTS poll community \*\*\*\*\* no snmp-server location no snmp-server contact snmp-server community \*\*\*\*\* crypto ipsec security-association pmtu-aging infinite crypto ca trustpoint SmartCallHome ServerCA no validation-usage crl configure crypto ca trustpool policy auto-import crypto ca certificate chain \_SmartCallHome\_ServerCA certificate ca 6ecc7aa5a7032009b8cebcf4e952d491 308205ec 308204d4 a0030201 0202106e cc7aa5a7 032009b8 cebcf4e9 52d49130 0d06092a 864886f7 0d010105 05003081 ca310b30 09060355 04061302 55533117 30150603 55040a13 0e566572 69536967 6e2c2049 6e632e31 1f301d06 0355040b

13165665 72695369 676e2054 72757374 204e6574 776f726b 313a3038 06035504 0b133128 63292032 30303620 56657269 5369676e 2c20496e 632e202d 20466f72 20617574 686f7269 7a656420 75736520 6f6e6c79 31453043 06035504 03133c56 65726953 69676e20 436c6173 73203320 5075626c 69632050 72696d61 72792043 65727469 66696361 74696f6e 20417574 686f7269 7479202d 20473530 1e170d31 30303230 38303030 3030305a 170d3230 30323037 32333539 35395a30 81b5310b 30090603 55040613 02555331 17301506 0355040a 130e5665 72695369 676e2c20 496e632e 311f301d 06035504 0b131656 65726953 69676e20 54727573 74204e65 74776f72 6b313b30 39060355 040b1332 5465726d 73206f66 20757365 20617420 68747470 733a2f2f 7777772e 76657269 7369676e 2e636f6d 2f727061 20286329 3130312f 302d0603 55040313 26566572 69536967 6e20436c 61737320 33205365 63757265 20536572 76657220 4341202d 20473330 82012230 0d06092a 864886f7 0d010101 05000382 010f0030 82010a02 82010100 b187841f c20c45f5 bcab2597 a7ada23e 9cbaf6c1 39b88bca c2ac56c6 e5bb658e 444f4dce 6fed094a d4af4e10 9c688b2e 957b899b 13cae234 34c1f35b f3497b62 83488174 d188786c 0253f9bc 7f432657 5833833b 330a17b0 d04e9124 ad867d64 12dc744a 34a11d0a ea961d0b 15fca34b 3bce6388 d0f82d0c 948610ca b69a3dca eb379c00 48358629 5078e845 63cd1941 4ff595ec 7b98d4c4 71b350be 28b38fa0 b9539cf5 ca2c23a9 fd1406e8 18b49ae8 3c6e81fd e4cd3536 b351d369 ec12ba56 6e6f9b57 c58b14e7 0ec79ced 4a546ac9 4dc5bf11 b1ae1c67 81cb4455 33997f24 9b3f5345 7f861af3 3cfa6d7f 81f5b84a d3f58537 1cb5a6d0 09e4187b 384efa0f 02030100 01a38201 df308201 db303406 082b0601 05050701 01042830 26302406 082b0601 05050730 01861868 7474703a 2f2f6f63 73702e76 65726973 69676e2e 636f6d30 12060355 1d130101 ff040830 060101ff 02010030 70060355 1d200469 30673065 060b6086 480186f8 45010717 03305630 2806082b 06010505 07020116 1c687474 70733a2f 2f777777 2e766572 69736967 6e2e636f 6d2f6370 73302a06 082b0601 05050702 02301e1a 1c687474 70733a2f 2f777777 2e766572 69736967 6e2e636f 6d2f7270 61303406 03551d1f 042d302b 3029a027 a0258623 68747470 3a2f2f63 726c2e76 65726973 69676e2e 636f6d2f 70636133 2d67352e 63726c30 0e060355 1d0f0101 ff040403 02010630 6d06082b 06010505 07010c04 61305fa1 5da05b30 59305730 55160969

6d616765 2f676966 3021301f 30070605 2b0e0302 1a04148f e5d31a86 ac8d8e6b c3cf806a d448182c 7b192e30 25162368 7474703a 2f2f6c6f 676f2e76 65726973 69676e2e 636f6d2f 76736c6f 676f2e67 69663028 0603551d 11042130 1fa41d30 1b311930 17060355 04031310 56657269 5369676e 4d504b49 2d322d36 301d0603 551d0e04 1604140d 445c1653 44c1827e 1d20ab25 f40163d8 be79a530 1f060355 1d230418 30168014 7fd365a7 c2ddecbb f03009f3 4339fa02 af333133 300d0609 2a864886 f70d0101 05050003 82010100 0c8324ef ddc30cd9 589cfe36 b6eb8a80 4bd1a3f7 9df3cc53 ef829ea3 a1e697c1 589d756c e01d1b4c fad1c12d 05c0ea6e b2227055 d9203340 3307c265 83fa8f43 379bea0e 9a6c70ee f69c803b d937f47a 6decd018 7d494aca 99c71928 a2bed877 24f78526 866d8705 404167d1 273aeddc 481d22cd 0b0b8bbc f4b17bfd b499a8e9 762ae11a 2d876e74 d388dd1e 22c6df16 b62b8214 0a945cf2 50ecafce ff62370d ad65d306 4153ed02 14c8b558 28a1ace0 5becb37f 954afb03 c8ad26db e6667812 4ad99f42 fbe198e6 42839b8f 8f6724e8 6119b5dd cdb50b26 058ec36e c4c875b8 46cfe218 065ea9ae a8819a47 16de0c28 6c2527b9 deb78458 c61f381e a4c4cb66

quit

telnet timeout 5 ssh stricthostkeycheck ssh 192.168.29.0 255.255.255.0 management ssh timeout 5 ssh version 2 ssh key-exchange group dh-group1-sha1 console timeout 0 dynamic-access-policy-record DfltAccessPolicy username cisco password YBYvHe595IIMVg7Y encrypted ! class-map inspection\_default match default-inspection-traffic !

policy-map type inspect dns migrated\_dns\_map\_1 parameters message-length maximum client auto message-length maximum 512 policy-map global\_policy class inspection\_default inspect dns migrated\_dns\_map\_1 inspect ftp inspect h323 h225 inspect h323 ras inspect ip-options inspect netbios inspect rsh inspect rtsp inspect skinny inspect esmtp inspect sqlnet inspect sunrpc inspect tftp inspect sip inspect xdmcp inspect icmp inspect icmp error class class-default set connection decrement-ttl ļ service-policy global\_policy global prompt hostname context no call-home reporting anonymous call-home

profile License

destination address http https://tools.cisco.com/its/service/oddce/services/DDCEService

destination transport-method http

profile CiscoTAC-1

no active

destination address http https://tools.cisco.com/its/service/oddce/services/DDCEService

destination address email callhome@cisco.com

destination transport-method http

subscribe-to-alert-group diagnostic

subscribe-to-alert-group environment

subscribe-to-alert-group inventory periodic monthly

subscribe-to-alert-group configuration periodic monthly

subscribe-to-alert-group telemetry periodic daily

Cryptochecksum:e57e00145eb4fd26d97b4b0109308140

: end

### A.4 Biomedical Engineering

```
: Saved
•
: Serial Number: 9A3RHJVFPQS
: Hardware: ASAv, 2048 MB RAM, CPU Xeon E5 series 2200 MHz
:
ASA Version 9.6(1)
!
hostname biomedical-kmcfadde
enable password 8Ry2YjIyt7RRXU24 encrypted
xlate per-session deny tcp any4 any4
xlate per-session deny tcp any4 any6
xlate per-session deny tcp any6 any4
xlate per-session deny tcp any6 any6
xlate per-session deny udp any4 any4 eq domain
xlate per-session deny udp any4 any6 eq domain
xlate per-session deny udp any6 any4 eq domain
xlate per-session deny udp any6 any6 eq domain
ļ
license smart
feature tier standard
throughput level 1G
names
1
interface GigabitEthernet0/0
nameif WAN
security-level 50
ip address 192.168.100.152 255.255.255.0
ospf authentication-key *****
ospf authentication message-digest
```

```
DRAFT
```

```
ļ
```

interface GigabitEthernet0/1

nameif LAN

security-level 100

ip address 192.168.140.1 255.255.255.0

ļ

interface GigabitEthernet0/2

shutdown

no nameif

no security-level

no ip address

ļ

interface GigabitEthernet0/3

shutdown

no nameif

no security-level

no ip address

ļ

interface GigabitEthernet0/4

shutdown

no nameif

no security-level

no ip address

!

interface GigabitEthernet0/5

shutdown

no nameif

no security-level

no ip address

ļ

interface GigabitEthernet0/6

shutdown

no nameif

no security-level

no ip address

ļ

interface GigabitEthernet0/7

shutdown

no nameif

no security-level

no ip address

ļ

interface GigabitEthernet0/8

shutdown

no nameif

no security-level

no ip address

ļ

interface Management0/0

management-only

nameif management

security-level 0

ip address 192.168.29.152 255.255.255.0

ļ

ftp mode passive

clock timezone EST -5

clock summer-time EDT recurring

dns domain-lookup WAN

dns server-group DefaultDNS

name-server 8.8.8.8

name-server 8.8.4.4 object network SNMPHOSTS subnet 192.168.29.0 255.255.255.0 object network PUMPS subnet 192.168.150.0 255.255.255.0 object-group icmp-type PING-REPLIES icmp-object echo-reply object-group icmp-type TRACEROUTE-REPLIES icmp-object time-exceeded icmp-object unreachable group-object PING-REPLIES object-group icmp-type PING icmp-object echo object-group service TRACEROUTEUDP service-object udp destination gt 33434 object-group service BAXTERPORTS service-object tcp-udp destination eq 51244 object-group service SMITHSPORTS service-object tcp destination eq 1588 object-group service CAREFUSIONPORTS service-object tcp destination eq 3613 object-group service PCAPORTS service-object tcp destination eq https service-object tcp destination eq 11443 service-object tcp destination eq 11444 object-group service PLUM360PORTS service-object tcp destination eq 8100 service-object tcp destination eq 9292 object-group service HOSPIRAPUMPSIMPORTS service-object tcp destination eq https

service-object tcp destination eq 8443 object-group service BBRAUNPORTS service-object tcp destination eq www service-object tcp destination eq https service-object tcp destination eq 8080 service-object tcp destination eq 1500 service-object tcp destination eq 4080 access-list 101 extended permit icmp any any object-group TRACEROUTE-REPLIES access-list 101 extended permit object-group TRACEROUTEUDP any any access-list 101 extended permit icmp any any object-group PING access-list 101 extended permit icmp any any object-group PING-REPLIES access-list 101 extended permit object-group SMITHSPORTS object PUMPS host 192.168.140.150 access-list 101 extended permit object-group CAREFUSIONPORTS object PUMPS host 192.168.140.158 access-list 101 extended permit object-group PCAPORTS object PUMPS host 192.168.140.160 access-list 101 extended permit object-group PLUM360PORTS object PUMPS host 192.168.140.160 access-list 101 extended permit object-group HOSPIRAPUMPSIMPORTS object PUMPS host 192.168.140.160 access-list 101 extended permit object-group BAXTERPORTS object PUMPS host 192.168.140.165 access-list 101 extended permit object-group BBRAUNPORTS object PUMPS host 192.168.140.169 pager lines 23 mtu WAN 1500 mtu LAN 1500 mtu management 1500 no failover no monitor-interface service-module icmp unreachable rate-limit 1 burst-size 1 no asdm history enable arp timeout 14400 no arp permit-nonconnected access-group 101 in interface WAN

router ospf 1 network 192.168.100.0 255.255.255.0 area 0 log-adj-changes redistribute connected subnets redistribute static subnets ! timeout xlate 3:00:00 timeout pat-xlate 0:00:30 timeout conn 1:00:00 half-closed 0:10:00 udp 0:02:00 sctp 0:02:00 icmp 0:00:02 timeout sunrpc 0:10:00 h323 0:05:00 h225 1:00:00 mgcp 0:05:00 mgcp-pat 0:05:00 timeout sip 0:30:00 sip\_media 0:02:00 sip-invite 0:03:00 sip-disconnect 0:02:00 timeout sip-provisional-media 0:02:00 uauth 0:05:00 absolute timeout tcp-proxy-reassembly 0:01:00 timeout floating-conn 0:00:00 user-identity default-domain LOCAL aaa authentication ssh console LOCAL snmp-server host-group management SNMPHOSTS poll community \*\*\*\*\* no snmp-server location no snmp-server contact snmp-server community \*\*\*\*\* crypto ipsec security-association pmtu-aging infinite crypto ca trustpoint \_SmartCallHome\_ServerCA no validation-usage crl configure crypto ca trustpool policy auto-import crypto ca certificate chain \_SmartCallHome\_ServerCA certificate ca 6ecc7aa5a7032009b8cebcf4e952d491 308205ec 308204d4 a0030201 0202106e cc7aa5a7 032009b8 cebcf4e9 52d49130 0d06092a 864886f7 0d010105 05003081 ca310b30 09060355 04061302 55533117 30150603 55040a13 0e566572 69536967 6e2c2049 6e632e31 1f301d06 0355040b 13165665 72695369 676e2054 72757374 204e6574 776f726b 313a3038 06035504 0b133128 63292032 30303620 56657269 5369676e 2c20496e 632e202d 20466f72 20617574 686f7269 7a656420 75736520 6f6e6c79 31453043 06035504 03133c56 65726953 69676e20 436c6173 73203320 5075626c 69632050 72696d61 72792043 65727469 66696361 74696f6e 20417574 686f7269 7479202d 20473530 1e170d31 30303230 38303030 3030305a 170d3230 30323037 32333539 35395a30 81b5310b 30090603 55040613 02555331 17301506 0355040a 130e5665 72695369 676e2c20 496e632e 311f301d 06035504 0b131656 65726953 69676e20 54727573 74204e65 74776f72 6b313b30 39060355 040b1332 5465726d 73206f66 20757365 20617420 68747470 733a2f2f 7777772e 76657269 7369676e 2e636f6d 2f727061 20286329 3130312f 302d0603 55040313 26566572 69536967 6e20436c 61737320 33205365 63757265 20536572 76657220 4341202d 20473330 82012230 0d06092a 864886f7 0d010101 05000382 010f0030 82010a02 82010100 b187841f c20c45f5 bcab2597 a7ada23e 9cbaf6c1 39b88bca c2ac56c6 e5bb658e 444f4dce 6fed094a d4af4e10 9c688b2e 957b899b 13cae234 34c1f35b f3497b62 83488174 d188786c 0253f9bc 7f432657 5833833b 330a17b0 d04e9124 ad867d64 12dc744a 34a11d0a ea961d0b 15fca34b 3bce6388 d0f82d0c 948610ca b69a3dca eb379c00 48358629 5078e845 63cd1941 4ff595ec 7b98d4c4 71b350be 28b38fa0 b9539cf5 ca2c23a9 fd1406e8 18b49ae8 3c6e81fd e4cd3536 b351d369 ec12ba56 6e6f9b57 c58b14e7 0ec79ced 4a546ac9 4dc5bf11 b1ae1c67 81cb4455 33997f24 9b3f5345 7f861af3 3cfa6d7f 81f5b84a d3f58537 1cb5a6d0 09e4187b 384efa0f 02030100 01a38201 df308201 db303406 082b0601 05050701 01042830 26302406 082b0601 05050730 01861868 7474703a 2f2f6f63 73702e76 65726973 69676e2e 636f6d30 12060355 1d130101 ff040830 060101ff 02010030 70060355 1d200469 30673065 060b6086 480186f8 45010717 03305630 2806082b 06010505 07020116 1c687474 70733a2f 2f777777 2e766572 69736967 6e2e636f 6d2f6370 73302a06 082b0601 05050702 02301e1a 1c687474 70733a2f 2f777777 2e766572 69736967 6e2e636f 6d2f7270 61303406 03551d1f 042d302b 3029a027 a0258623 68747470 3a2f2f63 726c2e76 65726973 69676e2e 636f6d2f 70636133 2d67352e 63726c30 0e060355 1d0f0101 ff040403

02010630 6d06082b 06010505 07010c04 61305fa1 5da05b30 59305730 55160969 6d616765 2f676966 3021301f 30070605 2b0e0302 1a04148f e5d31a86 ac8d8e6b c3cf806a d448182c 7b192e30 25162368 7474703a 2f2f6c6f 676f2e76 65726973 69676e2e 636f6d2f 76736c6f 676f2e67 69663028 0603551d 11042130 1fa41d30 1b311930 17060355 04031310 56657269 5369676e 4d504b49 2d322d36 301d0603 551d0e04 1604140d 445c1653 44c1827e 1d20ab25 f40163d8 be79a530 1f060355 1d230418 30168014 7fd365a7 c2ddecbb f03009f3 4339fa02 af333133 300d0609 2a864886 f70d0101 05050003 82010100 0c8324ef ddc30cd9 589cfe36 b6eb8a80 4bd1a3f7 9df3cc53 ef829ea3 a1e697c1 589d756c e01d1b4c fad1c12d 05c0ea6e b2227055 d9203340 3307c265 83fa8f43 379bea0e 9a6c70ee f69c803b d937f47a 6decd018 7d494aca 99c71928 a2bed877 24f78526 866d8705 404167d1 273aeddc 481d22cd 0b0b8bbc f4b17bfd b499a8e9 762ae11a 2d876e74 d388dd1e 22c6df16 b62b8214 0a945cf2 50ecafce ff62370d ad65d306 4153ed02 14c8b558 28a1ace0 5becb37f 954afb03 c8ad26db e6667812 4ad99f42 fbe198e6 42839b8f 8f6724e8 6119b5dd cdb50b26 058ec36e c4c875b8 46cfe218 065ea9ae a8819a47 16de0c28 6c2527b9 deb78458 c61f381e a4c4cb66

```
quit
```

telnet timeout 5 ssh stricthostkeycheck ssh 192.168.29.0 255.255.255.0 management ssh timeout 5 ssh version 2 ssh key-exchange group dh-group1-sha1 console timeout 0 dhcpd dns 192.168.120.163 192.168.120.162 dhcpd option 3 ip 192.168.140.1 ! dhcpd address 192.168.140.220-192.168.140.250 LAN dhcpd enable LAN

dynamic-access-policy-record DfltAccessPolicy username cisco password YBYvHe595IIMVg7Y encrypted ļ class-map inspection\_default match default-inspection-traffic ļ ļ policy-map type inspect dns migrated\_dns\_map\_1 parameters message-length maximum client auto message-length maximum 512 policy-map global\_policy class inspection\_default inspect dns migrated\_dns\_map\_1 inspect ftp inspect h323 h225 inspect h323 ras inspect ip-options inspect netbios inspect rsh inspect rtsp inspect skinny inspect esmtp inspect sqlnet inspect sunrpc inspect tftp inspect sip inspect xdmcp inspect icmp inspect icmp error

class class-default set connection decrement-ttl ! service-policy global\_policy global prompt hostname context no call-home reporting anonymous call-home profile CiscoTAC-1 no active destination address http https://tools.cisco.com/its/service/oddce/services/DDCEService destination address email callhome@cisco.com destination transport-method http subscribe-to-alert-group diagnostic subscribe-to-alert-group environment subscribe-to-alert-group inventory periodic monthly subscribe-to-alert-group configuration periodic monthly subscribe-to-alert-group telemetry periodic daily profile License destination address http https://tools.cisco.com/its/service/oddce/services/DDCEService destination transport-method http Cryptochecksum:627e549de0a7dd97cd1379bbf37bc168 : end

# A.5 Medical Devices Zone ASA Configuration File

: Saved

```
:
: Serial Number: 9AEWS2E5JRA
: Hardware: ASAv, 2048 MB RAM, CPU Xeon E5 series 2200 MHz
:
ASA Version 9.6(1)
ļ
hostname medical-devices-kmcfadde
enable password 8Ry2YjIyt7RRXU24 encrypted
xlate per-session deny tcp any4 any4
xlate per-session deny tcp any4 any6
xlate per-session deny tcp any6 any4
xlate per-session deny tcp any6 any6
xlate per-session deny udp any4 any4 eq domain
xlate per-session deny udp any4 any6 eq domain
xlate per-session deny udp any6 any4 eq domain
xlate per-session deny udp any6 any6 eq domain
ļ
license smart
feature tier standard
throughput level 1G
names
ļ
interface GigabitEthernet0/0
nameif WAN
security-level 50
ip address 192.168.100.149 255.255.255.0
ospf authentication-key *****
ospf authentication message-digest
ļ
interface GigabitEthernet0/1
nameif LAN
security-level 100
ip address 192.168.150.1 255.255.255.0
ļ
interface GigabitEthernet0/2
shutdown
no nameif
no security-level
no ip address
I
interface GigabitEthernet0/3
shutdown
no nameif
```

no security-level no ip address ļ interface GigabitEthernet0/4 shutdown no nameif no security-level no ip address I interface GigabitEthernet0/5 shutdown no nameif no security-level no ip address I interface GigabitEthernet0/6 shutdown no nameif no security-level no ip address ļ interface GigabitEthernet0/7 shutdown no nameif no security-level no ip address ļ interface GigabitEthernet0/8 shutdown no nameif no security-level no ip address ļ interface Management0/0 management-only nameif management security-level 0 ip address 192.168.29.149 255.255.255.0 ļ ftp mode passive clock timezone EST -5 clock summer-time EDT recurring dns domain-lookup WAN dns server-group DefaultDNS name-server 8.8.8.8 name-server 8.8.4.4 object network SNMPHOSTS subnet 192.168.29.0 255.255.255.0

object network PUMPSERVERS subnet 192.168.140.0 255.255.255.0 object network PUMPS subnet 192.168.150.0 255.255.255.0 object-group icmp-type PING-REPLIES icmp-object echo-reply object-group service PCAPORTS service-object tcp destination eq https service-object tcp destination eq 11444 service-object tcp destination eq 11443 service-object tcp destination eq 8443 object-group icmp-type TRACEROUTE-REPLIES icmp-object time-exceeded icmp-object unreachable group-object PING-REPLIES object-group icmp-type PING icmp-object echo object-group service TRACEROUTEUDP service-object udp destination gt 33434 object-group service PLUM360PORTS service-object tcp destination eq 8100 service-object tcp destination eq 9292 object-group service HOSPIRAPUMPSIMPORTS service-object tcp destination eq https service-object tcp destination eq 8443 object-group service BAXTERPUMPPORTS service-object tcp-udp destination eq 51243 object-group service BBRAUNPORTS service-object tcp destination eq www service-object tcp destination eq https service-object tcp destination eq 8080 service-object tcp destination eq 1500 access-list LAN2WAN extended permit ip object PUMPS object PUMPSERVERS access-list WAN2LAN extended permit object-group PCAPORTS host 192.168.140.160 o bject PUMPS access-list WAN2LAN extended permit icmp any any object-group PING access-list WAN2LAN extended permit object-group TRACEROUTEUDP any any access-list WAN2LAN extended permit icmp any any object-group TRACEROUTE-REPLIES access-list WAN2LAN extended permit icmp any any object-group PING-REPLIES access-list WAN2LAN extended permit object-group PLUM360PORTS host 192.168.140.1 60 object PUMPS access-list WAN2LAN extended permit object-group HOSPIRAPUMPSIMPORTS host 192.16 8.140.160 object PUMPS access-list WAN2LAN extended permit object-group BAXTERPUMPPORTS host 192.168.14 0.165 object PUMPS access-list WAN2LAN extended permit object-group BBRAUNPORTS host 192.168.140.16 9 object PUMPS pager lines 23

mtu WAN 1500 mtu LAN 1500 mtu management 1500 no failover no monitor-interface service-module icmp unreachable rate-limit 1 burst-size 1 no asdm history enable arp timeout 14400 no arp permit-nonconnected access-group WAN2LAN in interface WAN access-group LAN2WAN in interface LAN router ospf 1 network 192.168.100.0 255.255.255.0 area 0 log-adj-changes redistribute connected subnets redistribute static subnets I timeout xlate 3:00:00 timeout pat-xlate 0:00:30 timeout conn 1:00:00 half-closed 0:10:00 udp 0:02:00 sctp 0:02:00 icmp 0:00:02 timeout sunrpc 0:10:00 h323 0:05:00 h225 1:00:00 mgcp 0:05:00 mgcp-pat 0:05:00 timeout sip 0:30:00 sip media 0:02:00 sip-invite 0:03:00 sip-disconnect 0:02:00 timeout sip-provisional-media 0:02:00 uauth 0:05:00 absolute timeout tcp-proxy-reassembly 0:01:00 timeout floating-conn 0:00:00 user-identity default-domain LOCAL aaa authentication ssh console LOCAL snmp-server host-group management SNMPHOSTS poll community \*\*\*\*\* no snmp-server location no snmp-server contact snmp-server community \*\*\*\*\* crypto ipsec security-association pmtu-aging infinite crypto ca trustpoint SmartCallHome ServerCA no validation-usage crl configure crypto ca trustpool policy auto-import crypto ca certificate chain \_SmartCallHome\_ServerCA certificate ca 6ecc7aa5a7032009b8cebcf4e952d491 308205ec 308204d4 a0030201 0202106e cc7aa5a7 032009b8 cebcf4e9 52d49130 0d06092a 864886f7 0d010105 05003081 ca310b30 09060355 04061302 55533117 30150603 55040a13 0e566572 69536967 6e2c2049 6e632e31 1f301d06 0355040b 13165665 72695369 676e2054 72757374 204e6574 776f726b 313a3038 06035504 0b133128 63292032 30303620 56657269 5369676e 2c20496e 632e202d 20466f72 20617574 686f7269 7a656420 75736520 6f6e6c79 31453043 06035504 03133c56 65726953 69676e20 436c6173 73203320 5075626c 69632050 72696d61 72792043 65727469 66696361 74696f6e 20417574 686f7269 7479202d 20473530 1e170d31 30303230 38303030 3030305a 170d3230 30323037 32333539 35395a30 81b5310b

30090603 55040613 02555331 17301506 0355040a 130e5665 72695369 676e2c20 496e632e 311f301d 06035504 0b131656 65726953 69676e20 54727573 74204e65 74776f72 6b313b30 39060355 040b1332 5465726d 73206f66 20757365 20617420 68747470 733a2f2f 7777772e 76657269 7369676e 2e636f6d 2f727061 20286329 3130312f 302d0603 55040313 26566572 69536967 6e20436c 61737320 33205365 63757265 20536572 76657220 4341202d 20473330 82012230 0d06092a 864886f7 0d010101 05000382 010f0030 82010a02 82010100 b187841f c20c45f5 bcab2597 a7ada23e 9cbaf6c1 39b88bca c2ac56c6 e5bb658e 444f4dce 6fed094a d4af4e10 9c688b2e 957b899b 13cae234 34c1f35b f3497b62 83488174 d188786c 0253f9bc 7f432657 5833833b 330a17b0 d04e9124 ad867d64 12dc744a 34a11d0a ea961d0b 15fca34b 3bce6388 d0f82d0c 948610ca b69a3dca eb379c00 48358629 5078e845 63cd1941 4ff595ec 7b98d4c4 71b350be 28b38fa0 b9539cf5 ca2c23a9 fd1406e8 18b49ae8 3c6e81fd e4cd3536 b351d369 ec12ba56 6e6f9b57 c58b14e7 0ec79ced 4a546ac9 4dc5bf11 b1ae1c67 81cb4455 33997f24 9b3f5345 7f861af3 3cfa6d7f 81f5b84a d3f58537 1cb5a6d0 09e4187b 384efa0f 02030100 01a38201 df308201 db303406 082b0601 05050701 01042830 26302406 082b0601 05050730 01861868 7474703a 2f2f6f63 73702e76 65726973 69676e2e 636f6d30 12060355 1d130101 ff040830 060101ff 02010030 70060355 1d200469 30673065 060b6086 480186f8 45010717 03305630 2806082b 06010505 07020116 1c687474 70733a2f 2f777777 2e766572 69736967 6e2e636f 6d2f6370 73302a06 082b0601 05050702 02301e1a 1c687474 70733a2f 2f777777 2e766572 69736967 6e2e636f 6d2f7270 61303406 03551d1f 042d302b 3029a027 a0258623 68747470 3a2f2f63 726c2e76 65726973 69676e2e 636f6d2f 70636133 2d67352e 63726c30 0e060355 1d0f0101 ff040403 02010630 6d06082b 06010505 07010c04 61305fa1 5da05b30 59305730 55160969 6d616765 2f676966 3021301f 30070605 2b0e0302 1a04148f e5d31a86 ac8d8e6b c3cf806a d448182c 7b192e30 25162368 7474703a 2f2f6c6f 676f2e76 65726973 69676e2e 636f6d2f 76736c6f 676f2e67 69663028 0603551d 11042130 1fa41d30 1b311930 17060355 04031310 56657269 5369676e 4d504b49 2d322d36 301d0603 551d0e04 1604140d 445c1653 44c1827e 1d20ab25 f40163d8 be79a530 1f060355 1d230418 30168014 7fd365a7 c2ddecbb f03009f3 4339fa02 af333133 300d0609 2a864886 f70d0101 05050003 82010100 0c8324ef ddc30cd9 589cfe36 b6eb8a80 4bd1a3f7 9df3cc53 ef829ea3 a1e697c1 589d756c e01d1b4c fad1c12d 05c0ea6e b2227055 d9203340 3307c265 83fa8f43 379bea0e 9a6c70ee f69c803b d937f47a 6decd018 7d494aca 99c71928 a2bed877 24f78526 866d8705 404167d1 273aeddc 481d22cd 0b0b8bbc f4b17bfd b499a8e9 762ae11a 2d876e74 d388dd1e 22c6df16 b62b8214 0a945cf2 50ecafce ff62370d ad65d306 4153ed02 14c8b558 28a1ace0 5becb37f 954afb03 c8ad26db e6667812 4ad99f42 fbe198e6 42839b8f 8f6724e8 6119b5dd cdb50b26 058ec36e c4c875b8 46cfe218 065ea9ae a8819a47 16de0c28 6c2527b9 deb78458 c61f381e a4c4cb66 auit telnet timeout 5 ssh stricthostkeycheck ssh 192.168.29.0 255.255.255.0 management ssh timeout 5

ssh version 2

ssh key-exchange group dh-group1-sha1

console timeout 0 dhcpd dns 192.168.150.1

dhcpd option 3 ip 192.168.150.1 ļ dhcpd address 192.168.150.220-192.168.150.250 LAN dhcpd enable LAN ļ dynamic-access-policy-record DfltAccessPolicy username cisco password YBYvHe595IIMVg7Y encrypted i class-map inspection\_default match default-inspection-traffic i ļ policy-map type inspect dns migrated dns map 1 parameters message-length maximum client auto message-length maximum 512 policy-map global\_policy class inspection\_default inspect dns migrated\_dns\_map\_1 inspect ftp inspect h323 h225 inspect h323 ras inspect ip-options inspect netbios inspect rsh inspect rtsp inspect skinny inspect esmtp inspect sqlnet inspect sunrpc inspect tftp inspect sip inspect xdmcp inspect icmp inspect icmp error class class-default set connection decrement-ttl I service-policy global\_policy global prompt hostname context no call-home reporting anonymous call-home profile CiscoTAC-1 no active destination address http https://tools.cisco.com/its/service/oddce/services/DD CEService destination address email callhome@cisco.com destination transport-method http

subscribe-to-alert-group diagnostic subscribe-to-alert-group environment subscribe-to-alert-group inventory periodic monthly subscribe-to-alert-group configuration periodic monthly subscribe-to-alert-group telemetry periodic daily profile License destination address http https://tools.cisco.com/its/service/oddce/services/DD CEService destination transport-method http Cryptochecksum:b2e10eb9d982ddbe5330e964af80d2d3

: end

# A.6 Switch Configuration File

```
! Last configuration change at 22:21:08 UTC Wed Feb 22 2017 by cisco
! NVRAM config last updated at 23:22:47 UTC Wed Feb 22 2017 by cisco
!
version 15.0
no service pad
service timestamps debug datetime msec
service timestamps log datetime msec
service password-encryption
service compress-config
ļ
hostname Cisco3650-01
ļ
boot-start-marker
boot-end-marker
I
i
vrf definition Mgmt-vrf
Į.
address-family ipv4
exit-address-family
i
address-family ipv6
exit-address-family
i
logging console emergencies
enable secret 5 $1$FraY$.34n8ay7c.I7gwJttjHas0
enable password 7 023624481811003348
ļ
username admin privilege 15 password 7 04734A125E75606E0B4A
user-name cisco
creation-time 1469560730
privilege 15
password 7 0523471B701862291B56
type mgmt-user
no aaa new-model
switch 1 provision ws-c3650-48ps
ļ
ip domain-name nist.gov
ip device tracking
ip dhcp excluded-address 192.168.250.1 192.168.250.9
ļ
ip dhcp pool WLAN
network 192.168.250.0 255.255.255.0
default-router 192.168.250.1
option 43 hex c0a8.fa02
```

```
DRAFT
```

```
ļ
I
vtp mode transparent
crypto pki trustpoint TP-self-signed-2035642131
enrollment selfsigned
subject-name cn=IOS-Self-Signed-Certificate-2035642131
revocation-check none
rsakeypair TP-self-signed-2035642131
ļ
crypto pki certificate chain TP-self-signed-2035642131
certificate self-signed 01
3082024D 308201B6 A0030201 02020101 300D0609 2A864886 F70D0101 04050030
31312F30 2D060355 04031326 494F532D 53656C66 2D536967 6E65642D 43657274
69666963 6174652D 32303335 36343231 3331301E 170D3136 30373236 32303436
32355A17 0D323030 31303130 30303030 305A3031 312F302D 06035504 03132649
4F532D53 656C662D 5369676E 65642D43 65727469 66696361 74652D32 30333536
34323133 3130819F 300D0609 2A864886 F70D0101 01050003 818D0030 81890281
8100F1C4 010AE138 9BD9BBCC 2E563180 698979B5 51F7B46B D122595E E7033DCA
D80C9432 0728E47F 8CAC2629 40CEC617 5CDFFBD9 19744025 CB62CA75 8F6F0A9A
34F790DD 07DA9D60 737196C1 FDD9E764 6D22EDA3 8D9E7DF5 6CD934E3 D89FA9D5
C165F3EE E9E0EA9F 37742B00 2C4CFA0B C262E61B 95565B42 302B23E7 A1C85D9F
5FDB0203 010001A3 75307330 0F060355 1D130101 FF040530 030101FF 30200603
551D1104 19301782 15436973 636F3336 35302D30 312E6E69 73742E67 6F76301F
0603551D 23041830 1680148F 3A1CDEB7 502DACB7 DF4E96E4 EA1470F1 CFD1F730
1D060355 1D0E0416 04148F3A 1CDEB750 2DACB7DF 4E96E4EA 1470F1CF D1F7300D
06092A86 4886F70D 01010405 00038181 004FE025 9B72B4D2 5391B847 F443B481
4493F8BD 69D2FF3A 3C2E6D96 D7D83B92 91DBB84D DD47E242 9B2F45AC CA7C7CBC
D7CB9660 2B07AE9B 0376D5A1 15CBA04B B326AADE AB213EB1 D625FBFF B2F54CCD
40B1EB91 C6DD5E33 DEA8EEB3 20ECDE96 F42527D6 AD1F6A5D A261D394 FE358B8F
317FAFD0 E853785D 777E1E1D 6F561A2A 07
   quit
diagnostic bootup level minimal
spanning-tree mode pvst
spanning-tree extend system-id
I
redundancy
mode sso
I
ļ
vlan 20
I
```

```
vlan 1400
name IP_DEV_BIOMEDICAL
i
vlan 1500
name IP_DEV
L
vlan 1520
name WIFI_MGMT
I
ip ssh version 2
ļ
class-map match-any non-client-nrt-class
match non-client-nrt
ļ
policy-map port_child_policy
class non-client-nrt-class
  bandwidth remaining ratio 10
I
I
I
interface GigabitEthernet0/0
vrf forwarding Mgmt-vrf
ip address 192.168.20.13 255.255.255.0
negotiation auto
i
interface GigabitEthernet1/0/1
switchport access vlan 1520
switchport mode access
spanning-tree portfast
ļ
interface GigabitEthernet1/0/2
switchport access vlan 1520
switchport mode access
spanning-tree portfast
!
interface GigabitEthernet1/0/3
switchport access vlan 1520
switchport mode access
spanning-tree portfast
ļ
interface GigabitEthernet1/0/4
switchport access vlan 1520
switchport mode access
spanning-tree portfast
!
```

```
interface GigabitEthernet1/0/5
spanning-tree portfast
ļ
interface GigabitEthernet1/0/6
spanning-tree portfast
I
interface GigabitEthernet1/0/7
spanning-tree portfast
I
interface GigabitEthernet1/0/8
spanning-tree portfast
I
interface GigabitEthernet1/0/9
spanning-tree portfast
I
interface GigabitEthernet1/0/10
spanning-tree portfast
I
interface GigabitEthernet1/0/11
spanning-tree portfast
ļ
interface GigabitEthernet1/0/12
spanning-tree portfast
I
interface GigabitEthernet1/0/13
spanning-tree portfast
ļ
interface GigabitEthernet1/0/14
spanning-tree portfast
i
interface GigabitEthernet1/0/15
spanning-tree portfast
ļ
interface GigabitEthernet1/0/16
spanning-tree portfast
ļ
interface GigabitEthernet1/0/17
spanning-tree portfast
ļ
interface GigabitEthernet1/0/18
spanning-tree portfast
ļ
interface GigabitEthernet1/0/19
spanning-tree portfast
ļ
interface GigabitEthernet1/0/20
spanning-tree portfast
!
```

```
interface GigabitEthernet1/0/21
spanning-tree portfast
ļ
interface GigabitEthernet1/0/22
spanning-tree portfast
I
interface GigabitEthernet1/0/23
spanning-tree portfast
I
interface GigabitEthernet1/0/24
spanning-tree portfast
I
interface GigabitEthernet1/0/25
spanning-tree portfast
I
interface GigabitEthernet1/0/26
spanning-tree portfast
I
interface GigabitEthernet1/0/27
spanning-tree portfast
ļ
interface GigabitEthernet1/0/28
spanning-tree portfast
I
interface GigabitEthernet1/0/29
spanning-tree portfast
ļ
interface GigabitEthernet1/0/30
spanning-tree portfast
i
interface GigabitEthernet1/0/31
spanning-tree portfast
ļ
interface GigabitEthernet1/0/32
spanning-tree portfast
ļ
interface GigabitEthernet1/0/33
spanning-tree portfast
ļ
interface GigabitEthernet1/0/34
spanning-tree portfast
ļ
interface GigabitEthernet1/0/35
spanning-tree portfast
I
interface GigabitEthernet1/0/36
spanning-tree portfast
!
```

```
interface GigabitEthernet1/0/37
spanning-tree portfast
I
interface GigabitEthernet1/0/38
spanning-tree portfast
I
interface GigabitEthernet1/0/39
spanning-tree portfast
I
interface GigabitEthernet1/0/40
spanning-tree portfast
ļ
interface GigabitEthernet1/0/41
switchport access vlan 1400
spanning-tree portfast
ļ
interface GigabitEthernet1/0/42
switchport access vlan 1400
spanning-tree portfast
I
interface GigabitEthernet1/0/43
switchport access vlan 1400
spanning-tree portfast
I
interface GigabitEthernet1/0/44
switchport access vlan 1400
spanning-tree portfast
I
interface GigabitEthernet1/0/45
description Set to 10/Half for Hospira
switchport access vlan 1500
speed 10
duplex half
spanning-tree portfast
I
interface GigabitEthernet1/0/46
switchport access vlan 1500
spanning-tree portfast
I
interface GigabitEthernet1/0/47
description VLAN trunk
switchport trunk allowed vlan 1400,1500,1520
switchport mode trunk
spanning-tree portfast
i
interface GigabitEthernet1/0/48
description management connection on VL20
switchport access vlan 20
```

```
spanning-tree portfast
I
interface GigabitEthernet1/1/1
I
interface GigabitEthernet1/1/2
I
interface GigabitEthernet1/1/3
ļ
interface GigabitEthernet1/1/4
ļ
interface Vlan1
no ip address
shutdown
I
interface Vlan20
ip address 192.168.20.13 255.255.255.0
i
interface Vlan1520
description Wireless-MGMT
ip address 192.168.250.1 255.255.255.0
ļ
no ip http server
no ip http secure-server
ip route 0.0.0.0 0.0.0.0 192.168.20.254
ļ
ip access-list extended SSH-Access
permit tcp 192.168.20.0 0.0.0.255 any eq 22
deny ip any any log
!
access-list 10 permit 192.168.20.0 0.0.0.255
İ
snmp-server community public RO 10
snmp-server location NCCoE
snmp-server contact nccoe_healthcare_dev@nist.gov
ļ
ļ
line con 0
exec-timeout 00
stopbits 1
line aux 0
stopbits 1
line vty 04
access-class SSH-Access in
exec-timeout 300 0
password 7 022E454F5A5223014E1D
login local
transport input ssh
line vty 5 15
```

access-class SSH-Access in exec-timeout 300 0 password 7 022E454F5A5223014E1D login local transport input ssh ļ ntp server 10.97.74.8 wsma agent exec profile httplistener profile httpslistener wsma agent config profile httplistener profile httpslistener wsma agent filesys profile httplistener profile httpslistener wsma agent notify profile httplistener profile httpslistener 1 wsma profile listener httplistener transport http ļ wsma profile listener httpslistener transport https ap group default-group end

# A.7 Wireless Configuration

System Inventory NAME: "Chassis" , DESCR: "Cisco Wireless Controller" PID: AIR-CTVM-K9, VID: V01, SN: 96NTPERK0A6

System Information

Manufacturer's Name	Cisco Systems Inc.
Product Name	Cisco Controller
Product Version	8.2.111.0
RTOS Version	
Bootloader Version	8.2.111.0
Emergency Image Version	

Build Type..... DATA + WPS

System Name wl	с
System Location	
System Contact	
System ObjectID1.3	3.6.1.4.1.9.1.1631
IP Address 192.1	.68.250.2
IPv6 Address ::	
System Up Time 6	days 3 hrs 48 mins 20 secs
System Timezone Location	
System Stats Realtime Interval	5
System Stats Normal Interval	180

Configured Country..... US - United States
State of 802.11b Network	Enabled
State of 802.11a Network	Enabled
Number of WLANs 2	-
Number of Active Clients	2

Burned-in MAC Address	00:50:56:AC:6D:08
Maximum number of APs supported	200
System Nas-Id	
WLC MIC Certificate Types	SHA1
Licensing Type RTU	
vWLC config Smal	I

Backup Controller Configuration

AP primary Backup Controller ..... AP secondary Backup Controller .....

System Time Information:

Time..... Thu Aug 18 20:05:16 2016

Timezone delta..... 0:0 Timezone location.....

**NTP Servers** 

Index	NTP Key Index	NTP Server	Status	NTP Msg Auth Status
1	0	192.168.250.1	Not Synched	AUTH DISABLED
Redundar	ncy Information			
Redundar	ncy Mode	SSO DI	SABLED	
Local Stat	e	ACTIVE		
Peer State	e	N/A		
Unit		Primary		
Unit ID		00:50:56:AC:6	5D:08	
Redunada	ancy State	N/A		
Mobility I	MAC	00:50:56:/	AC:6D:08	
Redundar	ncy Management IP	Address	. 0.0.0.0	
Peer Red	undancy Manageme	ent IP Address	0.0.0.0	
Redundar	ncy Port IP Address.	0.0.0	0.0	
Peer Red	undancy Port IP Add	dress 16	59.254.0.0	

### AP Bundle Information

Primary AP II	mage	Size
ap1g1	126	660
ap1g2	117	748
ap1g3	136	572
ap1g4	192	256
ap3g1	973	86
ap3g2	134	180
ap3g3	186	696
ap801	806	54
ap802	953	86

c1140	8636
c1520	7344
c1550	10628
c1570	11536
c602i	3864
version.info	4

Secondary AP Image		Size
ap1g1	12660	
ap1g2	11748	
ap1g3	13672	
ap1g4	19256	
ap3g1	9736	
ap3g2	13480	
ap3g3	18696	
ap801	8064	
ap802	9536	
c1140	8636	
c1520	7344	
c1550	10628	
c1570	11536	
c602i	3864	
version.info		4

Switch	Configu	uration
--------	---------	---------

802.3x Flow Control Mode	Disable
FIPS prerequisite features	Disabled
WLANCC prerequisite features	Disabled
UCAPL prerequisite features	Disabled

secret obfuscation Enabled
Strong Password Check Features
case-check Enabled
consecutive-check Enabled
default-check Enabled
username-check Enabled
position-check Disabled
case-digit-check Disabled
Min. Password length 3
Min. Upper case chars0
Min. Lower case chars0
Min. Digits chars0
Min. Special chars0
Mgmt User
Password Lifetime [days]0
Password Lockout Disabled
Lockout Attempts 3
Lockout Timeout [mins]5
SNMPv3 User
Password Lifetime [days]0
Password Lockout Disabled
Lockout Attempts 3
Lockout Timeout [mins]5
Network Information
RF-Network Name WLAN

DNS Server IP	
Web Mode	Disable
Secure Web Mode	Enable
Secure Web Mode Cipher-Option	High Disable

Secure Web Mode Cipher-Option SSLv2 Disable
Secure Web Mode RC4 Cipher Preference Disable
Secure Web Mode SSL Protocol Disable
OCSP Disabled
OCSP responder URL
Secure Shell (ssh) Enable
Secure Shell (ssh) Cipher-Option High Disable
Telnet Disable
Ethernet Multicast Forwarding Disable
Ethernet Broadcast Forwarding Disable
IPv4 AP Multicast/Broadcast Mode Unicast
IPv6 AP Multicast/Broadcast Mode Unicast
IGMP snooping Disabled
IGMP timeout 60 seconds
IGMP Query Interval 20 seconds
MLD snooping Disabled
MLD timeout 60 seconds
MLD query interval 20 seconds
User Idle Timeout 300 seconds
ARP Idle Timeout 300 seconds
Cisco AP Default Master Disable
AP Join Priority Disable
Mgmt Via Wireless Interface Disable
Mgmt Via Dynamic Interface Disable
Bridge MAC filter Config Enable
Bridge Security Mode EAP
Mesh Full Sector DFS Enable
Mesh Backhaul RRM Disable
AP Fallback Enable
Web Auth CMCC Support Disabled

Web Auth Redirect Ports 80	
Web Auth Proxy Redirect Disable	
Web Auth Captive-Bypass Disable	
Web Auth Secure Web Enable	
Web Auth Secure Redirection Disable	
Fast SSID Change Disabled	
AP Discovery - NAT IP Only Enabled	
IP/MAC Addr Binding Check Enabled	
Link Local Bridging Status Disabled	
CCX-lite status Disable	
oeap-600 dual-rlan-ports Disable	
oeap-600 local-network Enable	
oeap-600 Split Tunneling (Printers) Disable	
WebPortal Online Client 0	
WebPortal NTF_LOGOUT Client 0	
mDNS snooping Disabled	
mDNS Query Interval 15 minutes	,
Web Color Theme Default	
Capwap Prefer Mode IPv4	
Network Profile Disabled	
Client ip conflict detection (DHCP) Disablec	I
Mesh BH RRM Disable	
Mesh Aggressive DCA Disable	
Mesh Auto RF Disable	
HTTP Profiling Port	

## Port Summary

STP Admin Physical Physical Link Link Pr Type Stat Mode Mode Status Status Trap POE

-- ----- ----- ------

DRAFT
1 Normal Forw Enable Auto 1000 Full Up Enable N/A
AP Summary
Number of APs 2
Global AP User Name Not Configured
Global AP Dot1x User Name Not Configured
AP Name Slots AP Model Ethernet MAC Location Country IP Address Clients DSE Location
AP78da.6ee0.08ec 2 AIR-CAP1602I-A-K9 78:da:6e:e0:08:ec default location US 192.168.250.10 0 [0 ,0 ,0 ]
AP24e9.b34b.f1ed 2 AIR-CAP1602I-A-K9 24:e9:b3:4b:f1:ed default location US 192.168.250.11 1 [0,0,0]
AP Tcp-Mss-Adjust Info
AP Name TCP State MSS Size
AP78da.6ee0.08ec disabled -
AP24e9.b34b.f1ed disabled -
AP Location
Total Number of AP Groups1
Site Name default-group
Site Description< <none></none>
NAS-identifiernone
Client Traffic QinQ Enable FALSE
DHCPv4 QinQ Enable FALSE
AP Operating Class Not-configured
Capwap Prefer Mode Not-configured

#### **RF Profile**

\_\_\_\_\_

2.4	GHz	band	<none></none>
-----	-----	------	---------------

5 GHz band...... <none>

WLAN ID	Interface	Network Admissio	n Control	Radio Policy
1	ip_dev	Disabled	None	
2	ip_dev	Disabled	None	

\*AP3600 with 802.11ac Module will only advertise first 8 WLANs on 5GHz radios.

### Lan Port configs

-----

LAN	Status	POE	RLAN
1	Disabled	Disabled	None
2	Disabled	No	one
3	Disabled	No	one

#### External 3G/4G module configs

-----

LAN	Status	POE	RLAN
LAN	Status	POE	RLAI

- --- ---- ----
- 1 Disabled None

AP Name	Slots AP Model	Ethernet MAC	Location	Port Country Priority
		Ethernet winte	Location	Tore country Thomey

AP78da.6ee0.08ec	2 AIR-C	AP1602I-A-K9	78:da:6e:e0:08:e	c default loca	ition 1	US	1
AP24e9.b34b.f1ed	2 AIR-C	AP1602I-A-K9	24:e9:b3:4b:f1:e	d default loca	tion 1	US	1
RF Profile							
Number of PE Brofil		6					
	es	0					
Out Of Box State		Disable	b				
Out Of Box Persister	nce	Disab	led				
	_				<b>A</b> 1.		
RF Profile Name	Ban	d Description	n 11	n-client-only	Applied		
High-Client-Density-	-802.11a	5 GHz <non< td=""><td>e&gt;</td><td>disable</td><td>No</td><td></td><td></td></non<>	e>	disable	No		
High-Client-Density-	-802.11bg	2.4 GHz <nc< td=""><td>one&gt;</td><td>disable</td><td>No</td><td></td><td></td></nc<>	one>	disable	No		
Low-Client-Density-	802.11a	5 GHz <non< td=""><td>e&gt;</td><td>disable</td><td>No</td><td></td><td></td></non<>	e>	disable	No		
Low-Client-Density-8	802.11bg	2.4 GHz <nc< td=""><td>one&gt;</td><td>disable</td><td>No</td><td></td><td></td></nc<>	one>	disable	No		
Typical-Client-Densi	ty-802.11a	5 GHz <noi< td=""><td>ne&gt;</td><td>disable</td><td>No</td><td></td><td></td></noi<>	ne>	disable	No		
Typical-Client-Densi	ty-802.11b	g 2.4 GHz <n< td=""><td>one&gt;</td><td>disable</td><td>No</td><td></td><td></td></n<>	one>	disable	No		

RF Profile name	. High-Client-Density-802.11a
Description	<none></none>
AP Group Name	<none></none>
Radio policy	5 GHz
11n-client-only	disabled
Transmit Power Threshold v1	65 dBm
Transmit Power Threshold v2	67 dBm
Min Transmit Power	7 dBm

Max Transmit Power 30 dBm	
302.11a Operational Rates	
802.11a 6M Rate Disabled	
802.11a 9M Rate Disabled	
802.11a 12M Rate Mandatory	
802.11a 18M Rate Supported	
802.11a 24M Rate Mandatory	
802.11a 36M Rate Supported	
802.11a 48M Rate Supported	
802.11a 54M Rate Supported	
Max Clients 200	

WLAN ID	Max Clients
1	600
2	600

# Trap Threshold

Clients	12 clients
Interference	10 %
Noise	70 dBm
Utilization	80 %
Multicast Data Rate	0
Rx Sop Threshold	78 dBm
Cca Threshold	0 dBm
Slot Admin State:	Enabled

## Band Select

Probe Response	Disabled
Cycle Count	2 cycles

Cycle Threshold	. 200 milliseconds
Expire Suppression	20 seconds
Expire Dual Band	60 seconds
Client Rssi8	0 dBm
Client Mid Rssi	-80 dBm

### Load Balancing

Denial	3 count
Window	5 clients

# Coverage Data

	Data
	Voice80 dBm
	Minimum Client Level 3 clients
	Exception Level 25 %
C	DCA Channel List
	104,108,112,116,120,124,128,
	132,136,140,144,149,153,157,

#### 161

DCA Bandwidth2	0
----------------	---

DCA Foreign AP Contribution..... enabled

802.11n MCS Rates

MCS-00 Rate	enabled
MCS-01 Rate	enabled
MCS-02 Rate	enabled
MCS-03 Rate	enabled
MCS-04 Rate	enabled
MCS-05 Rate	enabled
MCS-06 Rate	enabled

	MCS-07 Rate enabled	
	MCS-08 Rate enabled	
	MCS-09 Rate enabled	
	MCS-10 Rate enabled	
	MCS-11 Rate enabled	
	MCS-12 Rate enabled	
	MCS-13 Rate enabled	
	MCS-14 Rate enabled	
	MCS-15 Rate enabled	
	MCS-16 Rate enabled	
	MCS-17 Rate enabled	
	MCS-18 Rate enabled	
	MCS-19 Rate enabled	
	MCS-20 Rate enabled	
	MCS-21 Rate enabled	
	MCS-22 Rate enabled	
	MCS-23 Rate enabled	
	MCS-24 Rate enabled	
	MCS-25 Rate enabled	
	MCS-26 Rate enabled	
	MCS-27 Rate enabled	
	MCS-28 Rate enabled	
	MCS-29 Rate enabled	
	MCS-30 Rate enabled	
	MCS-31 Rate enabled	
С	ient Network Preference defaul	t

RF Profile name...... High-Client-Density-802.11bg Description...... <none> AP Group Name....... <none>

Radio policy	2.4 GHz
11n-client-only	. disabled
Transmit Power Threshold v1	70 dBm
Transmit Power Threshold v2	67 dBm
Min Transmit Power	7 dBm
Max Transmit Power	30 dBm
802.11b/g Operational Rates	
802.11b/g 1M Rate	Disabled
802.11b/g 2M Rate	Disabled
802.11b/g 5.5M Rate	Disabled
802.11b/g 11M Rate	Disabled
802.11g 6M Rate	Disabled
802.11g 9M Rate	Supported
802.11g 12M Rate	Mandatory
802.11g 18M Rate	Supported
802.11g 24M Rate	Supported
802.11g 36M Rate	Supported
802.11g 48M Rate	Supported
802.11g 54M Rate	Supported
Max Clients	200

WLAN ID	Max Clients

1	600
2	600

# Trap Threshold

Clients	12 clients
Interference	10 %
Noise	70 dBm

Utilization	. 80 %
Multicast Data Rate	0
Rx Sop Threshold	82 dBm
Cca Threshold	0 dBm
Slot Admin State:	Enabled

### Band Select

Probe Response	Disabled
Cycle Count	2 cycles
Cycle Threshold	200 milliseconds
Expire Suppression	20 seconds
Expire Dual Band	60 seconds
Client Rssi	80 dBm
Client Mid Rssi	80 dBm

### Load Balancing

Denial 3 c	ount
Window5	clients

# Coverage Data

Data	80 dBm
Voice	80 dBm
Minimum Client Level	3 clients
Exception Level	25 %
DCA Channel List	
DCA Bandwidth	20
DCA Foreign AP Contribution	n enabled

802.11n MCS Rates

MCS-00 Rate..... enabled

MCS-01 Rate enabled
MCS-02 Rate enabled
MCS-03 Rate enabled
MCS-04 Rate enabled
MCS-05 Rate enabled
MCS-06 Rate enabled
MCS-07 Rate enabled
MCS-08 Rate enabled
MCS-09 Rate enabled
MCS-10 Rate enabled
MCS-11 Rate enabled
MCS-12 Rate enabled
MCS-13 Rate enabled
MCS-14 Rate enabled
MCS-15 Rate enabled
MCS-16 Rate enabled
MCS-17 Rate enabled
MCS-18 Rate enabled
MCS-19 Rate enabled
MCS-20 Rate enabled
MCS-21 Rate enabled
MCS-22 Rate enabled
MCS-23 Rate enabled
MCS-24 Rate enabled
MCS-25 Rate enabled
MCS-26 Rate enabled
MCS-27 Rate enabled
MCS-28 Rate enabled
MCS-29 Rate enabled
MCS-30 Rate enabled

MCS-31 Rate	enabled
Client Network Preference	default

RF Profile name Low-Client-Density-802.11a
Description<
AP Group Name <none></none>
Radio policy 5 GHz
11n-client-only disabled
Transmit Power Threshold v160 dBm
Transmit Power Threshold v267 dBm
Min Transmit Power10 dBm
Max Transmit Power 30 dBm
802.11a Operational Rates
802.11a 6M Rate Mandatory
802.11a 9M Rate Supported
802.11a 12M Rate Mandatory
802.11a 18M Rate Supported
802.11a 24M Rate Mandatory
802.11a 36M Rate Supported
802.11a 48M Rate Supported
802.11a 54M Rate Supported
Max Clients 200

1	600
2	600

Trap Threshold

Clients..... 12 clients

Interference	10 %
Noise	70 dBm
Utilization	80 %
Multicast Data Rate	0
Rx Sop Threshold	80 dBm
Cca Threshold	0 dBm
Slot Admin State:	Enabled

### Band Select

Probe Response	Disabled
Cycle Count	2 cycles
Cycle Threshold	200 milliseconds
Expire Suppression	20 seconds
Expire Dual Band	60 seconds
Client Rssi	80 dBm
Client Mid Rssi	80 dBm

# Load Balancing

Denial	3 count
Window	5 clients

### Coverage Data

Data90 dBm	
Voice90 dBm	
Minimum Client Level 2 clients	
Exception Level 25 %	
DCA Channel List 36,40,44,48	,52,56,60,64,100,
104,108,112,116,120,	124,128,
132,136,140,144,149,	153,157,
161	

DCA Bandwidth 20	)
DCA Foreign AP Contribution	enabled

### 802.11n MCS Rates

MCS-00 Rate enabled
MCS-01 Rate enabled
MCS-02 Rate enabled
MCS-03 Rate enabled
MCS-04 Rate enabled
MCS-05 Rate enabled
MCS-06 Rate enabled
MCS-07 Rate enabled
MCS-08 Rate enabled
MCS-09 Rate enabled
MCS-10 Rate enabled
MCS-11 Rate enabled
MCS-12 Rate enabled
MCS-13 Rate enabled
MCS-14 Rate enabled
MCS-15 Rate enabled
MCS-16 Rate enabled
MCS-17 Rate enabled
MCS-18 Rate enabled
MCS-19 Rate enabled
MCS-20 Rate enabled
MCS-21 Rate enabled
MCS-22 Rate enabled
MCS-23 Rate enabled
MCS-24 Rate enabled
MCS-25 Rate enabled

	MCS-26 Rate enable	d
	MCS-27 Rate enable	d
	MCS-28 Rate enable	d
	MCS-29 Rate enable	d
	MCS-30 Rate enable	d
	MCS-31 Rate enable	d
(	Client Network Preferenced	efault

RF Profile name Low-Client-Density-802.11bg
Description< <none></none>
AP Group Name <none></none>
Radio policy 2.4 GHz
11n-client-only disabled
Transmit Power Threshold v165 dBm
Transmit Power Threshold v267 dBm
Min Transmit Power10 dBm
Max Transmit Power 30 dBm
802.11b/g Operational Rates
802.11b/g 1M Rate Mandatory
802.11b/g 2M Rate Mandatory
802.11b/g 5.5M Rate Mandatory
802.11b/g 11M Rate Mandatory
802.11g 6M Rate Supported
802.11g 9M Rate Supported
802.11g 12M Rate Supported
802.11g 18M Rate Supported
802.11g 24M Rate Supported
802.11g 36M Rate Supported
802.11g 48M Rate Supported
802.11g 54M Rate Supported

Max Clients...... 200

WLAN ID	Max Clients

1	600
2	600

## Trap Threshold

Clients	12 clients
Interference	10 %
Noise	70 dBm
Utilization	80 %
Multicast Data Rate	0
Rx Sop Threshold	85 dBm
Cca Threshold	0 dBm
Slot Admin State:	Enabled

### Band Select

Probe Response	Disabled
Cycle Count	2 cycles
Cycle Threshold	200 milliseconds
Expire Suppression	20 seconds
Expire Dual Band	60 seconds
Client Rssi	80 dBm
Client Mid Rssi	80 dBm

## Load Balancing

Denial	3 count
Window	5 clients

# Coverage Data

	Data	-90 dBm
	Voice	-90 dBm
	Minimum Client Level	2 clients
	Exception Level	25 %
D	CA Channel List	1,6,11
D	CA Bandwidth	20
D	CA Foreign AP Contribution	enabled

## 802.11n MCS Rates

MCS-00 Rate enable	d
MCS-01 Rate enable	d
MCS-02 Rate enable	d
MCS-03 Rate enable	d
MCS-04 Rate enable	d
MCS-05 Rate enable	d
MCS-06 Rate enable	d
MCS-07 Rate enable	d
MCS-08 Rate enable	d
MCS-09 Rate enable	d
MCS-10 Rate enable	d
MCS-11 Rate enable	d
MCS-12 Rate enable	d
MCS-13 Rate enable	d
MCS-14 Rate enable	d
MCS-15 Rate enable	d
MCS-16 Rate enable	d
MCS-17 Rate enable	d
MCS-18 Rate enable	d
MCS-19 Rate enable	d

	MCS-20 Rate	enabled
	MCS-21 Rate	enabled
	MCS-22 Rate	enabled
	MCS-23 Rate	enabled
	MCS-24 Rate	enabled
	MCS-25 Rate	enabled
	MCS-26 Rate	enabled
	MCS-27 Rate	enabled
	MCS-28 Rate	enabled
	MCS-29 Rate	enabled
	MCS-30 Rate	enabled
	MCS-31 Rate	enabled
Cl	lient Network Preference	default

RF Profile name Typical-Client-Density-802.11a
Description<
AP Group Name <none></none>
Radio policy 5 GHz
11n-client-only disabled
Transmit Power Threshold v170 dBm
Transmit Power Threshold v267 dBm
Min Transmit Power10 dBm
Max Transmit Power 30 dBm
802.11a Operational Rates
802.11a 6M Rate Mandatory
802.11a 9M Rate Supported
802.11a 12M Rate Mandatory
802.11a 18M Rate Supported
802.11a 24M Rate Mandatory
802.11a 36M Rate Supported

802.1	1a 48M Rate	Supported
802.1	1a 54M Rate	Supported
Max Clie	ents	200

## WLAN ID Max Clients

1	600
2	600

## Trap Threshold

Clients	12 clients
Interference	10 %
Noise	70 dBm
Utilization	80 %
Multicast Data Rate	0
Rx Sop Threshold	AUTO
Cca Threshold	0 dBm
Slot Admin State:	Enabled

### Band Select

Probe Response	Disabled
Cycle Count	2 cycles
Cycle Threshold	200 milliseconds
Expire Suppression	20 seconds
Expire Dual Band	60 seconds
Client Rssi	80 dBm
Client Mid Rssi	

# Load Balancing

Denial..... 3 count

Window...... 5 clients

### Coverage Data

Data80 dBm		
Voice80 dBm		
Minimum Client Level 3 clients		
Exception Level 25 %		
DCA Channel List		
104,108,112,116,120,124,128,		
132,136,140,144,149,153,157,		
161		
DCA Bandwidth 20		
DCA Foreign AP Contribution enabled		

802.11n MCS Rates

MCS-00 Rate enabled
MCS-01 Rate enabled
MCS-02 Rate enabled
MCS-03 Rate enabled
MCS-04 Rate enabled
MCS-05 Rate enabled
MCS-06 Rate enabled
MCS-07 Rate enabled
MCS-08 Rate enabled
MCS-09 Rate enabled
MCS-10 Rate enabled
MCS-11 Rate enabled
MCS-12 Rate enabled
MCS-13 Rate enabled
MCS-14 Rate enabled

MCS-15 Rate enabled	
MCS-16 Rate enabled	
MCS-17 Rate enabled	
MCS-18 Rate enabled	
MCS-19 Rate enabled	
MCS-20 Rate enabled	
MCS-21 Rate enabled	
MCS-22 Rate enabled	
MCS-23 Rate enabled	
MCS-24 Rate enabled	
MCS-25 Rate enabled	
MCS-26 Rate enabled	
MCS-27 Rate enabled	
MCS-28 Rate enabled	
MCS-29 Rate enabled	
MCS-30 Rate enabled	
MCS-31 Rate enabled	
Client Network Preference default	

RF Profile name Ty	pical-Client-Density-802.11bg
Description	one>
AP Group Name	<none></none>
Radio policy 2.4	GHz
11n-client-only di	isabled
Transmit Power Threshold v1	70 dBm
Transmit Power Threshold v2	67 dBm
Min Transmit Power	10 dBm
Max Transmit Power	30 dBm
802.11b/g Operational Rates	
802.11b/g 1M Rate	. Disabled

	802.11b/g 2M Rate	Disabled
	802.11b/g 5.5M Rate	Disabled
	802.11b/g 11M Rate	Disabled
	802.11g 6M Rate	Disabled
	802.11g 9M Rate	Supported
	802.11g 12M Rate	Mandatory
	802.11g 18M Rate	Supported
	802.11g 24M Rate	Supported
	802.11g 36M Rate	Supported
	802.11g 48M Rate	Supported
	802.11g 54M Rate	Supported
N	1ax Clients	200

 WLAN ID
 Max Clients

 1
 600

 2
 600

Trap Threshold

Clients	12 clients
Interference	10 %
Noise	70 dBm
Utilization	80 %
Multicast Data Rate	0
Rx Sop Threshold	AUTO
Cca Threshold	0 dBm
Slot Admin State:	Enabled

**Band Select** 

Probe Response..... Disabled

Cycle Count	2 cycles
Cycle Threshold	200 milliseconds
Expire Suppression	20 seconds
Expire Dual Band	60 seconds
Client Rssi	80 dBm
Client Mid Rssi	80 dBm

## Load Balancing

Denial	3 count
Window	5 clients

## Coverage Data

Data	80 dBm
Voice	80 dBm
Minimum Client Level	3 clients
Exception Level	25 %
DCA Channel List	1,6,11
DCA Bandwidth	20
DCA Foreign AP Contributi	on enabled

### 802.11n MCS Rates

MCS-00 Rate	enabled
MCS-01 Rate	enabled
MCS-02 Rate	enabled
MCS-03 Rate	enabled
MCS-04 Rate	enabled
MCS-05 Rate	enabled
MCS-06 Rate	enabled
MCS-07 Rate	enabled
MCS-08 Rate	enabled

MCS-	09 Rate	enabled
MCS-	10 Rate	enabled
MCS-	11 Rate	enabled
MCS-	12 Rate	enabled
MCS-	13 Rate	enabled
MCS-	14 Rate	enabled
MCS-	15 Rate	enabled
MCS-	16 Rate	enabled
MCS-	17 Rate	enabled
MCS-	18 Rate	enabled
MCS-	19 Rate	enabled
MCS-	20 Rate	enabled
MCS-	21 Rate	enabled
MCS-	22 Rate	enabled
MCS-	23 Rate	enabled
MCS-	24 Rate	enabled
MCS-	25 Rate	enabled
MCS-	26 Rate	enabled
MCS-	27 Rate	enabled
MCS-	28 Rate	enabled
MCS-	29 Rate	enabled
MCS-	30 Rate	enabled
MCS-	31 Rate	enabled
Client I	Network Prefere	nce default

## AP Config

Cisco AP Identifier	3	
Cisco AP Name	AP78da.6ee0.08ec	
Country code l	JS - United States	
Regulatory Domain allowed by Counti	y 802.11bg:-A	802.11a:-AB

AP Country code US - Unite	ed States
AP Regulatory DomainA	
Switch Port Number1	
MAC Address 78:da:6e:e	0:08:ec
IP Address Configuration DHCP	
IP Address 192.168.250.	10
IP NetMask 255.255.255	5.0
Gateway IP Addr 192.168.2	50.1
NAT External IP Address None	
CAPWAP Path MTU 1485	
DHCP Release Override Disable	ed
Telnet State Globally Disa	bled
Ssh State Globally Disak	oled
Cisco AP Location default loc	cation
Cisco AP Floor Label0	
Cisco AP Group Name default	-group
Primary Cisco Switch Name	
Primary Cisco Switch IP Address Not	Configured
Secondary Cisco Switch Name	
Secondary Cisco Switch IP Address Not	t Configured
Tertiary Cisco Switch Name	
Tertiary Cisco Switch IP Address Not C	Configured
Administrative StateADMIN_E	
Operation State REGISTERE	
	D
Mirroring Mode Disabled	D
Mirroring Mode Disabled AP Mode FlexConnect	D
Mirroring Mode Disabled AP Mode FlexConnect Public Safety Disabled	D
Mirroring Mode Disabled AP Mode FlexConnect Public Safety Disabled ATF Mode: Disable	D
Mirroring Mode Disabled AP Mode FlexConnect Public Safety Disabled ATF Mode: Disable AP SubMode Not Config	Ured

AP Vlan Trunking	Disabled
Remote AP Debug	Disabled
Logging trap severity level	informational
Logging syslog facility	kern
S/W Version	8.2.111.0
Boot Version	15.2.2.0
Mini IOS Version	7.5.1.73
Stats Reporting Period	180
Stats Collection Mode	normal
LED State	Enabled
PoE Pre-Standard Switch	Disabled
PoE Power Injector MAC Addr	Disabled
Power Type/Mode	PoE/Full Power
Number Of Slots	
AP Model	AIR-CAP1602I-A-K9
AP Image	C1600-K9W8-M
IOS Version	15.3(3)JC2\$
Reset Button	Enabled
AP Serial Number	FGL1748W52Y
AP Certificate Type	Manufacture Installed
AP Lag Status	Disable
Native Vlan Inheritance:	AP
FlexConnect Vlan mode :	Disabled
FlexConnect Group	Not a member of any group
Group VLAN ACL Mappings	

Group VLAN Name to Id Mappings

Template in Modified State - apply it to see mappings

AP-Specific FlexConnect Policy ACLs :

L2Acl Configuration Not Available					
FlexConn	ect Local-Split ACLs :				
WLAN ID	PROFILE NAME	ACL	ТҮРЕ		
Flexconn	ect Central-Dhcp Values	:			
WLAN ID	PROFILE NAME	Central-Dhcp	DNS Override	e Nat-Pat	Туре
1 IP_	Dev No Encryption	False F	alse False	Wlan	
Flex AVC	visibility Configurations.				
WlanId P	PROFILE NAME	Inherit-level Visibi	lity Flex Avc-	profile	
1 IP_	_Dev No Encryption	wlan-spec disal	ble none		
FlexConn	ect Backup Auth Radius	Servers :			
Primary Radius Server Disabled					
Seconda	ry Radius Server	Disabled			
AP User N	Node	AUTOMATIC			
AP User N	Name	Cisco			
AP Dot1x User Mode Not Configured					
AP Dot1x User Name Not Configured					
Cisco AP	system logging host	255.255.25	55.255		
AP Core [	Dump Config	Disabled			
AP Up Time 2 days, 22 h 22 m 20 s					
AP LWAPP Up Time 2 days, 22 h 18 m 20 s					
Join Date	and Time	Mon Aug 15 2	1:47:06 2016		

Join Taken Time...... 0 days, 00 h 03 m 59 s

Attributes for Slot 0

### Station Configuration

Configuration	AUTOMATIC
Number Of WLANs	1
Medium Occupancy Limit	100
CFP Period	4
CFP MaxDuration	60
BSSID	5c:a4:8a:be:ca:90
Operation Rate Set	
1000 Kilo Bits	MANDATORY
2000 Kilo Bits	MANDATORY
5500 Kilo Bits	MANDATORY
11000 Kilo Bits	MANDATORY
6000 Kilo Bits	SUPPORTED
9000 Kilo Bits	SUPPORTED
12000 Kilo Bits	SUPPORTED
18000 Kilo Bits	SUPPORTED
24000 Kilo Bits	SUPPORTED
36000 Kilo Bits	SUPPORTED
48000 Kilo Bits	SUPPORTED
54000 Kilo Bits	SUPPORTED

## MCS Set

MCS 0 SUPPORTED
MCS 1 SUPPORTED
MCS 2 SUPPORTED
MCS 3 SUPPORTED
MCS 4 SUPPORTED
MCS 5 SUPPORTED
MCS 6 SUPPORTED
MCS 7 SUPPORTED
MCS 8 SUPPORTED
MCS 9 SUPPORTED
MCS 10 SUPPORTED
MCS 11 SUPPORTED
MCS 12 SUPPORTED
MCS 13 SUPPORTED
MCS 14 SUPPORTED
MCS 15 SUPPORTED
MCS 16 DISABLED
MCS 17 DISABLED
MCS 18 DISABLED
MCS 19 DISABLED
MCS 20 DISABLED
MCS 21 DISABLED
MCS 22 DISABLED
MCS 23 DISABLED
MCS 24 DISABLED
MCS 25 DISABLED
MCS 26 DISABLED
MCS 27 DISABLED
MCS 28 DISABLED

MCS 29 DISABLED
MCS 30 DISABLED
MCS 31 DISABLED
Beacon Period 100
Fragmentation Threshold 2346
Multi Domain Capability Implemented TRUE
Multi Domain Capability Enabled TRUE
Country String US

Multi Domain Capability

Configuration	AUTOMATIC
First Chan Num	1
Number Of Channels	11

### **MAC Operation Parameters**

Configuration	AUTOMATIC
Fragmentation Threshold	2346
Packet Retry Limit	. 64

# Tx Power

Num Of Supported Power Levels	6
Tx Power Level 1 2	22 dBm
Tx Power Level 2 1	L9 dBm
Tx Power Level 3 1	L6 dBm
Tx Power Level 4 1	L3 dBm
Tx Power Level 5 1	LO dBm
Tx Power Level 6	7 dBm
Tx Power Configuration	AUTOMATIC
Current Tx Power Level	1
Tx Power Assigned By	DTPC

Phy OFDM parameters
Configuration AUTOMATIC
Current Channel 11
Channel Assigned By DCA
Extension Channel NONE
Channel Width 20 Mhz
Allowed Channel List 1,2,3,4,5,6,7,8,9,10,11
TI Threshold50
DCA Channel List Global
Legacy Tx Beamforming Configuration CUSTOMIZED
Legacy Tx Beamforming ENABLED
Antenna Type INTERNAL_ANTENNA
Internal Antenna Gain (in .5 dBi units) 8
DiversityDIVERSITY_ENABLED
802.11n Antennas
A ENABLED
B ENABLED

C..... ENABLED

Performance Profile Parameters

ConfigurationAUTOMATIC		
Interference threshold 10 %		
Noise threshold70 dBm		
RF utilization threshold 80 %		
Data-rate threshold 1000000 bps		
Client threshold 12 clients		
Coverage SNR threshold 12 dB		
Coverage exception level 25 %		
Client minimum exception level 3 clients		

Rogue Containment Information

Containment Count...... 0

CleanAir Management Information CleanAir Capable......Yes CleanAir Management Administration St.... Enabled CleanAir Management Operation State..... Down Rapid Update Mode......Off Spectrum Expert connection..... Enabled CleanAir NSI Key...... C44B365F4CFF338BE94B85633D98944B Spectrum Expert Connections counter.... 0 CleanAir Sensor State....... Configured

### **Radio Extended Configurations**

Beacon period	. 100 milliseconds
Beacon range	. AUTO
Multicast buffer	. AUTO
Multicast data-rate	AUTO
RX SOP threshold	AUTO
CCA threshold	. AUTO

### Attributes for Slot 1

Radio Type	RADIO_TYPE_80211n-5
Radio Subband	RADIO_SUBBAND_ALL
Administrative State	ADMIN_ENABLED
Operation State	UP
Mesh Radio Role	ACCESS
Radio Role	Client Serving (Remote)
CellId	0
Station Configuration	
-----------------------	-------------------
Configuration	AUTOMATIC
Number Of WLANs	1
Medium Occupancy Lin	nit 100
CFP Period	4
CFP MaxDuration	60
BSSID	5c:a4:8a:be:ca:90
Operation Rate Set	
6000 Kilo Bits	MANDATORY
9000 Kilo Bits	SUPPORTED
12000 Kilo Bits	MANDATORY
18000 Kilo Bits	SUPPORTED
24000 Kilo Bits	MANDATORY
36000 Kilo Bits	SUPPORTED
48000 Kilo Bits	SUPPORTED
54000 Kilo Bits	SUPPORTED
MCS Set	
MCS 0	SUPPORTED
MCS 1	SUPPORTED
MCS 2	SUPPORTED
MCS 3	SUPPORTED
MCS 4	SUPPORTED
MCS 5	SUPPORTED
MCS 6	SUPPORTED
MCS 7	SUPPORTED
MCS 8	SUPPORTED
MCS 9	SUPPORTED
MCS 10	SUPPORTED
MCS 11	SUPPORTED
MCS 12	SUPPORTED

MCS 13	SUPPORTED		
MCS 14	SUPPORTED		
MCS 15	SUPPORTED		
MCS 16	DISABLED		
MCS 17	DISABLED		
MCS 18	DISABLED		
MCS 19	DISABLED		
MCS 20	DISABLED		
MCS 21	DISABLED		
MCS 22	DISABLED		
MCS 23	DISABLED		
MCS 24	DISABLED		
MCS 25	DISABLED		
MCS 26	DISABLED		
MCS 27	DISABLED		
MCS 28	DISABLED		
MCS 29	DISABLED		
MCS 30	DISABLED		
MCS 31	DISABLED		
Beacon Period	100		
Fragmentation Threshold	d 2346		
Multi Domain Capability	Implemented TRUE		
Multi Domain Capability Enabled TRUE			
Country String	US		

# Multi Domain Capability

Configuration	AUTOMATIC
First Chan Num	36
Number Of Channels	21

MAC Operation Parameters
Configuration AUTOMATIC
Fragmentation Threshold 2346
Packet Retry Limit64
Tx Power
Num Of Supported Power Levels 6
Tx Power Level 1 22 dBm
Tx Power Level 2 19 dBm
Tx Power Level 3 16 dBm
Tx Power Level 4 13 dBm
Tx Power Level 5 10 dBm
Tx Power Level 6 7 dBm
Tx Power Configuration AUTOMATIC
Current Tx Power Level 1
Tx Power Assigned By DTPC

# Phy OFDM parameters

Internal Antenna Gain (in .5 dBi units) 8				
Diversity	DIVERSITY_ENABLED			
802.11n Antennas				
A	ENABLED			
В	ENABLED			
C	ENABLED			

## Performance Profile Parameters

Configuration	AUTOMATIC
Interference thresh	old 10 %
Noise threshold	70 dBm
RF utilization thresh	nold 80 %
Data-rate threshold	1000000 bps
Client threshold	12 clients
Coverage SNR thres	hold 16 dB
Coverage exception	level 25 %
Client minimum exc	ception level 3 clients
Rogue Containment	Information
Containment Count	0

CleanAir Management Information

CleanAir Capable	. Yes	
CleanAir Management Administ	ration St En	abled
CleanAir Management Operation	n State Do	wn

- Rapid Update Mode..... Off
- Spectrum Expert connection..... Enabled
- CleanAir NSI Key..... C44B365F4CFF338BE94B85633D98944B
- Spectrum Expert Connections counter.... 0
- CleanAir Sensor State..... Configured

Radio Extended Configurations

100 milliseconds
AUTO

Cisco AP Identifier 4
Cisco AP Name AP24e9.b34b.f1ed
Country code US - United States
Regulatory Domain allowed by Country 802.11bg:-A 802.11a:-AB
AP Country code US - United States
AP Regulatory DomainA
Switch Port Number 1
MAC Address 24:e9:b3:4b:f1:ed
IP Address Configuration DHCP
IP Address 192.168.250.11
IP NetMask 255.255.255.0
Gateway IP Addr 192.168.250.1
NAT External IP Address None
NAT External IP Address None CAPWAP Path MTU
NAT External IP Address None CAPWAP Path MTU 1485 DHCP Release Override Disabled
NAT External IP Address None CAPWAP Path MTU 1485 DHCP Release Override Disabled Telnet State Globally Disabled
NAT External IP Address None CAPWAP Path MTU 1485 DHCP Release Override Disabled Telnet State Globally Disabled Ssh State Globally Disabled
NAT External IP Address None CAPWAP Path MTU 1485 DHCP Release Override Disabled Telnet State Globally Disabled Ssh State Globally Disabled Cisco AP Location default location
NAT External IP Address None CAPWAP Path MTU
NAT External IP Address None CAPWAP Path MTU
NAT External IP Address None CAPWAP Path MTU

Secondary Cisco Switch Name
Secondary Cisco Switch IP Address Not Configured
Tertiary Cisco Switch Name
Tertiary Cisco Switch IP Address Not Configured
Administrative State ADMIN_ENABLED
Operation State REGISTERED
Mirroring Mode Disabled
AP Mode FlexConnect
Public Safety Disabled
ATF Mode: Disable
AP SubMode Not Configured
Rogue Detection Enabled
AP Vlan Trunking Disabled
Remote AP Debug Disabled
Logging trap severity level emergencies
Logging syslog facility system
S/W Version 8.2.111.0
Boot Version 15.2.2.0
Mini IOS Version 7.5.1.73
Stats Reporting Period 180
Stats Collection Mode normal
LED State Enabled
PoE Pre-Standard Switch Disabled
PoE Power Injector MAC Addr Disabled
Power Type/Mode PoE/Full Power
Number Of Slots 2
AP Model AIR-CAP1602I-A-K9
AP Image C1600-K9W8-M
IOS Version 15.3(3)JC2\$
Reset Button Enabled

AP Serial	Number	FGL1748\	N52S			
AP Certifi	cate Type	Manufact	ure Installe	ed		
AP Lag Sta	atus	Disable				
Native Vla	an Inheritance:	Group				
FlexConne	ect Vlan mode :	Disable	ed			
FlexConne	ect Group	Not a me	ember of a	any group		
Group VL/	AN ACL Mappings					
Group VL	AN Name to Id Mappings	5				
Template	in Modified State - appl	y it to see map	opings			
AP-Specif	ic FlexConnect Policy ACI	Ls :				
L2Acl Con	figuration	Not Avail	able			
FlexConne	ect Local-Split ACLs :					
WLAN ID	PROFILE NAME	ACL		TYPE		
Flexconn	ect Central-Dhcp Values	:				
						_
WLAN ID	PROFILE NAME	Central-l	Jhcp Dr	NS Override	Nat-Pat	Туре
		 Colco			 \\\/lan	
1 IP_I	Devino Encryption	Faise	Faise	Faise	wian	
	visibility Configurations					
FIEX AVC	visibility computations	•••••				
Wland P	ΡΟΕΊΙ Ε ΝΑΜΕ	Inhorit-lovel	Vicibility	Fley Avc-n	rofile	
1 IP	Dev No Encryption	wlan-spec	disable	none		
-	. ,,	•				

FlexConnect Backup Auth Radius Servers :	
Primary Radius Server Disabled	
Secondary Radius Server Disabled	
AP User Mode AUTOMATIC	
AP User Name Cisco	
AP Dot1x User Mode Not Configured	
AP Dot1x User Name Not Configured	
Cisco AP system logging host 255.255.255.255.255	
AP Core Dump Config Disabled	
AP Up Time 2 days, 22 h 22 m 16 s	
AP LWAPP Up Time 2 days, 22 h 18 m 14 s	
Join Date and Time Mon Aug 15 21:47:12 2016	
Join Taken Time 0 days, 00 h 04 m 01 s	

#### Attributes for Slot 0

Radio Type	RADIO_TYPE_80211n-2.4
Administrative State	ADMIN_ENABLED
Operation State	UP
Mesh Radio Role	ACCESS
Radio Role	Client Serving (Remote)
CellId	0

# Station Configuration Configuration ...... AUTOMATIC Number Of WLANs ...... 1 Medium Occupancy Limit ...... 100 CFP Period ...... 4 CFP MaxDuration ...... 60 BSSID ...... 60 Dperation Rate Set

1000 Kilo Bits	MANDATORY
2000 Kilo Bits	MANDATORY
5500 Kilo Bits	MANDATORY
11000 Kilo Bits	MANDATORY
6000 Kilo Bits	SUPPORTED
9000 Kilo Bits	SUPPORTED
12000 Kilo Bits	SUPPORTED
18000 Kilo Bits	SUPPORTED
24000 Kilo Bits	SUPPORTED
36000 Kilo Bits	SUPPORTED
48000 Kilo Bits	SUPPORTED
54000 Kilo Bits	SUPPORTED
MCS Set	
MCS 0	SUPPORTED
MCS 1	SUPPORTED
MCS 2	SUPPORTED
MCS 3	SUPPORTED
MCS 4	SUPPORTED
MCS 5	SUPPORTED
MCS 6	SUPPORTED
MCS 7	SUPPORTED
MCS 8	SUPPORTED
MCS 9	SUPPORTED
MCS 10	SUPPORTED
MCS 11	SUPPORTED
MCS 12	SUPPORTED
MCS 13	SUPPORTED
MCS 14	SUPPORTED
MCS 15	SUPPORTED
MCS 16	DISABLED

MCS 17 DISABLED	
MCS 18 DISABLED	
MCS 19 DISABLED	
MCS 20 DISABLED	
MCS 21 DISABLED	
MCS 22 DISABLED	
MCS 23 DISABLED	
MCS 24 DISABLED	
MCS 25 DISABLED	
MCS 26 DISABLED	
MCS 27 DISABLED	
MCS 28 DISABLED	
MCS 29 DISABLED	
MCS 30 DISABLED	
MCS 31 DISABLED	
Beacon Period 100	
Fragmentation Threshold 2346	
Multi Domain Capability Implemented TRUI	E
Multi Domain Capability Enabled TRUE	
Country String US	

Multi Domain Capability

Configuration AUTON	1ATIC
First Chan Num 1	
Number Of Channels 11	

# MAC Operation Parameters

Configuration	AUTOMATIC
Fragmentation Threshold	2346
Packet Retry Limit	64

# Tx Power

Num Of Supported Power Levels6
Tx Power Level 1 22 dBm
Tx Power Level 2 19 dBm
Tx Power Level 3 16 dBm
Tx Power Level 4 13 dBm
Tx Power Level 5 10 dBm
Tx Power Level 6 7 dBm
Tx Power Configuration AUTOMATIC
Current Tx Power Level 1
Tx Power Assigned By DTPC

# Phy OFDM parameters

Configuration AUTOMATIC		
Current Channel 11		
Channel Assigned By DCA		
Extension Channel NONE		
Channel Width 20 Mhz		
Allowed Channel List 1,2,3,4,5,6,7,8,9,10,11		
TI Threshold50		
DCA Channel List Global		
Legacy Tx Beamforming Configuration CUSTOMIZED		
Legacy Tx Beamforming ENABLED		
Antenna TypeINTERNAL_ANTENNA		
Internal Antenna Gain (in .5 dBi units) 8		
DiversityDIVERSITY_ENABLED		
802.11n Antennas		
A ENABLED		
B ENABLED		

C..... ENABLED

Performance Profile Parameters

	Configuration AUTOMATIC
	Interference threshold 10 %
	Noise threshold70 dBm
	RF utilization threshold 80 %
	Data-rate threshold 1000000 bps
	Client threshold 12 clients
	Coverage SNR threshold 12 dB
	Coverage exception level 25 %
	Client minimum exception level 3 clients
	Rogue Containment Information
(	Containment Count0

# CleanAir Management Information

CleanAir Capable Yes	
CleanAir Management Administration St Disabled	
CleanAir Management Operation State Down	
Rapid Update Mode Off	
Spectrum Expert connection Enabled	
CleanAir NSI Key 8994C2313910BF9588C6693603B8F970	
Spectrum Expert Connections counter 0	
CleanAir Sensor State Configured	

# **Radio Extended Configurations**

Beacon period	100 milliseconds
Beacon range	AUTO
Multicast buffer	AUTO
Multicast data-rate	AUTO

RX SOP threshold	AUTO
CCA threshold	UTO

# Attributes for Slot 1

Radio Type	RADIO_TYPE_80211n-5
Radio Subband	RADIO_SUBBAND_ALL
Administrative State	ADMIN_ENABLED
Operation State	UP
Mesh Radio Role	ACCESS
Radio Role	Client Serving (Remote)
CellId	0

Station Configuration

MCS 1 SUPPORTED
MCS 2 SUPPORTED
MCS 3 SUPPORTED
MCS 4 SUPPORTED
MCS 5 SUPPORTED
MCS 6 SUPPORTED
MCS 7 SUPPORTED
MCS 8 SUPPORTED
MCS 9 SUPPORTED
MCS 10 SUPPORTED
MCS 11 SUPPORTED
MCS 12 SUPPORTED
MCS 13 SUPPORTED
MCS 14 SUPPORTED
MCS 15 SUPPORTED
MCS 16 DISABLED
MCS 17 DISABLED
MCS 18 DISABLED
MCS 19 DISABLED
MCS 20 DISABLED
MCS 21 DISABLED
MCS 22 DISABLED
MCS 23 DISABLED
MCS 24 DISABLED
MCS 25 DISABLED
MCS 26 DISABLED
MCS 27 DISABLED
MCS 28 DISABLED
MCS 29 DISABLED
MCS 30 DISABLED

MCS 31	DISABLED
Beacon Period	100
Fragmentation Threshold	2346
Multi Domain Capability Im	plemented TRUE
Multi Domain Capability En	abled TRUE
Country String	US

Multi Domain Capability

Configuration	AUTOMATIC
First Chan Num	36
Number Of Channels	21

**MAC Operation Parameters** 

Configuration	AUTOMATIC
Fragmentation Threshold	2346
Packet Retry Limit	64

## Tx Power

Num Of Supported Power Levels6
Tx Power Level 1 22 dBm
Tx Power Level 2 19 dBm
Tx Power Level 3 16 dBm
Tx Power Level 4 13 dBm
Tx Power Level 5 10 dBm
Tx Power Level 6 7 dBm
Tx Power Configuration AUTOMATIC
Current Tx Power Level 1
Tx Power Assigned By DTPC

# Phy OFDM parameters

Configuration AUTOMATIC
Current Channel 48
Channel Assigned By DCA
Extension Channel NONE
Channel Width 20 Mhz
Allowed Channel List 36,40,44,48,52,56,60,64,100,
TI Threshold50
DCA Channel List Global
Legacy Tx Beamforming Configuration CUSTOMIZED
Legacy Tx Beamforming ENABLED
Antenna Type INTERNAL_ANTENNA
Internal Antenna Gain (in .5 dBi units) 8
DiversityDIVERSITY_ENABLED
802.11n Antennas
A ENABLED
B ENABLED
C ENABLED

Performance Profile Parameters

Configuration AUTOMATIC
Interference threshold 10 %
Noise threshold70 dBm
RF utilization threshold 80 %
Data-rate threshold 1000000 bps
Client threshold 12 clients
Coverage SNR threshold 16 dB
Coverage exception level 25 %
Client minimum exception level 3 clients

Rogue Containment Information

Containment Count...... 0

## **Radio Extended Configurations**

Beacon period	100 milliseconds
Beacon range	AUTO
Multicast buffer	AUTO
Multicast data-rate	AUTO
RX SOP threshold	AUTO
CCA threshold	AUTO

AP Airewave Director Configura	tion
AP does not have the 802.11-ab	gn radio.
Number Of Slots	2
AP Name	AP78da.6ee0.08ec
MAC Address	78:da:6e:e0:08:ec
Slot ID	. 0
Radio Type	RADIO_TYPE_80211b/g
Sub-band Type	All
Noise Information	

Nois	e Profile	PASSED
Interf	erence Information	I
Inter	ference Profile	PASSED
Rogu	ie Histogram (20)	
Load I	nformation	
Load	Profile	PASSED
Rece	ive Utilization	0 %
Tran	smit Utilization	0 %
Char	nel Utilization	
Atta	ched Clients	0 clients
Cover	age Information	
Cove	rage Profile	PASSED
Faile	d Clients	0 clients
Client	Signal Strengths	
RSSI	-100 dbm	0 clients
RSSI	-92 dbm	0 clients
RSSI	-84 dbm	0 clients
RSSI	-76 dbm	0 clients
RSSI	-68 dbm	0 clients
RSSI	-60 dbm	0 clients
RSSI	-52 dbm	0 clients
Client	Signal To Noise Ra	tios
SNR	0 dB	0 clients
SNR	5 dB	0 clients
SNR	10 dB	0 clients
SNR	15 dB	0 clients
SNR	20 dB	0 clients
SNR	25 dB	0 clients
SNR	30 dB	0 clients

SNR 35 dB0 clients
SNR 40 dB0 clients
SNR 45 dB0 clients
Nearby APs
Radar Information
Channel Assignment Information
Current Channel Average Energy127 dBm
Previous Channel Average Energy127 dBm
Channel Change Count 415
Last Channel Change Time Thu Aug 18 20:01:53 2016
Recommended Best Channel 11
RF Parameter Recommendations
Power Level 1
RTS/CTS Threshold 2347
Fragmentation Threshold 2346
Antenna Pattern0
Persistent Interference Devices
Class Type Channel DC (%%) RSSI (dBm) Last Update Time
All third party trademarks are the property of their respective owners.
Number Of Slots 2
AP Name AP78da.6ee0.08ec
MAC Address

Slot ID.....1

Radio Type..... RADIO\_TYPE\_80211a

Sub-band Type..... All

Noise Information

Noise Profile..... PASSED

Interference Information

Inter	ference Profile PASSED
Rogu	e Histogram (20/40/80/160)
Load I	nformation
Load	Profile PASSED
Rece	ive Utilization0 %
Trans	smit Utilization0 %
Chan	nel Utilization1 %
Atta	ched Clients 0 clients
Cover	age Information
Cove	rage Profile PASSED
Faile	d Clients 0 clients
Client	Signal Strengths
RSSI	-100 dbm0 clients
RSSI	-92 dbm0 clients
RSSI	-84 dbm0 clients
RSSI	-76 dbm0 clients
RSSI	-68 dbm0 clients
RSSI	-60 dbm0 clients
RSSI	-52 dbm0 clients
Client	Signal To Noise Ratios
SNR	0 dB 0 clients
SNR	5 dB0 clients
SNR	10 dB 0 clients
SNR	15 dB 0 clients
SNR	20 dB 0 clients
SNR	25 dB 0 clients
SNR	30 dB 0 clients
SNR	35 dB 0 clients
SNR	40 dB 0 clients

SNR 4	45 dB0 clients
Nearby	y APs
Radar I	Information
Channe	el Assignment Information
Currer	nt Channel Average Energy127 dBm
Previo	ous Channel Average Energy127 dBm
Chann	nel Change Count 417
Last C	Channel Change Time Thu Aug 18 20:05:14 2016
Recon	nmended Best Channel 149
RF Para	ameter Recommendations
Power	r Level 1
RTS/C	CTS Threshold 2347
Fragm	nentation Threshold 2346
Anten	nna Pattern 0

Persistent Interference Devices

Class Type Channel DC (%%) RSSI (dBm) Last Update Time

------ ------

All third party trademarks are the property of their respective owners.

AP does not have the 802.11-abgn radio.

Number Of Slots	
AP Name	AP24e9.b34b.f1ed
MAC Address	24:e9:b3:4b:f1:ed
Slot ID	0
Radio Type	RADIO_TYPE_80211b/g
Sub-band Type	All
Noise Information	
Noise Profile	PASSED
Interference Information	

Inter	ference Profile	PASSED
Rogu	ue Histogram (20)	1
 Load I	Information	
Load	Profile	PASSED
Rece	vive Utilization	0 %
Tran	smit Utilization	0 %
Char	nel Utilization	34 %
Δtta	ched Clients	1 clients
Cover	age Information	
Cover		DASSED
Epilo	d Clionts	0 clionts
Cliont	Cignal Strongths	
	100 dbm	0 diants
RSSI	-100 00m	O dianta
RSSI	-92 dbm	U clients
RSSI	-84 dbm	U clients
RSSI	-76 dbm	0 clients
RSSI	-68 dbm	0 clients
RSSI	-60 dbm	0 clients
RSSI	-52 dbm	1 clients
Client	Signal To Noise I	Ratios
SNR	0 dB	0 clients
SNR	5 dB	0 clients
SNR	10 dB	0 clients
SNR	15 dB	0 clients
SNR	20 dB	0 clients
SNR	25 dB	0 clients
SNR	30 dB	0 clients
SNR	35 dB	0 clients
SNR	40 dB	0 clients

SNR	45 dB 1 clients
Nearb	y APs
Radar	Information
Chanr	nel Assignment Information
Curre	ent Channel Average Energy127 dBm
Previ	ous Channel Average Energy127 dBm
Chan	nel Change Count 415
Last	Channel Change Time Thu Aug 18 20:01:53 2016
Reco	mmended Best Channel 11
RF Pai	rameter Recommendations
Powe	er Level 1
RTS/	CTS Threshold 2347
Fragi	mentation Threshold 2346
Ante	nna Pattern0

## Persistent Interference Devices

Class Type Channel	DC (%%)	RSSI (dBm)	Last Update Time
--------------------	---------	------------	------------------

----- -----

All third party trademarks are the property of their respective owners.

Number Of Slots 2	
AP Name AP24e9.b34b.f1ed	
MAC Address 24:e9:b3:4b:f1:ed	
Slot ID1	
Radio Type RADIO_TYPE_80211a	
Sub-band Type All	
Noise Information	
Noise Profile PASSED	
Interference Information	
Interference Profile PASSED	
Rogue Histogram (20/40/80/160)	

..... Load Information Load Profile..... PASSED Receive Utilization...... 0 % Transmit Utilization...... 0 % Channel Utilization...... 0 % Attached Clients...... 0 clients Coverage Information Coverage Profile..... PASSED Failed Clients..... 0 clients Client Signal Strengths RSSI -100 dbm...... 0 clients RSSI -92 dbm.....0 clients RSSI -84 dbm...... 0 clients RSSI -76 dbm.....0 clients RSSI -68 dbm.....0 clients RSSI -60 dbm...... 0 clients RSSI -52 dbm..... 0 clients **Client Signal To Noise Ratios** SNR 0 dB..... 0 clients SNR 5 dB..... 0 clients SNR 10 dB..... 0 clients SNR 15 dB..... 0 clients SNR 20 dB..... 0 clients SNR 25 dB..... 0 clients SNR 30 dB..... 0 clients SNR 35 dB..... 0 clients SNR 40 dB..... 0 clients SNR 45 dB..... 0 clients Nearby APs

Radar Information
Channel Assignment Information
Current Channel Average Energy127 dBm
Previous Channel Average Energy127 dBm
Channel Change Count 417
Last Channel Change Time Thu Aug 18 20:05:14 2016
Recommended Best Channel 48
RF Parameter Recommendations
Power Level 1
RTS/CTS Threshold 2347
Fragmentation Threshold 2346
Antenna Pattern0

### Persistent Interference Devices

Class Type Channel DC (%%) RSSI (dBm) Last Update Time

----- -----

All third party trademarks are the property of their respective owners.

# 802.11a Configuration

802.11a Network Enabled
11acSupport Enabled
11nSupport Enabled
802.11a Low Band Enabled
802.11a Mid Band Enabled
802.11a High Band Enabled
802.11a Operational Rates
802.11a 6M Rate Mandatory
802.11a 9M Rate Supported
802.11a 12M Rate Mandatory
802.11a 18M Rate Supported

	802.11a 24M Rate	Mandatory
	802.11a 36M Rate	Supported
	802.11a 48M Rate	Supported
	802.11a 54M Rate	Supported
8	02.11n MCS Settings:	
	MCS 0	Supported
	MCS 1	Supported
	MCS 2	Supported
	MCS 3	Supported
	MCS 4	Supported
	MCS 5	Supported
	MCS 6	Supported
	MCS 7	Supported
	MCS 8	Supported
	MCS 9	Supported
	MCS 10	. Supported
	MCS 11	. Supported
	MCS 12	. Supported
	MCS 13	. Supported
	MCS 14	. Supported
	MCS 15	. Supported
	MCS 16	. Supported
	MCS 17	. Supported
	MCS 18	. Supported
	MCS 19	. Supported
	MCS 20	. Supported
	MCS 21	. Supported
	MCS 22	. Supported
	MCS 23	. Supported
	MCS 24	. Supported

MCS 25	Supported
MCS 26	Supported
MCS 27	Supported
MCS 28	Supported
MCS 29	Supported
MCS 30	Supported
MCS 31	Supported

802.11ac MCS Settings:

Ν	Iss=1: MCS 0-9	Supported
Ν	lss=2: MCS 0-9	Supported
Ν	lss=3: MCS 0-9	Supported
Ν	lss=4: MCS 0-7	Supported
802	2.11n Status:	

# A-MPDU Tx:

	Priority 0	Enabled
	Priority 1	Enabled
	Priority 2	Enabled
	Priority 3	Enabled
	Priority 4	Enabled
	Priority 5	Enabled
	Priority 6	Disabled
	Priority 7	Disabled
	Phoney 7	Disabled
	Aggregation scheduler	Enabled
	Aggregation scheduler	Automatic
	Aggregation scheduler Frame Burst Realtime Timeout	Enabled Automatic 10
	Aggregation scheduler Frame Burst Realtime Timeout Non Realtime Timeout	Enabled Automatic 10 200
A	Aggregation scheduler Frame Burst Realtime Timeout Non Realtime Timeout	Enabled Automatic 10 200
A	Aggregation scheduler Frame Burst Realtime Timeout Non Realtime Timeout -MSDU Tx: Priority 0	Enabled
A	Aggregation scheduler Frame Burst Realtime Timeout Non Realtime Timeout -MSDU Tx: Priority 0 Priority 1	Enabled Enabled Enabled

Priority 3 Enabled
Priority 4 Enabled
Priority 5 Enabled
Priority 6 Disabled
Priority 7 Disabled
A-MSDU Max Subframes 3
A-MSDU MAX Length 8k
Rifs Rx Enabled
Guard Interval Any
Beacon Interval 100
CF Pollable mandatory Disabled
CF Poll Request mandatory Disabled
CFP Period 4
CFP Maximum Duration 60
Default Channel 36
Default Tx Power Level0
DTPC Status Enabled
Fragmentation Threshold 2346
RSSI Low Check Disabled
RSSI Threshold80
TI Threshold50
Legacy Tx Beamforming setting Disabled
Traffic Stream Metrics Status Disabled
Expedited BW Request Status Disabled
World Mode Enabled
dfs-peakdetect Enabled
EDCA profile type default-wmm
Voice MAC optimization status Disabled
Call Admission Control (CAC) configuration

Voice AC - Admission control (ACM)..... Disabled Voice Max-Streams......2 Voice max RF bandwidth.....75 Voice CAC Method ..... Load-Based Voice tspec inactivity timeout..... Disabled CAC SIP-Voice configuration SIP based CAC ..... Disabled SIP Codec Type ..... CODEC TYPE G711 SIP call bandwidth ...... 64 SIP call bandwith sample-size ...... 20 Video AC: Video AC - Admission control (ACM)..... Disabled Video max RF bandwidth..... Infinite Video reserved roaming bandwidth......0 Video load-based CAC mode..... Disabled Video CAC Method ..... Static **CAC SIP-Video Configuration** SIP based CAC ..... Disabled Best-effort AC - Admission control (ACM)..... Disabled Background AC - Admission control (ACM)...... Disabled Maximum Number of Clients per AP Radio...... 200 802.11a Advanced Configuration Member RRM Information AP Name MAC Address Slot Admin Oper Channel TxPower \_\_\_\_\_ \_\_\_\_\_

802.11a Airewave Director Configuration
RF Event and Performance Logging
Channel Update Logging Off
Coverage Profile Logging Off
Foreign Profile Logging Off
Load Profile Logging Off
Noise Profile Logging Off
Performance Profile Logging Off
TxPower Update Logging Off
Default 802.11a AP performance profiles
802.11a Global Interference threshold 10 %
802.11a Global noise threshold70 dBm
802.11a Global RF utilization threshold 80 %
802.11a Global throughput threshold 1000000 bps
802.11a Global clients threshold 12 clients
Default 802.11a AP monitoring
802.11a Monitor Mode enable
802.11a Monitor Mode for Mesh AP Backhaul disable
802.11a Monitor Channels Country channels
802.11a RRM Neighbor Discover Type Transparent
802.11a RRM Neighbor RSSI Normalization Enabled
802.11a AP Coverage Interval 90 seconds
802.11a AP Load Interval 60 seconds
802.11a AP Monitor Measurement Interval 180 seconds
802.11a AP Neighbor Timeout Factor 5
802.11a AP Report Measurement Interval 180 seconds
Leader Automatic Transmit Power Assignment
Transmit Power Assignment Mode AUTO
Transmit Power Update Interval 600 seconds
Transmit Power Threshold70 dBm

Transmit Power Neighbor Count 3 APs
Min Transmit Power10 dBm
Max Transmit Power 30 dBm
Update Contribution
Noise Enable
Interference Enable
Load Disable
Device Aware Disable
Transmit Power Assignment Leader wlc (192.168.250.2) (::)
Last Run 21 seconds ago
Last Run Time 0 seconds
TPC Mode Version 1
TPCv2 Target RSSI67 dBm
TPCv2 VoWLAN Guide RSSI67.0 dBm
TPCv2 SOP85.0 dBm
TPCv2 Default Client Ant Gain 0.0 dBi
TPCv2 Path Loss Decay Factor 3.6
TPCv2 Search Intensity 10 Iterations

AP Name	Channel	TxPower	Allowed Power Levels
AP78da.6ee0.08ec	149*	*1/6 (22	2 dBm) [22/19/16/13/10/7/7/7]
AP24e9.b34b.f1ed	48*	*1/6 (22	dBm) [22/19/16/13/10/7/7/7]
Coverage Hole Detection			
802.11a Coverage Hol	e Detectio	n Mode	Enabled
802.11a Coverage Voice Packet Count 100 packets			
802.11a Coverage Voice Packet Percentage 50%			
802.11a Coverage Voice RSSI Threshold80 dBm			

802.11a Coverage Data Packet Count...... 50 packets 802.11a Coverage Data Packet Percentage...... 50% 802.11a Coverage Data RSSI Threshold...... -80 dBm 802.11a Global coverage exception level...... 25 % 802.11a Global client minimum exception lev.... 3 clients OptimizedRoaming 802.11a OptimizedRoaming Mode..... Disabled 802.11a OptimizedRoaming Reporting Interval.... 90 seconds 802.11a OptimizedRoaming Rate Threshold...... disabled 802.11a OptimizedRoaming Hysteresis...... 6 dB **OptimizedRoaming Stats** 802.11a OptimizedRoaming Disassociations...... 0 802.11a OptimizedRoaming Rejections......0 Leader Automatic Channel Assignment Channel Assignment Mode..... AUTO Channel Update Interval...... 600 seconds Anchor time (Hour of the day)......0 Update Contribution Noise..... Enable Interference..... Enable Load..... Disable Device Aware..... Disable CleanAir Event-driven RRM option..... Disabled Channel Assignment Leader..... wlc (192.168.250.2) (::) Last Run..... 21 seconds ago Last Run Time..... 0 seconds DCA Sensitivity Level..... MEDIUM (15 dB) DCA 802.11n/ac Channel Width..... 20 MHz DCA Minimum Energy Limit...... -95 dBm **Channel Energy Levels** 

Minimum127 dBm
Average127 dBm
Maximum127 dBm
Channel Dwell Times
Minimum 0 days, 00 h 00 m 19 s
Average 0 days, 00 h 00 m 19 s
Maximum 0 days, 00 h 00 m 19 s
802.11a 5 GHz Auto-RF Channel List
Allowed Channel List
104,108,112,116,120,124,128,
132,136,140,144,149,153,157,
161
Unused Channel List 165
802.11a 4.9 GHz Auto-RF Channel List
Allowed Channel List
Unused Channel List 1,2,3,4,5,6,7,8,9,10,11,12,
13,14,15,16,17,18,19,20,21,
22,23,24,25,26
DCA Outdoor AP option Disabled
802.11a Radio RF Grouping
RF Group Name WLAN
RF Protocol Version(MIN) 101(30)
RF Packet Header Version 2
Group Role(Mode) LEADER(AUTO)
Group State Idle
Group Update Interval 600 seconds
Group Leader wlc (192.168.250.2) (::)
Group Member
wlc (192.168.250.2)
Maximum/Current number of Group Member 20/1

Maximum/Current number of AP 500/2
Last Run 21 seconds ago
802.11a CleanAir Configuration
Clean Air Solution Disabled
Air Quality Settings:
Air Quality Reporting Enabled
Air Quality Reporting Period (min) 15
Air Quality Alarms Enabled
Air Quality Alarm Threshold 35
Unclassified Interference Disabled
Unclassified Severity Threshold 20
Interference Device Settings:
Interference Device Reporting Enabled
Interference Device Types:
TDD Transmitter Enabled
Jammer Enabled
Continuous Transmitter Enabled
DECT-like Phone Enabled
Video Camera Enabled
WiFi Inverted Enabled
WiFi Invalid Channel Enabled
SuperAG Enabled
Canopy Enabled
WiMax Mobile Enabled
WiMax Fixed Enabled
Interference Device Alarms Enabled
Interference Device Types Triggering Alarms:
TDD Transmitter Disabled
Jammer Enabled

Continuous Transmitter Disabled
DECT-like Phone Disabled
Video Camera Disabled
WiFi Inverted Enabled
WiFi Invalid Channel Enabled
SuperAG Disabled
Canopy Disabled
WiMax Mobile Disabled
WiMax Fixed Disabled
Additional Clean Air Settings:
CleanAir ED-RRM State Disabled
CleanAir ED-RRM Sensitivity Medium
CleanAir ED-RRM Custom Threshold 50
CleanAir Rogue Contribution Disabled
CleanAir Rogue Duty-Cycle Threshold
CleanAir Persistent Devices state Disabled

CleanAir Persistent Device Propagation...... Disabled

802.11a CleanAir AirQuality Summary

AQ = Air Quality

DFS = Dynamic Frequency Selection

AP Name Channel Avg AQ Min AQ Interferers DFS

# 802.11b Configuration

802.11b Network	Enabled
11gSupport	Enabled
11nSupport	Enabled
802.11b/g Operational Rates	

	802.11b/g 1M Rate	Mandatory
	802.11b/g 2M Rate	Mandatory
	802.11b/g 5.5M Rate	Mandatory
	802.11b/g 11M Rate	Mandatory
	802.11g 6M Rate	Supported
	802.11g 9M Rate	Supported
	802.11g 12M Rate	Supported
	802.11g 18M Rate	Supported
	802.11g 24M Rate	Supported
	802.11g 36M Rate	Supported
	802.11g 48M Rate	Supported
	802.11g 54M Rate	Supported
8	02.11n MCS Settings:	

MCS 0	Supported
MCS 1	Supported
MCS 2	Supported
MCS 3	Supported
MCS 4	Supported
MCS 5	Supported
MCS 6	Supported
MCS 7	Supported
MCS 8	Supported
MCS 9	Supported
MCS 10	Supported
MCS 11	Supported
MCS 12	Supported
MCS 13	Supported
MCS 14	Supported
MCS 15	Supported
MCS 16	Supported
MCS 17 Supported	
-------------------------------	
MCS 18 Supported	
MCS 19 Supported	
MCS 20 Supported	
MCS 21 Supported	
MCS 22 Supported	
MCS 23 Supported	
MCS 24 Supported	
MCS 25 Supported	
MCS 26 Supported	
MCS 27 Supported	
MCS 28 Supported	
MCS 29 Supported	
MCS 30 Supported	
MCS 31 Supported	
802.11n Status:	
A-MPDU Tx:	
Priority 0 Enabled	
Priority 1 Enabled	
Priority 2 Enabled	
Priority 3 Enabled	
Priority 4 Enabled	
Priority 5 Enabled	
Priority 6 Disabled	
Priority 7 Disabled	
Aggregation scheduler Enabled	
Realtime Timeout 10	
Non Realtime Timeout 200	
A-MSDU Tx:	
Priority 0 Enabled	

Priority 1 Enabled
Priority 2 Enabled
Priority 3 Enabled
Priority 4 Enabled
Priority 5 Enabled
Priority 6 Disabled
Priority 7 Disabled
A-MSDU Max Subframes
A-MSDU MAX Length 8k
Rifs Rx Enabled
Guard Interval Any
Beacon Interval 100
CF Pollable mode Disabled
CF Poll Request mandatory Disabled
CFP Period 4
CFP Maximum Duration 60
Default Channel 1
Default Tx Power Level0
DTPC Status Enabled
RSSI Low Check Disabled
RSSI Threshold80
Call Admission Limit 105
G711 CU Quantum 15
ED Threshold50
Fragmentation Threshold 2346
PBCC mandatory Disabled
RTS Threshold 2347
Short Preamble mandatory Enabled
Short Retry Limit7
Legacy Tx Beamforming setting Disabled

Traffic Stream Metrics Status Disabled
Expedited BW Request Status Disabled
World Mode Enabled
Faster Carrier Tracking Loop Disabled
EDCA profile type default-wmm
Voice MAC optimization status Disabled
Call Admission Control (CAC) configuration
Voice AC - Admission control (ACM) Disabled
Voice Stream-Size 84000
Voice Max-Streams 2
Voice max RF bandwidth75
Voice reserved roaming bandwidth6
Voice CAC Method Load-Based
Voice tspec inactivity timeout Disabled
CAC SIP-Voice configuration
SIP based CAC Disabled
SIP Codec Type CODEC_TYPE_G711
SIP call bandwidth: 64
SIP call bandwidth sample-size 20
Video AC - Admission control (ACM) Disabled
Video max RF bandwidth Infinite
Video reserved roaming bandwidth0
Video load-based CAC mode Disabled
Video CAC Method Static
CAC SIP-Video configuration
SIP based CAC Disabled
Best-effort AC - Admission control (ACM) Disabled
Background AC - Admission control (ACM) Disabled
Maximum Number of Clients per AP 200

# 802.11b Advanced Configuration

Member RRM Information

AP Name	MAC Address	Admin	Oper	Channel	TxPower
AP78da.6ee0.08ec	5c:a4:8a:be	:ca:90 EN	IABLED U	P 11*	*1/6 (22 dBm)
AP24e9.b34b.f1ed	1c:1d:86:31	:e5:50 EN	NABLED L	JP 11*	* *1/6 (22 dBm)

# 802.11b Airewave Director Configuration RF Event and Performance Logging

Channel Update Logging Off
Coverage Profile Logging Off
Foreign Profile Logging Off
Load Profile Logging Off
Noise Profile Logging Off
Performance Profile Logging Off
Transmit Power Update Logging Off
Default 802.11b AP performance profiles
802.11b Global Interference threshold 10 %
802.11b Global noise threshold70 dBm
802.11b Global RF utilization threshold 80 %
802.11b Global throughput threshold 1000000 bps
802.11b Global clients threshold 12 clients
Default 802.11b AP monitoring
802.11b Monitor Mode enable
802.11b Monitor Channels Country channels
802.11b RRM Neighbor Discovery Type Transparent

802.11b RRM Neighbor RSSI Normalization...... Enabled 802.11b AP Coverage Interval...... 90 seconds 802.11b AP Load Interval..... 60 seconds 802.11b AP Monitor Measurement Interval...... 180 seconds 802.11b AP Neighbor Timeout Factor......5 802.11b AP Report Measurement Interval...... 180 seconds Leader Automatic Transmit Power Assignment Transmit Power Assignment Mode...... AUTO Transmit Power Update Interval...... 600 seconds Transmit Power Threshold......-70 dBm Min Transmit Power.....--10 dBm Max Transmit Power...... 30 dBm Update Contribution Noise..... Enable Interference..... Enable Load..... Disable Device Aware..... Disable Transmit Power Assignment Leader...... wlc (192.168.250.2) (::) Last Run..... 225 seconds ago Last Run Time...... 0 seconds TPC Mode..... Version 1 TPCv2 Target RSSI...... -67 dBm TPCv2 VoWLAN Guide RSSI......--67.0 dBm TPCv2 SOP......--85.0 dBm TPCv2 Default Client Ant Gain...... 0.0 dBi TPCv2 Path Loss Decay Factor...... 3.6 TPCv2 Search Intensity..... 10 Iterations

AP Name	Channel	TxPower	Allowed Power Levels
AP78da.6ee0.08ec	*11	*1/6 (22	2 dBm) [22/19/16/13/10/7/7/7]
AP24e9.b34b.f1ed	*11	*1/6 (22	2 dBm) [22/19/16/13/10/7/7/7]
Coverage Hole Detec	tion		
802.11b Coverage H	ole Detectio	on Mode	Enabled
802.11b Coverage V	oice Packet	Count	100 packets
802.11b Coverage V	oice Packet	Percentage	50%
802.11b Coverage V	oice RSSI Th	reshold	80 dBm
802.11b Coverage D	ata Packet (	Count	50 packets
802.11b Coverage D	ata Packet I	Percentage.	50%
802.11b Coverage D	ata RSSI Thi	reshold	80 dBm
802.11b Global cove	erage except	tion level	25 %
802.11b Global clier	nt minimum	exception I	ev 3 clients
OptimizedRoaming			
802.11b OptimizedF	Roaming Mc	de	Disabled
802.11b OptimizedF	loaming Rep	porting Inte	rval 90 seconds
802.11b OptimizedF	Roaming Rat	e Threshold	d disabled
802.11b OptimizedF	Roaming Hys	steresis	6 dB
OptimizedRoaming S	tats		
802.11b OptimizedF	loaming Dis	association	s 0
802.11b OptimizedF	Roaming Rej	ections	0
Leader Automatic Ch	annel Assigi	nment	
Channel Assignment	t Mode	A	AUTO
Channel Update Inte	erval	600	) seconds
Anchor time (Hour d	of the day)	0	
Update Contribution	ı		
Noise		Enable	
Interference		Enable	

Load	Disable
Device Aware	Disable
CleanAir Event-driven RRM op	tion Disabled
Channel Assignment Leader	wlc (192.168.250.2) (::)
Last Run	225 seconds ago
Last Run Time	0 seconds

DCA Sensitivity Level: MEDIUM (10 dB)
DCA Minimum Energy Limit95 dBm
Channel Energy Levels
Minimum127 dBm
Average127 dBm
Maximum127 dBm
Channel Dwell Times
Minimum 0 days, 00 h 03 m 43 s
Average0 days, 00 h 03 m 43 s
Maximum 0 days, 00 h 03 m 43 s
802.11b Auto-RF Allowed Channel List 1,6,11
Auto-RF Unused Channel List 2,3,4,5,7,8,9,10
802.11b Radio RF Grouping
RF Group Name WLAN
RF Protocol Version(MIN) 101(30)
RF Packet Header Version 2
Group Role(Mode) LEADER(AUTO)
Group State Idle
Group Update Interval 600 seconds
Group Leader wlc (192.168.250.2) (::)
Group Member
wlc (192.168.250.2)
Maximum/Current number of Group Member 20/1

Maximum/Current number of AP 500/2
Last Run 225 seconds ago
802.11b CleanAir Configuration
Clean Air Solution Disabled
Air Quality Settings:
Air Quality Reporting Enabled
Air Quality Reporting Period (min) 15
Air Quality Alarms Enabled
Air Quality Alarm Threshold 35
Unclassified Interference Disabled
Unclassified Severity Threshold 20
Interference Device Settings:
Interference Device Reporting Enabled
Interference Device Types:
Bluetooth Link Enabled
Microwave Oven Enabled
802.11 FH Enabled
Bluetooth Discovery Enabled
TDD Transmitter Enabled
Jammer Enabled
Continuous Transmitter Enabled
DECT-like Phone Enabled
Video Camera Enabled
802.15.4 Enabled
WiFi Inverted Enabled
WiFi Invalid Channel Enabled
SuperAG Enabled
Canopy Enabled
Microsoft Device Enabled

WiMax Mobile Enabled
WiMax Fixed Enabled
BLE Beacon Enabled
Interference Device Alarms Enabled
Interference Device Types Triggering Alarms:
Bluetooth Link Disabled
Microwave Oven Disabled
802.11 FH Disabled
Bluetooth Discovery Disabled
TDD Transmitter Disabled
Jammer Enabled
Continuous Transmitter Disabled
DECT-like Phone Disabled
Video Camera Disabled
802.15.4 Disabled
WiFi Inverted Enabled
WiFi Invalid Channel Enabled
SuperAG Disabled
Canopy Disabled
Microsoft Device Disabled
WiMax Mobile Disabled
WiMax Fixed Disabled
BLE Beacon Disabled
Additional Clean Air Settings:
CleanAir ED-RRM State Disabled
CleanAir ED-RRM Sensitivity Medium
CleanAir ED-RRM Custom Threshold 50
CleanAir Rogue Contribution Disabled
CleanAir Rogue Duty-Cycle Threshold
CleanAir Persistent Devices state Disabled

CleanAir Persistent Device Propagation...... Disabled

802.11a CleanAir AirQuality Summary

AQ = Air Quality

DFS = Dynamic Frequency Selection

AP Name Channel Avg AQ Min AQ Interferers DFS

**RF** Density Optimization Configurations

FRA State	Disabled
FRA Sensitivity	low (100)
FAR Interval	1 Hour(s)
Last Run	2703 seconds ago
Last Run Time	0 seconds

AP Name MAC Address Slot Current Band COF % Suggested Mode

COF : Coverage Overlap Factor

**RF Client Steering Configurations** 

Client Steering Configuration Information

Macro to micro transition threshold55 dBm
micro to Macro transition threshold65 dBm
micro-Macro transition minimum client count 3
micro-Macro transition client balancing win 3
Probe suppression mode disabled
Probe suppression validity window 100 s
Probe suppression aggregate window 200 ms
Probe suppression transition aggressiveness 3
Probe suppression hysteresis6 dBm

Mobility Configuration
Mobility Protocol Port 16666
Default Mobility Domain WLAN
Multicast Mode Disabled
Mobility Domain ID for 802.11r0xf6a2
Mobility Keepalive Interval 10
Mobility Keepalive Count 3
Mobility Group Members Configured1
Mobility Control Message DSCP Value0

# Controllers configured in the Mobility Group

MAC Address Status	IP Address	Group Name	Multicast IP
00:50:56:ac:6d:0 Up	8 192.168.250.2	WLAN	0.0.0.0

Mobility Hash Configuration

Default Mobility Domain..... WLAN

IP Address Hash Key

-----

192.168.250.2 7a9b864fa2922672949cf9a66fd012a0ce8cc7b0

Self Signed Certificate details

SSC Hash validation..... Enabled.

SSC Device Certificate details:

Subject Name :

C=US, ST=California, L=San Jose, O=Cisco Virtual Wireless LAN Controller,

CN=DEVICE-vWLC-AIR-CTVM-K9-005056AC6338, emailAddress=support@vwlc.com

Validity :

Start : Jul 26 20:52:54 2016 GMT

End : Jun 4 20:52:54 2026 GMT

Hash key : 7a9b864fa2922672949cf9a66fd012a0ce8cc7b0

Mobility Foreign Map Configuration

WLAN ID Foreign Mac Address Interface

----- -----

## Advanced Configuration

Probe request filtering	Enabled
Probes fwd to controller per client per r	adio 2
Probe request rate-limiting interval	500 msec
Aggregate Probe request interval	500 msec
Increased backoff parameters for probe	erespon Disabled

EAP-Identity-Request Timeout (seconds)
EAP-Identity-Request Max Retries 2
EAP Key-Index for Dynamic WEP0
EAP Max-Login Ignore Identity Response enable
EAP-Request Timeout (seconds)
EAP-Request Max Retries 2
EAPOL-Key Timeout (milliseconds) 1000
EAPOL-Key Max Retries 2
EAP-Broadcast Key Interval

dot11-padding..... Disabled

padding-size.....0

Advanced Hotspot Commands

ANQP 4-way state	Disabled
GARP Broadcast state:	Enabled
GAS request rate limit	Disabled
ANQP comeback delay in TUs(TU=1024	lusec) 1 TUs (=1mSec)

Location Configuration	1
RFID Tag data Collectio	on Enabled
RFID timeout	1200 seconds
RFID mobility	

Interface Configuration
Interface Name ip_dev
MAC Address 00:50:56:ac:6d:08
IP Address 192.168.150.2
IP Netmask 255.255.255.0
IP Gateway 192.168.150.1
External NAT IP State Disabled
External NAT IP Address 0.0.0.0
VLAN 1500
Quarantine-vlan0
NAS-Identifier none
Physical Port1
DHCP Proxy Mode Global

Primary DHCP Server	Unconfigured
Secondary DHCP Server	Unconfigured
DHCP Option 82	Disabled
DHCP Option 82 bridge mode ins	sertion Disabled
IPv4 ACL	Unconfigured
mDNS Profile Name	Unconfigured
AP Manager	No
Guest Interface	N/A
3G VLAN	Disabled
L2 Multicast	Enabled

Interface Name management
MAC Address00:50:56:ac:6d:08
IP Address 192.168.250.2
IP Netmask 255.255.255.0
IP Gateway 192.168.250.1
External NAT IP State Disabled
External NAT IP Address 0.0.0.0.0
Link Local IPv6 Address fe80::250:56ff:feac:6d08/64
STATE REACHABLE
Primary IPv6 Address ::/128
STATE NONE
Primary IPv6 Gateway ::
Primary IPv6 Gateway Mac Address 00:00:00:00:00:00
STATE INCOMPLETE
VLAN 1520
Quarantine-vlan0
Physical Port1
DHCP Proxy Mode Global
Primary DHCP Server 192.168.250.1

Secondary DHCP Server Unconfigured
DHCP Option 82 Disabled
OHCP Option 82 bridge mode insertion Disabled
Pv4 ACL Unconfigured
Pv6 ACL Unconfigured
mDNS Profile Name Unconfigured
AP Manager Yes
Guest Interface N/A
2 Multicast Enabled

Interface Name service-port
MAC Address 00:50:56:ac:63:38
IP Address 192.168.29.146
IP Netmask 255.255.255.0
Link Local IPv6 Address fe80::250:56ff:feac:6338/64
STATE NONE
IPv6 Address ::/128
STATE NONE
SLAAC Disabled
DHCP Protocol Disabled
AP Manager No
Guest Interface N/A
Speed 1Gbps
Duplex Full
Auto Negotiation Enabled
Link Status Up
Port specific Information:
inet addr:192.168.29.146 Bcast:192.168.29.255

inet6 addr: fe80::250:56ff:feac:6338/64 Scope:Link

# UP BROADCAST RUNNING MULTICAST MTU:1430 Metric:1

## RX packets:258830 errors:0 dropped:298 overruns:0 frame:0

## TX packets:95115 errors:0 dropped:0 overruns:0 carrier:0

## collisions:0 txqueuelen:1000

# RX bytes:25069479 (23.9 MiB) TX bytes:55852901 (53.2 MiB)

Interface Name	virtual
MAC Address	00:50:56:ac:6d:08
IP Address	1.1.1.1
Virtual DNS Host Name	Disabled
AP Manager	No
Guest Interface	N/A

Interface Group Configuration

# WLAN Configuration

WLAN Identifier	1
Profile Name	IP_Dev No Encryption
Network Name (SSID)	IP_Dev
Status	. Disabled
MAC Filtering	Disabled
Broadcast SSID	Enabled
AAA Policy Override	Disabled

Network Admission Control
Client Profiling Status
Radius Profiling Disabled
DHCP Disabled
HTTP Disabled
Local Profiling Disabled
DHCP Disabled
HTTP Disabled
Radius-NAC State Disabled
SNMP-NAC State Disabled
Quarantine VLAN0
Maximum number of Associated Clients0
Maximum number of Clients per AP Radio 200
ATF Policy0
Number of Active Clients0
Exclusionlist Timeout 60 seconds
Session Timeout
User Idle Timeout Disabled
Sleep Client disable
Sleep Client Timeout 720 minutes
User Idle Threshold 0 Bytes
NAS-identifiernone
CHD per WLAN Enabled
Webauth DHCP exclusion Disabled
Interfaceip_dev
Multicast Interface Not Configured
WLAN IPv4 ACL unconfigured
WLAN IPv6 ACL unconfigured
WLAN Layer2 ACL unconfigured
mDNS Status Disabled

mDNS Profile Nameunconfigured
DHCP Server Default
DHCP Address Assignment Required Disabled
Static IP client tunneling Disabled
Tunnel Profile Unconfigured
Quality of Service Silver
Per-SSID Rate Limits Upstream Downstream
Average Data Rate 0 0
Average Realtime Data Rate 0 0
Burst Data Rate 0 0
Burst Realtime Data Rate 0 0
Per-Client Rate Limits Upstream Downstream
Average Data Rate 0 0
Average Realtime Data Rate 0 0
Burst Data Rate 0 0
Burst Realtime Data Rate 0 0
Scan Defer Priority 4,5,6
Scan Defer Time 100 milliseconds
WMM Allowed
WMM UAPSD Compliant Client Support Disabled
Media Stream Multicast-direct Disabled
CCX - Aironetle Support Enabled
CCX - Gratuitous ProbeResponse (GPR) Disabled
CCX - Diagnostics Channel Capability Disabled
Dot11-Phone Mode (7920) Disabled
Wired Protocol 802.1P (Tag=0)
Passive Client Feature Disabled
Peer-to-Peer Blocking Action Disabled
Radio Policy All
DTIM period for 802.11a radio1

DTIM period for 802.11b radio1	
Radius Servers	
Authentication Global Servers	
Accounting Global Servers	
Interim Update Enabled	
Interim Update Interval 0	
Framed IPv6 Acct AVP Prefix	
Dynamic Interface Disabled	
Dynamic Interface Priority wlan	
Local EAP Authentication Disabled	
Radius NAI-Realm Disabled	
Mu-Mimo Enabled	
Security	

802.11 Authentication: Open System
FT Support Disabled
Static WEP Keys Disabled
802.1X Disabled
Wi-Fi Protected Access (WPA/WPA2) Disabled
Wi-Fi Direct policy configured Disabled
EAP-Passthrough Disabled
CKIP Disabled
Web Based Authentication Disabled
Web Authentication Timeout 300
Web-Passthrough Disabled
Mac-auth-server 0.0.0.0
Web-portal-server 0.0.0.0
Conditional Web Redirect Disabled
Splash-Page Web Redirect Disabled
Auto Anchor Disabled

FlexConnect Local Switching Enabled
FlexConnect Central Association Disabled
flexconnect Central Dhcp Flag Disabled
flexconnect nat-pat Flag Disabled
flexconnect Dns Override Flag Disabled
flexconnect PPPoE pass-through Disabled
flexconnect local-switching IP-source-guar Disabled
FlexConnect Vlan based Central Switching Disabled
FlexConnect Local Authentication Disabled
FlexConnect Learn IP Address Enabled
Client MFP Optional but inactive (WPA2 not configured)
PMF Disabled
PMF Association Comeback Time1
PMF SA Query RetryTimeout 200
Tkip MIC Countermeasure Hold-down Timer 60
Eap-params Not Applicable
Eap-params Not Applicable Flex Avc Profile Name None
Eap-params Not Applicable Flex Avc Profile Name None Flow Monitor Name None
Eap-params Not Applicable Flex Avc Profile Name None Flow Monitor Name None Split Tunnel Configuration
Eap-paramsNot Applicable Flex Avc Profile NameNone Flow Monitor NameNone Split Tunnel Configuration Split TunnelDisabled
Eap-paramsNot Applicable Flex Avc Profile NameNone Flow Monitor NameNone Split Tunnel Configuration Split TunnelDisabled Call SnoopingDisabled
Eap-paramsNot Applicable Flex Avc Profile NameNone Flow Monitor NameNone Split Tunnel Configuration Split TunnelDisabled Call SnoopingDisabled Roamed Call Re-Anchor PolicyDisabled
Eap-paramsNot Applicable Flex Avc Profile NameNone Flow Monitor NameNone Split Tunnel Configuration Split TunnelDisabled Call SnoopingDisabled Roamed Call Re-Anchor PolicyDisabled SIP CAC Fail Send-486-Busy PolicyEnabled
Eap-paramsNot Applicable Flex Avc Profile NameNone Flow Monitor NameNone Split Tunnel Configuration Split TunnelDisabled Call SnoopingDisabled Roamed Call Re-Anchor PolicyDisabled SIP CAC Fail Send-486-Busy PolicyEnabled SIP CAC Fail Send Dis-Association PolicyDisabled
Eap-paramsNot Applicable Flex Avc Profile NameNone Flow Monitor NameNone Split Tunnel Configuration Split TunnelDisabled Call SnoopingDisabled Roamed Call Re-Anchor PolicyDisabled SIP CAC Fail Send-486-Busy PolicyEnabled SIP CAC Fail Send Dis-Association PolicyDisabled KTS based CAC PolicyDisabled
Eap-paramsNot Applicable Flex Avc Profile NameNone Flow Monitor NameNone Split Tunnel Configuration Split TunnelDisabled Call SnoopingDisabled Roamed Call Re-Anchor PolicyDisabled SIP CAC Fail Send-486-Busy PolicyEnabled SIP CAC Fail Send Dis-Association PolicyDisabled KTS based CAC PolicyDisabled Assisted Roaming Prediction OptimizationDisabled
Eap-paramsNot Applicable Flex Avc Profile NameNone Flow Monitor NameNone Split Tunnel Configuration Split TunnelDisabled Call SnoopingDisabled Roamed Call Re-Anchor PolicyDisabled SIP CAC Fail Send-486-Busy PolicyDisabled SIP CAC Fail Send Dis-Association PolicyDisabled KTS based CAC PolicyDisabled Assisted Roaming Prediction OptimizationDisabled 802.11k Neighbor ListDisabled
Eap-paramsNot Applicable Flex Avc Profile NameNone Flow Monitor NameNone Split Tunnel Configuration Split TunnelDisabled Call SnoopingDisabled Roamed Call Re-Anchor PolicyDisabled SIP CAC Fail Send-486-Busy PolicyDisabled SIP CAC Fail Send Dis-Association PolicyDisabled KTS based CAC PolicyDisabled Assisted Roaming Prediction OptimizationDisabled 802.11k Neighbor ListDisabled
Eap-paramsNot Applicable Flex Avc Profile NameNone Flow Monitor NameNone Split Tunnel Configuration Split TunnelDisabled Call SnoopingDisabled Roamed Call Re-Anchor PolicyDisabled SIP CAC Fail Send-486-Busy PolicyDisabled SIP CAC Fail Send Dis-Association PolicyDisabled KTS based CAC PolicyDisabled Assisted Roaming Prediction OptimizationDisabled 802.11k Neighbor ListDisabled 802.11k Neighbor List Dual BandDisabled 802.11v Directed Multicast ServiceDisabled

802.11v BSS Transition Service...... Disabled 802.11v BSS Transition Disassoc Imminent...... Disabled 802.11v BSS Transition Disassoc Timer..... 200 802.11v BSS Transition OpRoam Disassoc Timer..... 40 DMS DB is empty Band Select..... Disabled Load Balancing..... Disabled Multicast Buffer..... Disabled Universal Ap Admin..... Disabled

**Mobility Anchor List** 

WLAN ID IP Address Status Priority

\_\_\_\_\_

----- -----

802.11u..... Disabled

MSAP Services..... Disabled

Local Policy

-----

Priority Policy Name

-----

#### WLAN Configuration

WLAN Identifier...... 2 Profile Name...... IP\_Dev All WPA/WPA2 PSK Network Name (SSID)...... IP\_Dev Status...... Enabled MAC Filtering...... Disabled

Broadcast SSID Enabled
AAA Policy Override Disabled
Network Admission Control
Client Profiling Status
Radius Profiling Disabled
DHCP Disabled
HTTP Disabled
Local Profiling Disabled
DHCP Disabled
HTTP Disabled
Radius-NAC State Disabled
SNMP-NAC State Disabled
Quarantine VLAN0
Maximum number of Associated Clients0
Maximum number of Clients per AB Radio 200
Maximum number of clients per AP Radio
ATF Policy 0
ATF Policy 0 Number of Active Clients
ATF Policy 0 Number of Active Clients
ATF Policy
ATF Policy
ATF Policy
ATF Policy0 Number of Active Clients0 Number of Active Clients0 Exclusionlist Timeout60 seconds Session Timeout
ATF Policy0 Number of Active Clients0 Number of Active Clients0 Exclusionlist Timeout60 seconds Session Timeout
ATF Policy
ATF Policy0 Number of Active Clients0 Number of Active Clients0 Exclusionlist Timeout60 seconds Session Timeout
ATF Policy
ATF Policy
ATF Policy
ATF Policy

WLAN Layer2 ACL unconfigured
mDNS Status Disabled
mDNS Profile Nameunconfigured
DHCP Server Default
DHCP Address Assignment Required Disabled
Static IP client tunneling Disabled
Tunnel Profile Unconfigured
Quality of Service Silver
Per-SSID Rate Limits Upstream Downstream
Average Data Rate 0 0
Average Realtime Data Rate 0 0
Burst Data Rate 0 0
Burst Realtime Data Rate 0 0
Per-Client Rate Limits Upstream Downstream
Average Data Rate 0 0
Average Realtime Data Rate 0 0
Burst Data Rate 0 0
Burst Realtime Data Rate 0 0
Scan Defer Priority 4,5,6
Scan Defer Time 100 milliseconds
WMM Allowed
WMM UAPSD Compliant Client Support Disabled
Media Stream Multicast-direct Disabled
CCX - Aironetle Support Enabled
CCX - Gratuitous ProbeResponse (GPR) Disabled
CCX - Diagnostics Channel Capability Disabled
Dot11-Phone Mode (7920) Disabled
Wired Protocol
Passive Client Feature Disabled
Peer-to-Peer Blocking Action Disabled

Radio Policy All
DTIM period for 802.11a radio1
DTIM period for 802.11b radio1
Radius Servers
Authentication Global Servers
Accounting Global Servers
Interim Update Enabled
Interim Update Interval0
Framed IPv6 Acct AVP Prefix
Dynamic Interface Disabled
Dynamic Interface Priority wlan
Local EAP Authentication Disabled
Radius NAI-Realm Disabled
Mu-Mimo Enabled
Security

802.11 Authentication: Open System
FT Support Disabled
Static WEP Keys Disabled
802.1X Disabled
Wi-Fi Protected Access (WPA/WPA2) Enabled
WPA (SSN IE) Enabled
TKIP Cipher Enabled
AES Cipher Enabled
WPA2 (RSN IE) Enabled
TKIP Cipher Disabled
AES Cipher Enabled
OSEN IE Disabled
Auth Key Management
802.1x Disabled

PSK Enabled
CCKM Disabled
FT-1X(802.11r) Disabled
FT-PSK(802.11r) Disabled
PMF-1X(802.11w) Disabled
PMF-PSK(802.11w) Disabled
OSEN-1X Disabled
FT Reassociation Timeout 20
FT Over-The-DS mode Disabled
GTK Randomization Disabled
SKC Cache Support Disabled
CCKM TSF Tolerance 1000
Wi-Fi Direct policy configured Disabled
EAP-Passthrough Disabled
CKIP Disabled
Web Based Authentication Disabled
Web Authentication Timeout 300
Web-Passthrough Disabled
Mac-auth-server0.0.0.0
Web-portal-server 0.0.0.0
Conditional Web Redirect Disabled
Splash-Page Web Redirect Disabled
Auto Anchor Disabled
FlexConnect Local Switching Disabled
FlexConnect Central Association Disabled
flexconnect Central Dhcp Flag Disabled
flexconnect nat-pat Flag Disabled
flexconnect Dns Override Flag Disabled
flexconnect PPPoE pass-through Disabled
flexconnect local-switching IP-source-guar Disabled

FlexConnect Vlan based Central Switching Disabled
FlexConnect Local Authentication Disabled
FlexConnect Learn IP Address Enabled
Client MFP Optional
PMF Disabled
PMF Association Comeback Time1
PMF SA Query RetryTimeout 200
Tkip MIC Countermeasure Hold-down Timer 60
Eap-params Disabled
Flex Avc Profile Name None
Flow Monitor Name None
Split Tunnel Configuration
Split Tunnel Disabled
Call Snooping Disabled
Roamed Call Re-Anchor Policy Disabled
SIP CAC Fail Send-486-Busy Policy Enabled
SIP CAC Fail Send Dis-Association Policy Disabled
KTS based CAC Policy Disabled
Assisted Roaming Prediction Optimization Disabled
802.11k Neighbor List Disabled
802.11k Neighbor List Dual Band Disabled
802.11v Directed Multicast Service Disabled
802.11v BSS Max Idle Service Enabled
802.11v BSS Transition Service Disabled
802.11v BSS Transition Disassoc Imminent Disabled
802.11v BSS Transition Disassoc Timer 200
802.11v BSS Transition OpRoam Disassoc Timer 40
DMS DB is empty
Band Select Disabled
Load Balancing Disabled

Multicast Buffer..... Disabled Universal Ap Admin..... Disabled

Mobility Anchor List

WLAN ID IP Address Status Priority

------ ------

802.11u..... Disabled

MSAP Services..... Disabled

Local Policy

\_\_\_\_\_

Priority Policy Name

-----

**Policy Configuration** 

L2ACL Configuration

**ACL Configuration** 

**CPU ACL Configuration** 

CPU Acl Name..... NOT CONFIGURED

Wireless Traffic..... Disabled

Wired Traffic..... Disabled

RADIUS Configuration
Vendor Id Backward Compatibility Disabled
Call Station Id Case lower
Accounting Call Station Id Type Mac Address
Auth Call Station Id Type AP's Radio MAC Address:SSID
Extended Source Ports Support Enabled
Aggressive Failover Enabled
Keywrap Disabled
Fallback Test:
Test Mode Passive
Probe User Name cisco-probe
Interval (in seconds) 300
MAC Delimiter for Authentication Messages hyphen
MAC Delimiter for Accounting Messages hyphen
RADIUS Authentication Framed-MTU 1300 Bytes

Authentication Servers

Idx Type Server Address Port State Tout MgmtTout RFC3576 IPSec - AuthMode/Phase1/Group/Lifetime/Auth/Encr/Region

Accounting Servers

Idx Type Server Address Port State Tout MgmtTout RFC3576 IPSec - AuthMode/Phase1/Group/Lifetime/Auth/Encr/Region

**TACACS** Configuration

Fallback Test:

Interval (in seconds).....0

Authentication Servers

Idx Server Address Port State Tout MgmtTout

--- ----- -----

**Authorization Servers** 

Idx Server Address Port State Tout MgmtTout

--- ----- -----

Accounting Servers

Idx Server Address Port State Tout MgmtTout

--- ----- -----

LDAP Configuration

Local EAP Configuration

User credentials database search order:

Primary ..... Local DB

Timer:

Active timeout ...... 300

Configured EAP profiles:

EAP Method configuration:

EAP-FAST:

Server key <hidden></hidden>	
TTL for the PAC 10	
Anonymous provision allowed Yes	
Authority ID 436973636f0000000000000000000000000000000000	)0
Authority Information Cisco A-ID	

Dns Configuration
Radius port
Radius secret
Dns url
Dns timeout
Dns Serverip
Dns state Disable

Dns Auth Retransmit Timeout	2
Dns Acct Retransmit Timeout	2
Dns Auth Mgmt-Retransmit Timeout	2
Dns Network Auth	. Enable
Dns Mgmt Auth	Enable
Dns Network Acct	Enable
Dns RFC 3576 Auth	. Disable

Tacacs port
Tacacs secret 2
Dns url
Dns timeout
Dns Serverip
Dns state Disable

Fallback Radio Shut configuration:
Fallback Radio Shut: Disabled
Arp-caching: Disabled

Subnet Broadcast Drop: Disabled

FlexConnect Group Summary

FlexConnect Group Summary: Count: 0

Group Name # Aps

FlexConnect Group Detail

FlexConnect Vlan name Summary

Vlan-Name Id Status

FlexConnect Vlan Name Detail

Route Info

Number of Routes......0

Destination Network Netmask Gateway

Peer Route Info

Number of Routes..... 32555

Destination Network Netmask Gateway

Qos Queue Length Info

Platinum queue length 100
Gold queue length 75
Silver queue length 50
Bronze queue length 25

## Qos Profile Info

Description For Voi	ce Appli	cations
Maximum Priority voic	e	
Unicast Default Priority void	ce	
Multicast Default Priority voi	ce	
Per-SSID Rate Limits Upst	ream	Downstream
Average Data Rate 0	0	
Average Realtime Data Rate	0	0
Burst Data Rate 0	0	

Burst Realtime Data Rate 0 0
Per-Client Rate Limits Upstream Downstream
Average Data Rate 0 0
Average Realtime Data Rate 0 0
Burst Data Rate 0 0
Burst Realtime Data Rate 0 0
protocol dot1p
dot1p5
Description For Video Applications
Maximum Priority video
Unicast Default Priority video
Multicast Default Priority video
Per-SSID Rate Limits Upstream Downstream
Average Data Rate 0 0
Average Realtime Data Rate 0 0
Burst Data Rate 0 0
Burst Realtime Data Rate 0 0
Per-Client Rate Limits Upstream Downstream
Average Data Rate 0 0
Average Realtime Data Rate
Burst Data Rate 0 0
Burst Data Rate00Burst Realtime Data Rate00
Burst Data Rate
Burst Data Rate
Burst Data Rate
Burst Data Rate
Burst Data Rate
Burst Data Rate
Burst Data Rate

Average Realtime Data Rate 0 0
Burst Data Rate 0 0
Burst Realtime Data Rate 0 0
Per-Client Rate Limits Upstream Downstream
Average Data Rate 0 0
Average Realtime Data Rate 0 0
Burst Data Rate 0 0
Burst Realtime Data Rate 0 0
protocol dot1p
dot1p0
Description For Background
Maximum Priority background
Unicast Default Priority background
Multicast Default Priority background
Per-SSID Rate Limits Upstream Downstream
Average Data Rate 0 0
Average Realtime Data Rate 0 0
Burst Data Rate 0 0
Burst Realtime Data Rate 0 0
Per-Client Rate Limits Upstream Downstream
Average Data Rate 0 0
Average Realtime Data Rate 0 0
Burst Data Rate 0 0
Burst Realtime Data Rate 0 0
protocol dot1p

# Mac Filter Info
#### Authorization List

Authorize MIC APs against Auth-list or AAA ...... disabled Authorize LSC APs against Auth-List ...... disabled APs Allowed to Join AP with Manufacturing Installed Certificate..... yes AP with Self-Signed Certificate...... no AP with Locally Significant Certificate...... no

Load Balancing Info
Aggressive Load Balancing per WLAN enabling
Aggressive Load Balancing Window 5 clients
Aggressive Load Balancing Denial Count 3
Aggressive Load Balancing Uplink Threshold 50

### Statistics (client-count based)

Total Denied Count	. 0 clients
Total Denial Sent C	) messages
Exceeded Denial Max Limit Count	0 times
None 5G Candidate Count	0 times
None 2.4G Candidate Count	0 times

# Statistics (uplink-usage based)

Total Denied Count	0 clients
Total Denial Sent	0 messages
Exceeded Denial Max Limit Count	0 times
None 5G Candidate Count	0 times
None 2.4G Candidate Count	0 times

DHCP Info

DHCP Opt-82 RID Format: <AP radio MAC address> DHCP Opt-82 Format: binary

DHCP Proxy Behaviour: disabled

Exclusion List ConfigurationUnable to retrieve exclusion-list entry

**CDP** Configuration

cdp version v2

**Country Channels Configuration** 

Configured Country..... US - United States

KEY: \* = Channel is legal in this country and may be configured manually.

A = Channel is the Auto-RF default in this country.

. = Channel is not legal in this country.

- C = Channel has been configured for use by Auto-RF.
- x = Channel is available to be configured for use by Auto-RF.

(-,-) = (indoor, outdoor) regulatory domain allowed by this country.

802.11bg :

Channels : 11111

:12345678901234

US (-A ,-AB ): A \* \* \* \* A \* \* \* \* A . . .

802.11a : 11111111111111111111

Channels : 3 3 3 4 4 4 4 5 5 6 6 0 0 0 1 1 2 2 2 3 3 4 4 4 5 5 6 6 6 7

: 4 6 8 0 2 4 6 8 2 6 0 4 0 4 8 2 6 0 4 8 2 6 0 4 9 3 7 1 5 9 3

4.9GHz 802.11a :

Channels : 1111111112222222

:12345678901234567890123456

#### WPS Configuration Summary

#### Auto-Immune

Auto-Immune	Disabled
Auto-Immune by aWIPS Prevention.	Disabled

#### **Client Exclusion Policy**

Excessive 802.11-association failures En	abled
Excessive 802.11-authentication failures B	Enabled
Excessive 802.1x-authentication Ena	abled
IP-theft Enabled	
Excessive Web authentication failure E	nabled
Maximum 802.1x-AAA failure attempts	. 3

Signature Policy

Signature Processing..... Enabled

Management Frame Protection

Global Infrastructure MFP state..... DISABLED (\*all infrastructure settings are overridden)

AP Impersonation detection..... Disabled

Controller Time Source Valid...... False

#### WLAN Client

WLAN ID WLAN Name Status Protection

----- ------

1 IP\_Dev No Encryption Disabled Optional but inactive (WPA2 not configured)

2 IP\_Dev All WPA/WPA2 PSK Enabled Optional

# Custom Web Configuration

Radius Authentication Method PAP
Cisco Logo Enabled
CustomLogo None
Custom Title None
Custom Message None
Custom Redirect URL None
Web Authentication Type Internal Default
Logout-popup Enabled
External Web Authentication URL None

Configuration Per Profile:

Core dump Configuration

Core Dump upload is disabled

Rogue AP Configuration

Rogue Detection Security Level custom
Rogue Pending Time 180 secs
Rogue on wire Auto-Contain Disabled
Rogue using our SSID Auto-Contain Disabled
Valid client on rogue AP Auto-Contain Disabled
Rogue AP timeout 1200
Rogue Detection Report Interval 10
Rogue Detection Min Rssi
Rogue Detection Transient Interval0
Rogue Detection Client Num Thershold0
Validate rogue AP against AAA Disabled
Rogue AP AAA validation interval 0 secs
Total Rogues(AP+Ad-hoc) supported
Total Rogues classified 41

MAC Address Classification # APs # Clients Last Heard

----- -----

04:bd:88:b5:2f:40 Friendly	2	0	Thu Aug 18 20:06:04 2016
04:bd:88:b5:2f:45 Friendly	2	0	Thu Aug 18 20:06:04 2016
04:bd:88:b5:2f:50 Friendly	0	0	Not Heard
04:bd:88:b5:2f:55 Friendly	0	0	Not Heard
04:bd:88:b5:4e:e0 Friendly	0	0	Not Heard
04:bd:88:b5:4e:f0 Friendly	0	0	Not Heard
04:bd:88:b5:5a:20 Unclassified	2	0	Thu Aug 18 20:06:04 2016
04:bd:88:b5:5a:21 Unclassified	2	0	Thu Aug 18 20:06:04 2016
04:bd:88:b6:0d:60 Friendly	0	0	Not Heard
04:bd:88:b6:0d:70 Friendly	0	0	Not Heard
04:bd:88:b6:0d:75 Friendly	0	0	Not Heard

04:bd:88:b6:0e:e0 Friendly	0	0	Not Heard
04:bd:88:b6:0e:f0 Friendly	0	0	Not Heard
04:bd:88:b6:0e:f5 Friendly	0	0	Not Heard
04:bd:88:b6:10:00 Friendly	0	0	Not Heard
04:bd:88:b6:10:10 Friendly	0	0	Not Heard
04:bd:88:b6:10:15 Friendly	0	0	Not Heard
04:bd:88:b6:10:60 Friendly	2	0	Thu Aug 18 20:06:04 2016
04:bd:88:b6:10:65 Unclassified		2 0	Thu Aug 18 20:06:04 2016
04:bd:88:b6:10:70 Friendly	0	0	Not Heard
04:bd:88:b6:10:75 Friendly	0	0	Not Heard
04:bd:88:b6:10:b5 Friendly	0	0	Not Heard
62:6d:c7:27:a6:98 Unclassified		2 0	Thu Aug 18 20:06:04 2016
6c:72:20:3e:af:26 Friendly	0	0	Not Heard
6c:72:20:3e:af:28 Friendly	0	0	Not Heard
6c:72:20:3e:af:2a Friendly	0	0	Not Heard
88:dc:96:30:d9:1b Friendly	0	0	Not Heard
8a:dc:96:30:d9:1b Friendly	0	0	Not Heard
9a:dc:96:30:d9:1b Friendly	0	0	Not Heard
e0:d1:73:02:b7:ab Friendly	0	0	Not Heard
e0:d1:73:02:b7:af Friendly	0	0	Not Heard
e0:d1:73:02:bc:2b Friendly	0	0	Not Heard
e0:d1:73:02:bc:2f Friendly	0	0	Not Heard
e0:d1:73:02:f6:6b Friendly	0	0	Not Heard
e0:d1:73:02:f6:6f Friendly	0	0	Not Heard
e0:d1:73:02:f9:4b Friendly	0	0	Not Heard
e0:d1:73:02:f9:4f Friendly	0	0	Not Heard
e0:d1:73:02:fa:4b Friendly	0	0	Not Heard
e0:d1:73:02:fa:4f Friendly	0	0	Not Heard
e0:d1:73:02:ff:1b Friendly	0	0	Not Heard
e0:d1:73:02:ff:1f Friendly	0	0	Not Heard

Rogue AP RLDP Configuration

Rogue Location Discovery Protocol..... Disabled RLDP Schedule Config..... Disabled RLDP Scheduling Operation..... Disabled RLDP Retry...... 1

RLDP Start Time RLDP End Time Day

Rogue Auto Contain Configuration

Containment Level...... 1 monitor\_ap\_only..... false

Adhoc Rogue Configuration

Detect and report Ad-Hoc Networks..... Enabled Auto-Contain Ad-Hoc Networks..... Disabled Total Rogues(Ad-Hoc+AP) supported ...... 800

Total Ad-Hoc entries ......0

Client MAC Address Adhoc BSSID State # APs Last Heard

----- -----

**Rogue Client Configuration** 

Validate rogue clients against AAA..... Disabled Validate rogue clients against MSE..... Disabled

Total Rogue Clients supported 3000					
Total Rogue Clients present0					
MAC Addre	ss State 	# APs Last Heard			
Ignore List (	Configuration				
MAC Addre	SS				
Rogue Rule	Configuration				
Priority	Rule Name	Rule state Class Type	Notify S	State	Match Hit Count
Media-Strea	am Configuration				
Multicast-d	irect State	disable			
Allowed WI	_ANs				
Stream Nar	ne Start IP	End IP		Oper	ration Status

URL
E-mail
Phone
Note
State disable

2.4G Band Media-Stream Configuration

Multicast-direct Enabled
Best Effort Disabled
Video Re-Direct Enabled
Max Allowed Streams Per Radio Auto
Max Allowed Streams Per Client Auto
Max Video Bandwidth0
Max Voice Bandwidth75
Max Media Bandwidth 85
Min PHY Rate 6000
Max Retry Percentage 80

5G Band Media-Stream Configuration

Multicast-direct	Enabled
Best Effort	. Disabled
Video Re-Direct	Enabled
Max Allowed Streams Per Radio	Auto
Max Allowed Streams Per Client	Auto
Max Video Bandwidth	0
Max Voice Bandwidth	75

Max Media Bandwidth	85
Min PHY Rate	6000
Max Retry Percentage	80

Number of Clients.....0

Client Mac Stream Name Stream Type Radio WLAN QoS Status

WLC Voice Call Statistics

WLC Voice Call Statistics for 802.11b Radio

## WMM TSPEC CAC Call Stats

Total num of Calls in progress0
Num of Roam Calls in progress0
Total Num of Calls Admitted0
Total Num of Roam Calls Admitted0
Total Num of exp bw requests received0
Total Num of exp bw requests Admitted0
Total Num of Calls Rejected0
Total Num of Roam Calls Rejected0
Num of Calls Rejected due to insufficent bw 0
Num of Calls Rejected due to invalid params 0
Num of Calls Rejected due to PHY rate0
Num of Calls Rejected due to QoS policy 0
SIP CAC Call Stats

Total Num of Calls in progress0
Num of Roam Calls in progress0
Total Num of Calls Admitted0
Total Num of Roam Calls Admitted0
Total Num of Preferred Calls Received0
Total Num of Preferred Calls Admitted0
Total Num of Ongoing Preferred Calls0
Total Num of Calls Rejected(Insuff BW)0
Total Num of Roam Calls Rejected(Insuff BW) 0
KTS based CAC Call Stats
Total Num of Calls in progress0
Num of Roam Calls in progress0
Total Num of Calls Admitted0
Total Num of Roam Calls Admitted0
Total Num of Calls Rejected(Insuff BW) 0
Total Num of Roam Calls Rejected(Insuff BW) 0

WLC Voice Call Statistics for 802.11a Radio

# WMM TSPEC CAC Call Stats

Total num of Calls in progress0
Num of Roam Calls in progress0
Total Num of Calls Admitted0
Total Num of Roam Calls Admitted0
Total Num of exp bw requests received0
Total Num of exp bw requests Admitted0
Total Num of Calls Rejected0
Total Num of Roam Calls Rejected0
Num of Calls Rejected due to insufficent bw 0
Num of Calls Rejected due to invalid params 0

Num of Calls Rejected due to PHY rate...... 0 Num of Calls Rejected due to QoS policy...... 0 SIP CAC Call Stats Total Num of Calls in progress......0 Num of Roam Calls in progress...... 0 Total Num of Calls Admitted......0 Total Num of Roam Calls Admitted......0 Total Num of Preferred Calls Received......0 Total Num of Preferred Calls Admitted......0 Total Num of Ongoing Preferred Calls......0 Total Num of Calls Rejected(Insuff BW)......0 Total Num of Roam Calls Rejected(Insuff BW).... 0 KTS based CAC Call Stats Total Num of Calls in progress...... 0 Num of Roam Calls in progress......0 Total Num of Calls Admitted......0 Total Num of Roam Calls Admitted......0 Total Num of Calls Rejected(Insuff BW)......0 Total Num of Roam Calls Rejected(Insuff BW).... 0

#### WLC IPv6 Summary

Global Config	Enabled
Reachable-lifetime value	300
Stale-lifetime value	
Down-lifetime value	30
RA Throttling	Disabled
RA Throttling allow at-leas	t 1

RA Throttling allow at-most1
RA Throttling max-through 10
RA Throttling throttle-period 600
RA Throttling interval-option passthrough
NS Mulitcast CacheMiss Forwarding Disabled
NA Mulitcast Forwarding Enabled
IPv6 Capwap UDP Lite Enabled
Operating System IPv6 state Enabled

# mDNS Service Summary

Number of Services 10		
Mobility learning status Enabled		
Service-Name	LSS Origi	in No SP Service-string
AirTunes	No All	0 _raoptcp.local.
Airplay	No All (	D _airplaytcp.local.
Googlecast	No All	0 _googlecasttcp.local.
HP_Photosmart_Prir	nter_1 No	All 0 _universalsubipptcp.local.
HP_Photosmart_Prir	nter_2 No	All 0 _cupssubipptcp.local.
HomeSharing	No All	0 _home-sharingtcp.local.
Printer-IPP	No All	0 _ipptcp.local.
Printer-IPPS	No All	0 _ippstcp.local.
Printer-LPD	No All	0 _printertcp.local.
Printer-SOCKET	No All	0 _pdl-datastreamtcp.local.

\* -> If access policy is enabled LSS will be ignored.

### mDNS service-group Summary

Access Policy Status..... Disabled Total number of mDNS Policies...... 1

Number of Admin configured Policies......1

SI No	Service Group Name	Description	Origin	
1	default-mdns-policy	Default Access Policy create	d by WLC	WLC

mDNS profile detailed	
Profile Name	default-mdns-profile
Profile Id	1
No of Services	
Services	AirTunes
	Airplay
	Googlecast
	HP_Photosmart_Printer_1
	HP_Photosmart_Printer_2
	HomeSharing
	Printer-IPP
	Printer-IPPS

# Printer-LPD

# Printer-SOCKET

No. Interfaces Attached	)
No. Interface Groups Attached	0
No. Wlans0	
No. Local Policies Attached	0

mDNS AP Summary

Number of mDNS APs.....0

PMIPv6 Global Configuration

PMIPv6 Profile Summary

No Profile Created.

PMIPv6 MAG Statistics

PMIPv6 domain has to be configured first

EoGRE Global Configuration

Heartbeat Interval......60

Max Heartbeat Skip Count......3

Interface.....management

**EoGRE Gateway Configuration** 

EoGRE Domain Configuration

Domain Name Gateways Active Gateway

----- -----

**EoGRE Profile Configuration** 

WLAN Express Setup Information.

WLAN Express Setup - ..... False

Flex Avc Profile summary.

Profile-Name

Number of Rules status

============

Flex Avc Profile Detailed Configuration.

Certificate Summary.

Web Administration Certificate	3rd Party
Web Authentication Certificate	Locally Generated
Certificate compatibility mode:	. off
Lifetime Check Ignore for MIC	Disable
Lifetime Check Ignore for SSC	Disable

Smart-licensing status Summary.

Call-home Summary.

Hotspot Icon Summary.

Unable to find Icon directory in flash.

Coredump Summary

Core Dump upload is disabled

Memory Summary
System Memory Summary
System Name:wlc Primary SW Ver:8.2.111.0
Current Time:Thu Aug 18 20:06:33 2016 System UP Time:6 days 3 hrs 49 mins 39 secs
NAME: "Chassis" , DESCR: "Cisco Wireless Controller"
PID: AIR-CTVM-K9, VID: V01, SN: 96NTPERK0A6
Total System Memory (2057560 КВ) 2009 МВ
Total System Free Memory (909360 KB) 888 MB (44 %)
Total Memory in Buffers (1104 KB)
Total Memory in Cache (266564 KB) 260 MB
Total Active Memory (511540 KB) 499 MB
Total InActive Memory (238112 KB) 232 MB
Total Memory in Anon Pages (481984 KB) 470 MB
Total Memory in Slab (11004 KB) 10 MB

Total Memory in Page Tables (2748 KB) 2 MB
WLC Peak Memory (1402280 KB) 1369 MB
WLC Virtual Memory Size (1383912 KB) 1351 MB
WLC Resident Memory (506340 KB) 494 MB
WLC Data Segment Memory (1318240 KB) 1287 MB
Total Heap Including Mapped Pages (399115 KB) 389 MB
Total Memory in Pmalloc Pools (350174 KB) 341 MB
Total Used Memory in Pmalloc Pools (324913 KB) 317 MB
Total Free Memory in Pmalloc Pools (16706 KB) 16 MB
Pmalloc Pools Information
Index Pool-Size Chunks-In-Pool Chunks-In-Use Memory(Size/Used/Free)KB

0	16	50000	5351	5468 /4771 /697	
1	64	40000	16626	6250 /4789 /1460	
2	128	52800	52677	11550 /11534 /15	
3	256	9400	9377	3231 /3225 /5	
4	384	6000	287	2812 /670 /2142	
5	512	16000	15	9500 /1507 /7992	
6	1024	13100	12985	14328 /14213 /115	
7	2048	1000	712	2093 /1517 /576	
8	4096	1000	74	4093 /389 /3704	
9	Raw-F	ool 0	524	290800 /290800 /0	
MBUF Information					
Maximum number of Mbufs 24576					
Number of Mbufs Free 24560					
Number of Mbufs In Use 16					

Mesh Configuration

Mesh Range 12000
Mesh Statistics update period 3 minutes
Backhaul with client access status disabled
Backhaul with extended client access status disabled
Background Scanning State disabled
Subset Channel Sync State disabled
Backhaul Amsdu State enabled
Backhaul RRMdisabled
Mesh Auto RFdisabled

# Mesh Security

Security Mode	EAP
External-Auth	disabled
Use MAC Filter in External A	AAA server disabled
Force External Authenticati	on disabled
LSC Only MAP Authenticatio	on disabled

# Mesh Alarm Criteria

Max Hop Count	4
Recommended Max Children for	MAP 10
Recommended Max Children for	RAP 20
Low Link SNR	12
High Link SNR	60
Max Association Number	10
Association Interval	60 minutes
Parent Change Numbers	3
Parent Change Interval	60 minutes

Mesh Multicast Mode	In-Out
Mesh CAC Mode	enabled
Mesh Full Sector DFS	enabled

Mesh Ethernet Bridging VLAN Transparent Mode..... enabled

Mesh DCA channels for serial backhaul APs...... disabled

Outdoor Ext. UNII B Domain cha	annels(for BH) disabled
Mesh Advanced LSC	disabled
Advanced LSC AP Provisioning .	disabled
Open Window	disabled
Provision Controller	disabled

Mesh Slot Bias	enabled
Mesh Convergence Method	standard
Mesh Channel Change Notification	disabled
Mesh Ethernet Bridging STP BPDU All	owed disabled
Mesh RAP downlink backhaul	

# **Appendix B** Sample Pump Configuration Parameters

# **B.1** Example of Pump Configuration File

SN=2011304 # Pump serial number - must match SN of receiving pump **# SIGMA Spectrum Settings** [NETWORK CONFIGURATION] # DHCP=0 DHCP disabled - IP, GATEWAY, NETMASK, and DNS must be valid # DHCP=1 DHCP enabled - IP, GATEWAY, NETMASK, and DNS must be blank DHCP=1 IP= GATEWAY= NETMASK= DNS= # Leave either SIGMAGW or MULTICAST blank # SIGMAGW set to DNS name or IP address of SIGMA gateway server SIGMAGW=192.168.140.165 # MULTICAST group default is 239.237.12.87 MULTICAST= # DEVICEID set to device alias # Limited to 20 alpha-numeric characters (0-1,A-Z,a-z), blank is acceptable DEVICEID=000345 [WIFI CONFIGURATION] # BSS=0 Infrastructure mode (Access point) # BSS=1 Join or Create Ad-Hoc (peer-to-peer) # BSS=2 Join only Ad-Hoc (peer-to-peer) # BSS=3 Join any BSS=0 # SSID= set to wireless network name SSID=IP\_Dev\_Cert # 802.11 Mode - 'b', 'g', and/or 'a'

802.	1	1	b	=	1
	-	_	~		-

802.11g=1

802.11a=1

# CHANNEL=0 search channels

CHANNEL=0

# SECURITY=0 Any available security method

# SECURITY=1 Open system (no-encryption)

# SECURITY=2 WEP shared key

# SECURITY=3 WPA pre-shared key

# SECURITY=4 WPA with 802.1x authentication

# SECURITY=5 WEP with 802.1x authentication

# SECURITY=6 LEAP

# SECURITY=7 EAP-FAST

SECURITY=4

# WEPKEYINDEX=0-3

WEPKEYINDEX=0

# WEPKEY may be blank or 10 (64-bit) or 26 (128-bit) hex (0-1 and a-f)

characters long

WEPKEY=

# WPAENCRYPTION=0 Any

# WPAENCRYPTION=1 WEP

# WPAENCRYPTION=2 TKIP

# WPAENCRYPTION=3 CCMP (AES)

# WPAENCRYPTION=4 Open (no encryption)

WPAENCRYPTION=3

# WPAPSK must be blank if WPA PSK is not used

# WPAPSK may 64 hex (0-1 and a-f) characters long to specify a PSK

# WPAPSK may be 8-63 ascii characters long to specify a passphrase

WPAPSK=

# 802.1X/EAP Authentication method

# Set one, or more, authentication methods to 1 to enable them, all others should be 0 LEAP=0 PEAP/MSCHAPv2=0 EAP-TLS=1 EAP-FAST=0 # IDENTITY= 802.1X Identity (username) IDENTITY=BaxterCert # PASSWORD= 802.1X Password PASSWORD= # Certificate information follows, required for authentication modes that use a certificate. # All certificates and private keys must be PEM format (base64 encoded). # Client certificate, both cert and private key are required. # Certificate and key information is not output for security reasons. # Certificate information is radio specific, so the MAC address of the Wireless **Battery Module** # of the attached, or soon to be attached module must match. # If the certs or keys required a password, it should be specified in the 802.1x PASSWORD field above. # The MAC address specified below must match the module connected to the pump. MAC=00:40:9d:66:db:45 CLIENTCERT= -----BEGIN RSA PRIVATE KEY-----MIIEowIBAAKCAQEAuhKvGS9womnF7tmM1IOWuzbvMct7u+TDYtoQSNEitAYe5Bjr XR+tQOT/2b08nJUjVNI91/+3t2i9qUDDU58DTKKir9dmR5ridHlalyhts8fB7h2a rZ74YK+4/A1C2mNpmwqwDQlwWhJzJgSe5XeZF0ALTdS3LEggwpuPb6Eo2Wbnqwr0 /tbsRvaeEjwclGOwmuy1v8TkrbSKeFt9I4B54Pcl3KsxbnnUjH7JIV9h/0nyrOKi z2P+3maogCnOwxRQp79j/IgCS3JbUBMG14gKnxorJgLuBovqpsWIYO6k/qohlpyg Vevc0UUj8XiyEun1ldT1SCXYke/I9jauLBB6OQIDAQABAoIBAHjnmw7qXG2r/Qju

IywTNOYBE/tvFL9KLgsVVm96NOp0762W45hm9NSt9/ErnS7BWWvQxoyLhHyQemx3 wHOdZy9snflUJQlyAqNcFs2xf1bJ/aETa2ZVXV61z6U3mLD+16f+kdZmw7JDOr8B UZ4Y0EjjPHUeOsdzNpY9Lj6CoWBg+V3+TEo3WCaHsqHN8yoVKP30Xnfb1JMgRLf/ infhl6Qg6QKBM++vWQjlUYuM4hbQtQ6HmwWv2epu8YHFdmm3jTSrv+W8lBbY2N5D N9tZsdUJ54NHiVZTjVmAXCxSpBp3+yTOMRpnzgW0v8MLMhFanjIC5QypG712HIQx gk7LZGECgYEA4vB26UpZNxsOlgzcEQP8fQ82Dk5xNjb9e7qDSD85LUppR6F4xwNs QPyFVYRemb+pQyIwn1X2SNAdRvsDwSsFVTV9ENi1PzIHbOfaBWE9/VNMaz8vCjfr teC3So6bIWIIHNeoOl8d1wrTOtGZFENH/H4DoOBC7U0aoYjvtnYBpIMCgYEA0eaJ mITPESmZRZI8kaCb/TrWLTZmH2SOCPgC/qVmJ2FiQ8iT3KJXJ5d8ophY84Kay4le axVUUgIdKNyvNrf038Rx0DirN+gznSKPJuMdY+tnCxaXBjTj/tSwkeiNamZOXHeH boVIReX6ONDvT+u9MkvMxDmhwBb9G4izw26a88MCgYAhqyFJLTGdPINkqZXApIHC IA6aAsNDEtd6kspFXrPh50dFTEx54iUeYxh4/oF2d/vprNnf2cYHOXEOhdEhyHsr EBt082G4dowFOUScRbgHrGMLCj21W2SKAEPROOUFCPjqVYhs2I25yK5b7Jq0aeL1 L9Dj/kGPqT/JNWKzBEDsZwKBgQDFNt5BN0d20Kb5/xR5n3Xwz788a8g35rqtIplt uOnqRk2Vcne67a0FvgeUnZ+17BiU9FSKOFgpVWMgaXkW6HBjbqehBB2bRCHOmhH2 b53Fq//9IxRy+G7fl+busJluRwGJT6Un6p3kttgLWgQAC3aQMzgJhjy7xt25aQ+9 p8ZfEQKBgB6jQAT31FxvPFHyjU4NdFeogJd2c2nFbkC7aqOEPKNG9Nbzn/VVWh7x Rx7Axua3D2OYrCH7V1NcR9X1dInpyj/hYXc5/VdtLZ2yhEc2GiG/jfgNWk2W2BZd 2NLf54bgV67lkC2yKMK/5wBru+V73WmqvWfQ4KsMesLLBBzMRvJa

-----END RSA PRIVATE KEY-----

-----BEGIN CERTIFICATE-----

MIIFWzCCBEOgAwIBAgIQAr0FxoUrLR0mLxVp3m/RJzANBgkqhkiG9w0BAQsFADBx MQswCQYDVQQGEwJVUzEVMBMGA1UEChMMRGInaUNIcnQgSW5jMRkwFwYDVQQLExB3 d3cuZGInaWNIcnQuY29tMTAwLgYDVQQDEydEaWdpQ2VydCBUZXN0IEIudGVybWVk aWF0ZSBSb290IENBIFNIQTIwHhcNMTcwMzE1MDAwMDAwWhcNMTgwMzE1MTIwMDAw WjCBiDELMAkGA1UEBhMCVVMxCzAJBgNVBAgTAk1EMRIwEAYDVQQHEwISb2NrdmIs bGUxNzA1BgNVBAoTLk5hdGlvbmFsIEIuc3RpdHV0ZSBvZiBTdGFuZGFyZHMgYW5k IFRIY2hub2xvZ3kxDjAMBgNVBAsTBU5DQ29FMQ8wDQYDVQQDEwZCYXh0ZXIwggEi MA0GCSqGSIb3DQEBAQUAA4IBDwAwggEKAoIBAQC6Eq8ZL3CiacXu2YzUg5a7Nu8x y3u75MNi2hBI0SK0Bh7kGOtdH61A5P/ZvTyclSNU2X3X/7e3aL2pQMNTnwNMoqKv 12ZHmuJ0eVojKG2zx8HuHZqtnvhgr7j8DULaY2mbCrANCXBaEnMmBJ7ld5kXQAtN 1LcsSCDCm49voSjZZuerCvT+1uxG9p4SPBwgY7Ca7LW/xOSttIp4W30jgHng9yXc qzFuedSMfskhX2H/SfKs4qLPY/7eZqiAKc7DFFCnv2P8iAJLcltQEwbXiAqfGism Au4Gi+qmxYhg7qT+qiEinKBV69zRRSPxeLIS6fWV1PVIJdiR78j2Nq4sEHo5AgMB AAGjggHVMIIB0TAfBgNVHSMEGDAWgBSJVf2JvOIQPPttTh8w+fmCi1xh4jAdBgNV HQ4EFgQU3PsIuQqjWZ2eFYrcKNhdYi7Rf1owEQYDVR0RBAowCIIGQmF4dGVyMA4G A1UdDwEB/wQEAwIFoDAdBgNVHSUEFjAUBggrBgEFBQcDAQYIKwYBBQUHAwIwgZUG A1UdHwSBjTCBijBDoEGgP4Y9aHR0cDovL2NybDN0ZXN0LmRpZ2ljZXJ0LmNvbS9E aWdpQ2VydFRlc3RJbnRlcm1lZGlhdGVTSEEyLmNybDBDoEGgP4Y9aHR0cDovL2Ny bDN0ZXN0LmRpZ2IjZXJ0LmNvbS9EaWdpQ2VydFRlc3RJbnRlcm1lZGlhdGVTSEEy LmNybDAhBgNVHSAEGjAYMAwGCmCGSAGG/WxjAQEwCAYGZ4EMAQICMIGDBggrBgEF BQcBAQR3MHUwKAYIKwYBBQUHMAGGHGh0dHA6Ly9vY3NwdGVzdC5kaWdpY2VydC5j b20wSQYIKwYBBQUHMAKGPWh0dHA6Ly9jYWNlcnRzLmRpZ2ljZXJ0LmNvbS9EaWdp Q2VydFRlc3RJbnRlcm1lZGlhdGUtU0hBMi5jcnQwDAYDVR0TAQH/BAIwADANBgkq hkiG9w0BAQsFAAOCAQEAe7Rc6PbIfEjSQpCZ3UpZ7zqWruov44nmSKvR/X4MJITM z9k3S+TzGOGYnq7bHBF1mjLt0l5K/BDWSG6LY5clSYJuGCbC/dSNFk9G+lzBKs5S 5xJxk8HeAt4OHOWmtEhZ7S4np7zUBcRu1koHbw4vW/IYJBvxRF1Sdd0ypyBP4X81 D2mX+LmFo2rlLSExurr5rd1s6Pna2FRBEjoyM78ID9AmKENgeioDi+hxGLlQROOt y7aZU8yWcec7nad9iUGO/pMDdhbWexpvp4CBihxYkUMQcf8RaqTkJM8fLAdvPq9P oQuBuMi+qPtI3WkTgfwr49usBzgbrdNPc/5MRQEz8Q==

-----END CERTIFICATE-----# Client certificate expiration date, GMT in the format: MM/DD/YYYY HH:MM:SS. CLIENTCERTEXPIRE= # Trusted certificates, maximum of 5. TRUSTEDCERTS= -----BEGIN CERTIFICATE-----

MIIGSTCCBTGgAwIBAgIEM6qqqjANBgkqhkiG9w0BAQsFADBkMQswCQYDVQQGEwJV

UzEVMBMGA1UEChMMRGInaUNIcnQgSW5jMRkwFwYDVQQLExB3d3cuZGInaWNIcnQu Y29tMSMwIQYDVQQDExpEaWdpQ2VydCBUZXN0IFJvb3QgQ0EgU0hBMjAeFw0wNjEx MTAwMDAwMDBaFw0zMTExMTAwMDAwMDBaMHExCzAJBgNVBAYTAIVTMRUwEwYDVQQK EwxEaWdpQ2VydCBJbmMxGTAXBgNVBAsTEHd3dy5kaWdpY2VydC5jb20xMDAuBgNV BAMTJORpZ2IDZXJ0IFRIc3QgSW50ZXJtZWRpYXRIIFJvb3QgQ0EgU0hBMjCCASIw DQYJKoZIhvcNAQEBBQADggEPADCCAQoCggEBAJiahU+gQ8Brmcov1LwvynLKgxMc bugjeyYeiDEUXtTEJKoPm1Pc5YE39fBY1ydwaBJ6k3LbLZM+zqw2pCXwaf4LBhLv t4ppHMfXlgI2IVpWibSYVcvJ4waD09AQ47u/SQhDHSVf17HRUIs1tIw+MMpMyGH0 9YzgI/ZI5KTWBY+nlnz9t1/RpPdcJfAWin3T/s7xNu364OFDURX+3Rxb7bVnV1xI GZUwQx23GGcSnypsflr1rBc2yvXaUnwl4DbQMUo10tdZtd1wZNQE3C1L3MXndvn0 WdFB4cM6kQlSky0RFW+TJqQlMmb29n09P/ez7lpo0cpV3vlBAC0DWm2z/FMCAwEA AaOCAvQwggLwMA4GA1UdDwEB/wQEAwIBhjCCAcYGA1UdIASCAb0wggG5MIIBtQYL YIZIAYb9bAEDAAIwggGkMDoGCCsGAQUFBwIBFi5odHRwOi8vd3d3LmRpZ2IjZXJ0 LmNvbS9zc2wtY3BzLXJlcG9zaXRvcnkuaHRtMIIBZAYIKwYBBQUHAgIwggFWHoIB UgBBAG4AeQAgAHUAcwBIACAAbwBmACAAdABoAGkAcwAgAEMAZQByAHQAaQBmAGkA YwBhAHQAZQAgAGMAbwBuAHMAdABpAHQAdQB0AGUAcwAgAGEAYwBjAGUAcAB0AGEA bgBiAGUAIABvAGYAIAB0AGgAZQAgAEQAaQBnAGkAQwBIAHIAdAAgAEMAUAAvAEMA UABTACAAYQBuAGQAIAB0AGgAZQAgAFIAZQBsAHkAaQBuAGcAIABQAGEAcgB0AHkA IABBAGcAcgBIAGUAbQBIAG4AdAAgAHcAaABpAGMAaAAgAGwAaQBtAGkAdAAgAGwA aQBhAGIAaQBsAGkAdAB5ACAAYQBuAGQAIABhAHIAZQAgAGkAbgBjAG8AcgBwAG8A cgBhAHQAZQBkACAAaABIAHIAZQBpAG4AIABiAHkAIAByAGUAZgBIAHIAZQBuAGMA ZQAuMA8GA1UdEwEB/wQFMAMBAf8wOAYIKwYBBQUHAQEELDAgMCgGCCsGAQUFBzAB hhxodHRwOi8vb2NzcHRlc3QuZGInaWNlcnQuY29tMIGIBgNVHR8EgYAwfjA9oDug OYY3aHR0cDovL2NybDN0ZXN0LmRpZ2ljZXJ0LmNvbS9EaWdpQ2VydFRlc3RSb290 Q0FTSEEyLmNybDA9oDugOYY3aHR0cDovL2NybDR0ZXN0LmRpZ2IjZXJ0LmNvbS9E aWdpQ2VydFRlc3RSb290Q0FTSEEyLmNybDAdBgNVHQ4EFgQUiVX9ibziEDz7bU4f MPn5gotcYeIwHwYDVR0jBBgwFoAU9kZ+Gxa7N5lj9z/YhSzkyepYDx4wDQYJKoZI hvcNAQELBQADggEBALFxPxkcHgaXBuoZ10FGWsq3bybGnxC6llfDETcWVrPajudx asm8EXOTSVngKNIXZTIm1BY0chhnVGA3YyNN7XF7XrT1HtRH5NDhWO2lzFEGSFLw hlCiGQBuzKOelbBWDhpN7icm+Y/u+DPaK6oFu0tX/u9kPzoc8OYSBe412sHAD1/l

kUDPAEO4yHSXDnoeOfhk24/yCuO6Wc+mMe7YXzEkq8pOEWjNw/9E1dsP20L7jD3F 97q5uVNe1wEaeE3U5Eq1xKUBdyQqitinpTv/yo/UPTDLpfjBmK2nh2HK6r0RH+YC OicqQ99N+q6YeAlhejLa7+7FkKYKK1YEAbE1Icc=

-----END CERTIFICATE-----

-----BEGIN CERTIFICATE-----

MIIDpjCCAo6gAwIBAgIBMzANBgkqhkiG9w0BAQsFADBkMQswCQYDVQQGEwJVUzEV MBMGA1UEChMMRGInaUNIcnQgSW5jMRkwFwYDVQQLExB3d3cuZGInaWNIcnQuY29t MSMwIQYDVQQDExpEaWdpQ2VydCBUZXN0IFJvb3QgQ0EgU0hBMjAeFw0wNjExMTAw MDAwMDBaFw0zMTExMTAwMDAwMDBaMGQxCzAJBgNVBAYTAIVTMRUwEwYDVQQKEwxE aWdpQ2VydCBJbmMxGTAXBgNVBAsTEHd3dy5kaWdpY2VydC5jb20xlzAhBgNVBAMT GkRpZ2IDZXJ0IFRlc3QgUm9vdCBDQSBTSEEyMIIBIjANBgkqhkiG9w0BAQEFAAOC AQ8AMIIBCgKCAQEA0DLGgpMXqI2YZ15ULS61yqyqiBMpmRtM9/w/1pqoA/GEri19 VMFuvtPTWgu9IQf0dQsRMy2d8V4INSj43YyQeXnxPzanTSqza95yoH/h4xUM/pNq AIXIO8c+cYMyCDzTQ0vrEWcvPZOtXYABac9E9ceT015RdD5pORjMwTcb6NxydZr8 nRd9/J66L4R17IKvTU74IwA6fwNd0UnXbhVhGdeEAe+eIEvJ5WIWxDeS6ZdZuSZv h24QxhxpucTzSq81HHCHw4a1kOel2oqIDIUY698atS0nxfw3IR30heQ/g793Mce9 SX9u2dPPAZtSaW8/38TwKbNOa9zkRFn7oF+cZQIDAQABo2MwYTAOBgNVHQ8BAf8E BAMCAYYwDwYDVR0TAQH/BAUwAwEB/zAdBgNVHQ4EFgQU9kZ+Gxa7N5lj9z/YhSzk yepYDx4wHwYDVR0jBBgwFoAU9kZ+Gxa7N5lj9z/YhSzkyepYDx4wDQYJKoZIhvcN AQELBQADggEBAAeQacFm1sFPOIEvXDVi3IH2RKF7he0p/M0bK2Soj137LMf+ctpM 3bFKJPY97YIE0g7T1qgR8TN2sK0moumMTPjWCdFWJyN4yakS6tPIWEG2XobJ9H1r iuVXLKd2M/1yhqUyt1o5KtbOGQXLFd3qdp4A1tcXuK2wyMTiSCYS3Uow61JdEw6M eyrMIpZl9GtvaXTz6LdnozAbhKC7bVUy7ob0T4E03fQ8hIQCNPupvY7Db1/XmIw8 QWVd6AOH7EE3P8xbWOvcTWZ5XbstWY014GeJFXZ7YreaAg8sYa6CzasuHkr/rxeZ 8yzOmCTTTSPk5Ju5bTfAyEpgkl5fDvntJQg=

-----END CERTIFICATE-----

# Appendix C References

- J. Moy, OSPF Version 2, Internet Engineering Task Force (IETF) Network Working Group Request for Comments (RFC) 2328, April 1998. <u>https://www.ietf.org/rfc/rfc2328.txt</u> [accessed 4/20/2017]
- [2] *Cisco Adaptive Security Virtual Appliance (ASAv) Quick Start Guide, 9.6* [Web site], <u>http://www.cisco.com/c/en/us/td/docs/security/asa/asa96/asav/quick-start/asav-quick/intro-asav.html</u> [accessed 4/20/17]
- [3] Bider and M. Baushke SHA-2 Data Integrity Verification for the Secure Shell (SSH) Transport Layer Protocol Internet Engineering Task Force (IETF) Request for Comments (RFC) 6668, July 2012. <u>https://tools.ietf.org/html/rfc6668</u> [accessed 4/20/2017]
- J. Postel Internet Control Message Protocol DARPA Internet Program Protocol Specification, Internet Engineering Task Force (IETF) Network Working Group Request for Comments (RFC) 792, September 1981. <u>https://tools.ietf.org/html/rfc792</u> [accessed 4/20/2017]
- [5] J. Case, M. Fedor, M. Schoffstall, and J. Davin A Simple Network Management Protocol (SNMP) Internet Engineering Task Force (IETF) Network Working Group Request for Comments (RFC) 1157, May 1990. <u>https://tools.ietf.org/html/rfc1157</u> [accessed 4/20/2017]
- [6] R. Droms Dynamic Host Configuration Protocol Internet Engineering Task Force (IETF) Network Working Group Request for Comments (RFC) 2131, March 1997. <u>https://www.ietf.org/rfc/rfc2131.txt</u> [accessed 4/20/2017]
- Institute of Electrical and Electronics Engineers (IEEE), 802.1Q-2014 Bridges and Bridged Networks, December 2014 <u>http://www.ieee802.org/1/pages/802.1Q-2014.html</u> [accessed 4/20/2017]
- [8] Institute of Electrical and Electronics Engineers (IEEE), 802.11i-2004 Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) specifications, Amendment 6: Medium Access Control (MAC) Security Enhancements. http://ieeexplore.ieee.org/stamp/stamp.jsp?arnumber=1318903
- [9] D. Mills, J. Martin, Ed, J. Burbank, and W. Kasch Network Time Protocol Version 4: Protocol and Algorithms Specification Internet Engineering Task Force (IETF) Request for Comments (RFC) 5905, June 2010. <u>https://www.ietf.org/rfc/5905.txt</u> [accessed 4/20/2017]
- [10] U.S. Department of Commerce. Announcing the Advanced Encryption Standard (AES) Federal Information Processing Standards (FIPS) Publication 197, November 2001. <u>http://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.197.pdf</u> [accessed 4/20/2017]
- [11] D. Simon, B. Aboba, and R. Hurst *The EAP-TLS Authentication Protocol* Internet Engineering Task Force (IETF) Network Working Group Request for Comments (RFC) 5016, March 2008. <u>https://www.ietf.org/rfc/rfc5216.txt</u> [accessed 4/20/2017]
- [12] C. Rigney, S. Willens, A. Rubens, and W. Simpson *Remote Authentication Dial In User Service (RADIUS)* Internet Engineering Task Force (IETF) Network Working Group Request for Comments (RFC) 2865, June 2000. <u>https://tools.ietf.org/html/rfc2865</u> [accessed 4/20/2017]
- [13] S. Santesson, M. Myers, R. Ankney, A. Malpani, S. Galperin, and C. Adams X.509 Internet Public Key Infrastructure Online Certificate Status Protocol – OCSP Internet Engineering Task Force (IETF) Request for Comments (RFC) 6960, June 2013. <u>https://tools.ietf.org/html/rfc6960</u> [accessed 4/20/2017]